

Optical and Infrared Instrumentation

ASIAA

Assistant Research Engineer

Richard Chou

周瞿毅

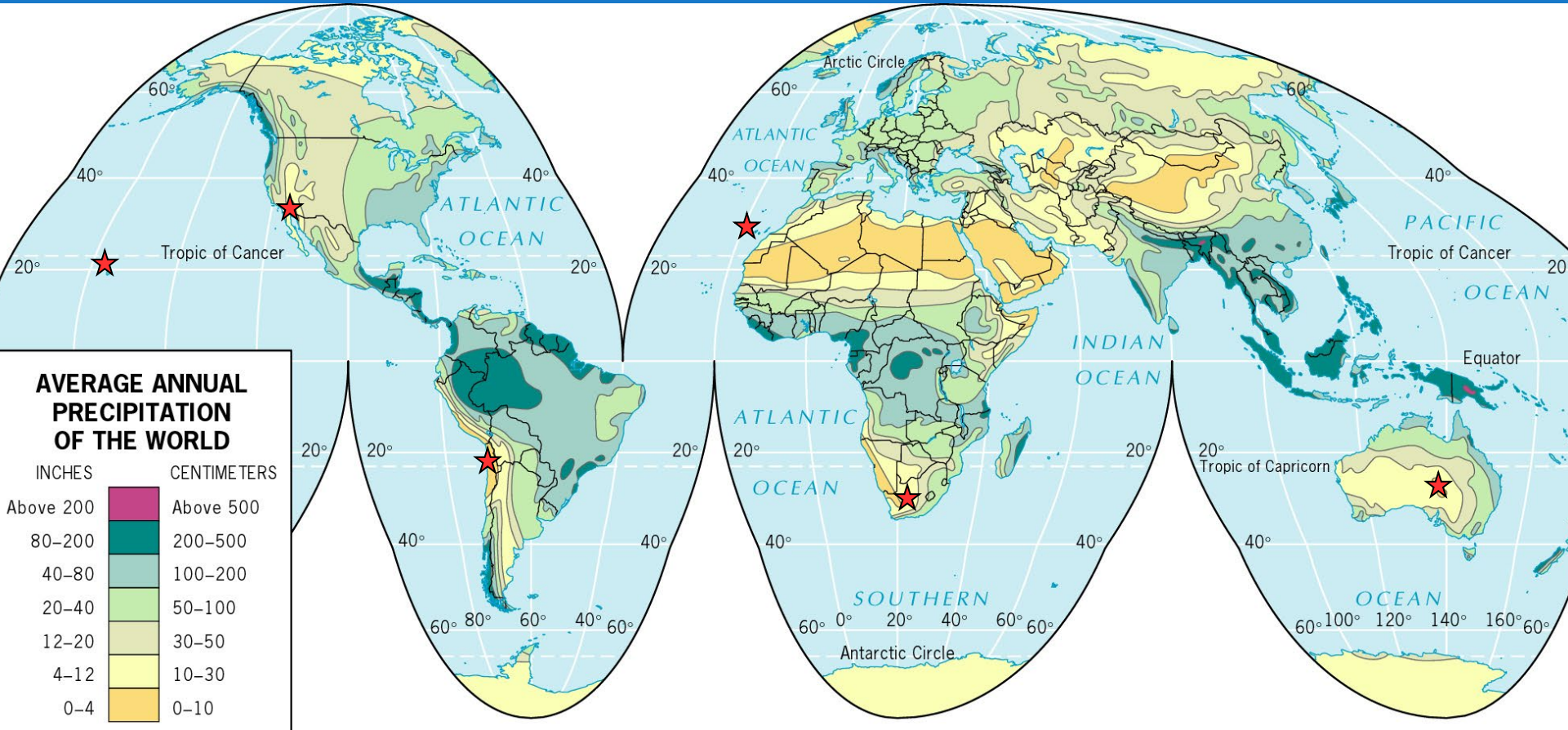
Outline

- Site for optical/IR observation
- Telescopes and sensors
- Basics for optical/IR instruments (with examples of some instruments developed at ASIAA)

Good Observation Sites: Factors to Consider on the Ground

- Clear sky ($> 250 - 300$ nights/year)
- Atmospheric transmission
- Photometric condition
- Background level
- Seeing
- Others: Aerosol/dust, wind speed, seismic activity, accessibility, infrastructure

Good Sites in the World



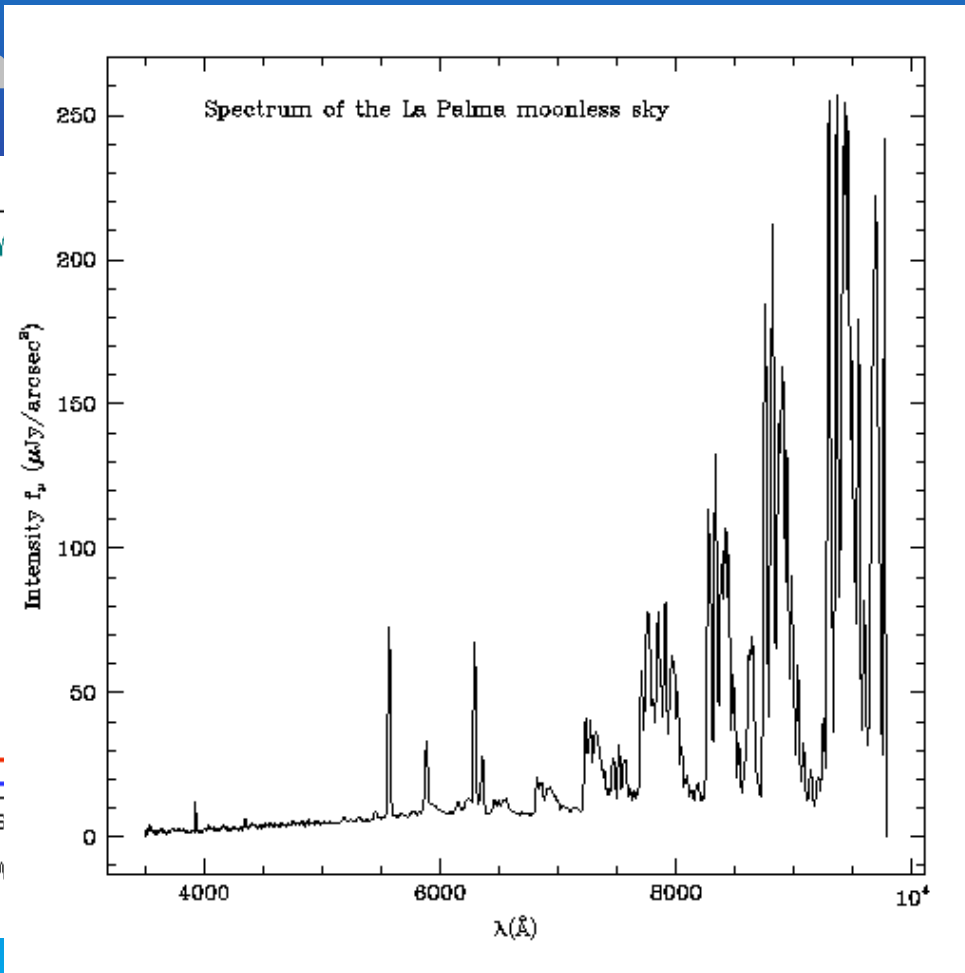
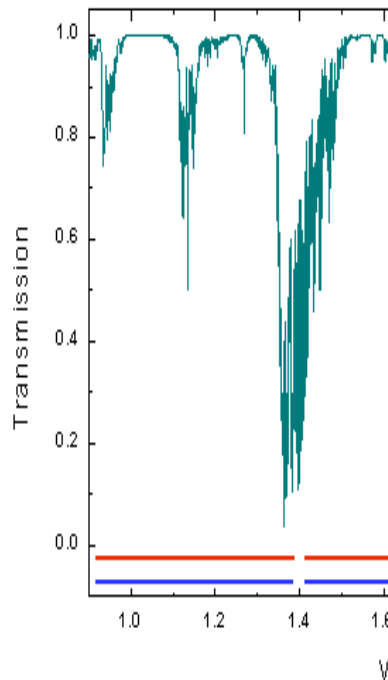
Good Sites



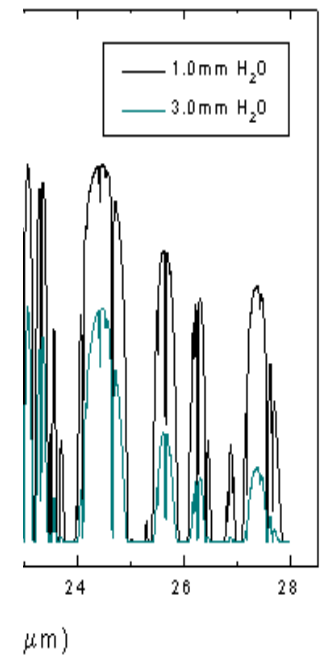
Low Absorption (Atmospheric transmission)

Water vapor is the most important variable factor to the site

Photometric band



Spectrum



2. Low Sky background (photometric condition)



Sources of Sky Background

- **Outdoor light:** Na Hg lamps
 - Na 5890-6, Hg 4046,4358
- **Fluorescent emission:** The emission of OH radical is very important in the near infrared region. O, Na, H is important in visible.
- **Thermal emission:** Main source! The thermal emission from the atmosphere can be approximated with a blackbody around 250 K (peak $\sim 10\mu\text{m}$). This makes Mid-IR observations difficult!
- **Scattering:** Rayleigh scattering of air molecules and Mie scattering of aerosols.

Seeing (Air Turbulence)

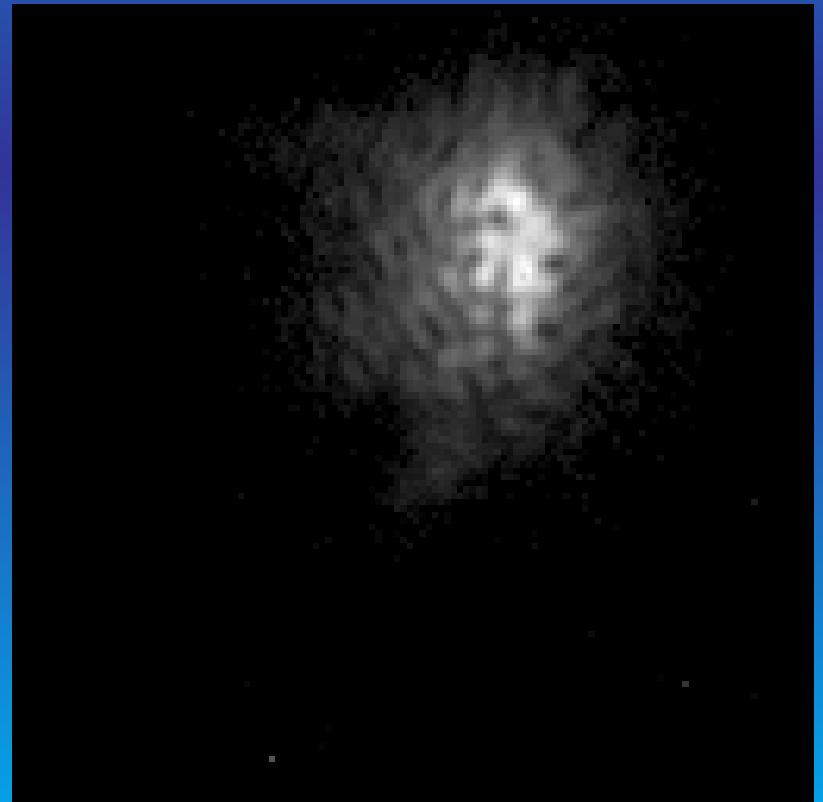
Turbulence: air convection in lateral direction => refraction & scintillation of the star light.

Strong turbulence degrade the image quality

Ground layer turbulence is the strongest

High altitude turbulence is important to the seeing

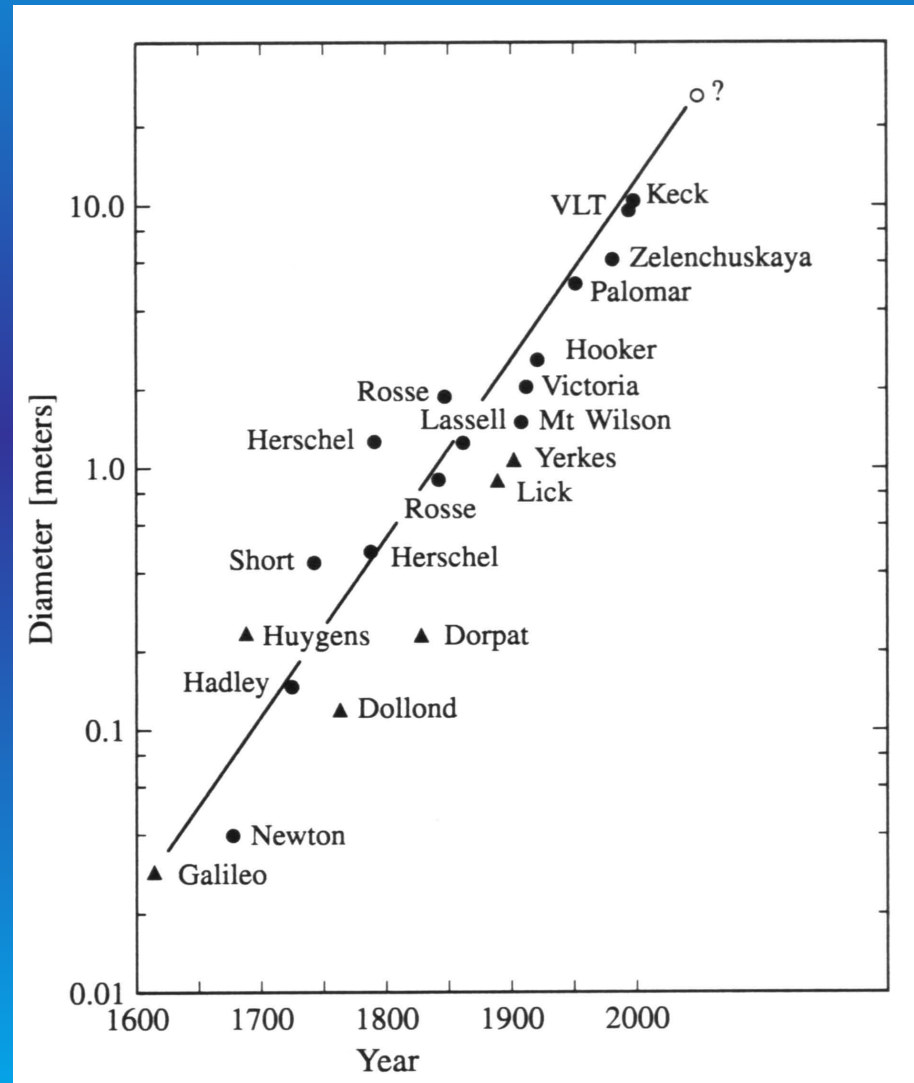
=> Small seeing matters!!
Average seeing ~ 0.8''



Telescopes & Sensors

Evolution of OIR Telescope Size

- 1610 The first astronomical observation using telescope by Galileo
- 1663 The invention of reflective telescope
- 1840 Photography was first used to record images
- 1864 Spectra of sun and stars observed
- 1879 Silvered glass substrate used as reflector
- 1948 200 inch Palomar telescope established
- 1993 10 meter segmented mirror Keck telescope established
- 203x 38mE-ELT, 24m GMT, 30m TMT

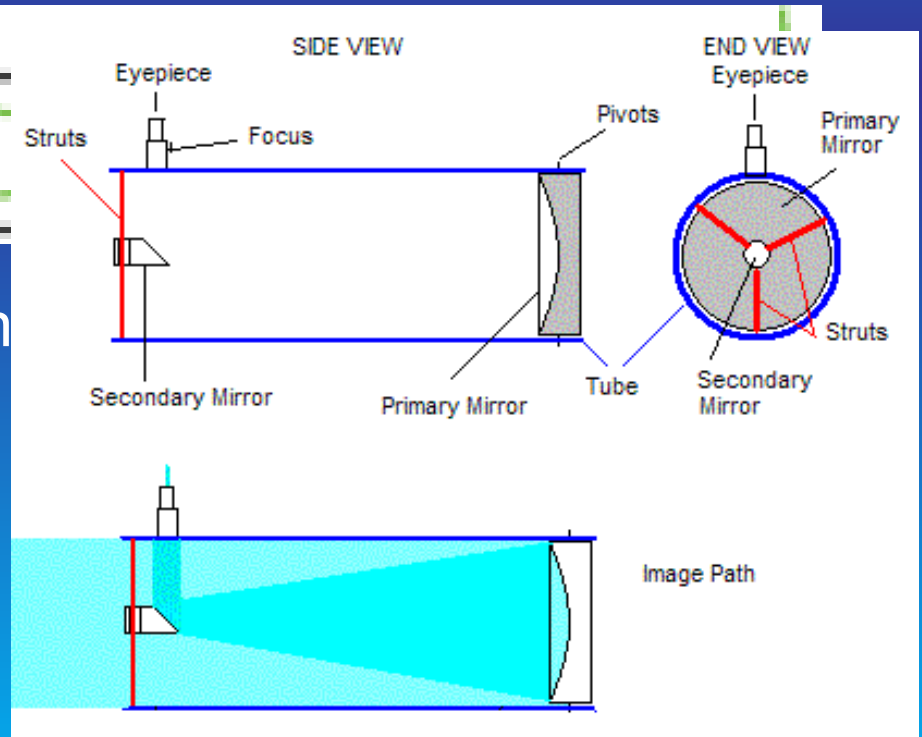


Optical Telescopes

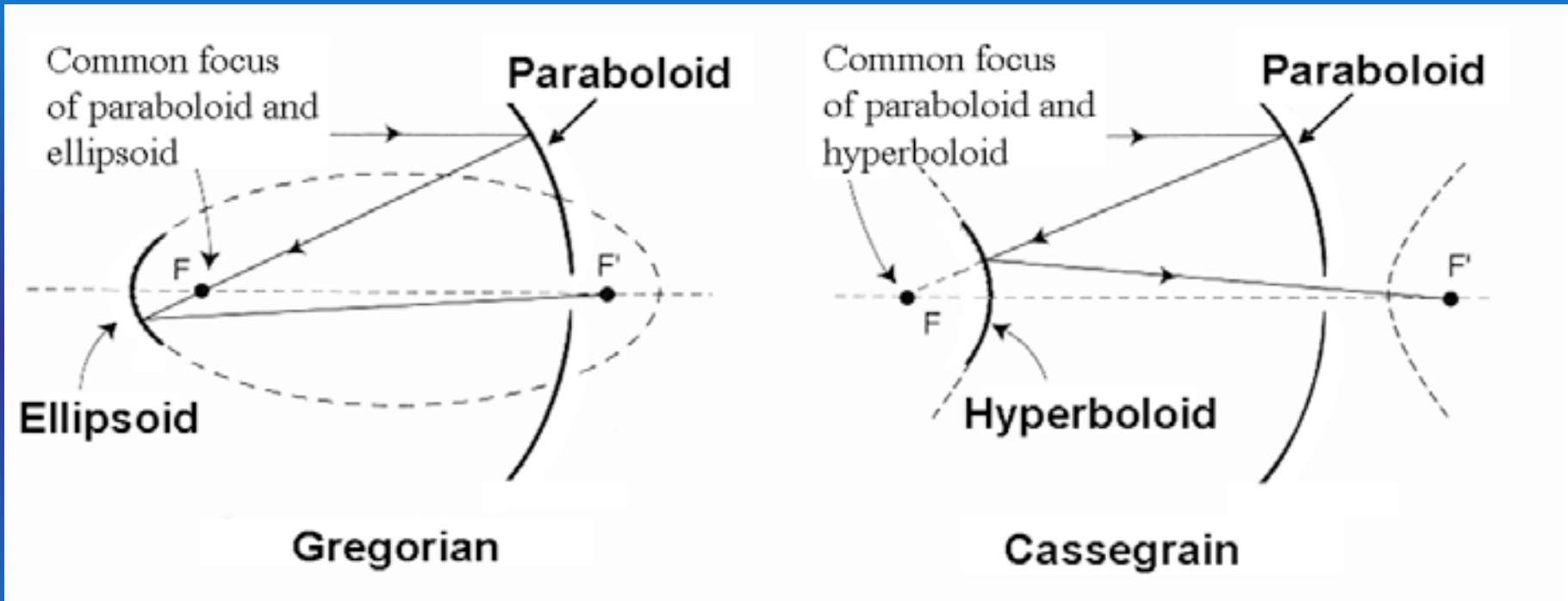
- Refractive telescope:
 - Limited in size (max 1m) and wavelength
 - Chromatic aberration



- Various types (Newton etc.)



Modern Reflective Telescopes



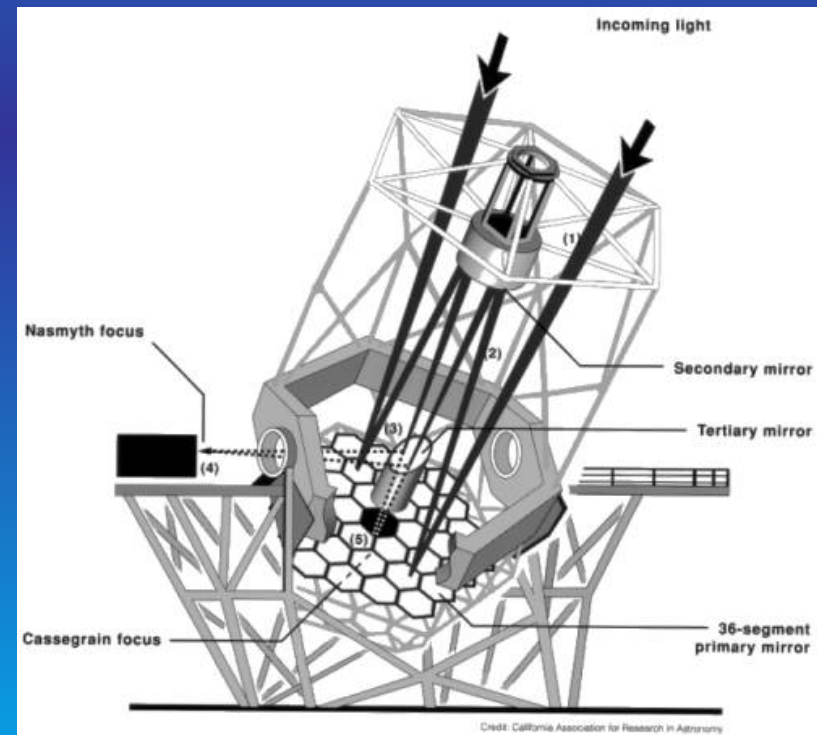
- These models were proposed in 17th century.
- Until early 20th century regain people's attention and become the main stream of modern telescope.

Telescope Mount



Foci of optical telescope

- Primary focus
 - Wide field application, size and weight limits
- Cassegrain focus
 - High resolution
- Nasmyth focus
 - Bulky, heavy instruments
- Fiber feed coupling
 - Flexible, space saving option



How to Detect Photons?

- Nuclear interaction
- Ionization of gas or solid
- Photochemical reaction: photographic plate
- Photo-electron reaction: PMT, CCD, CMOS, photodiode, photoconductor
- Thermal effect: Bolometer, Pyrometer....
- Electric field effect: Antenna

Photographic Plate

- AgBr reaction
- Certain threshold to generate signal
- Bad linearity
- QE~1%
- Easy to make large plate

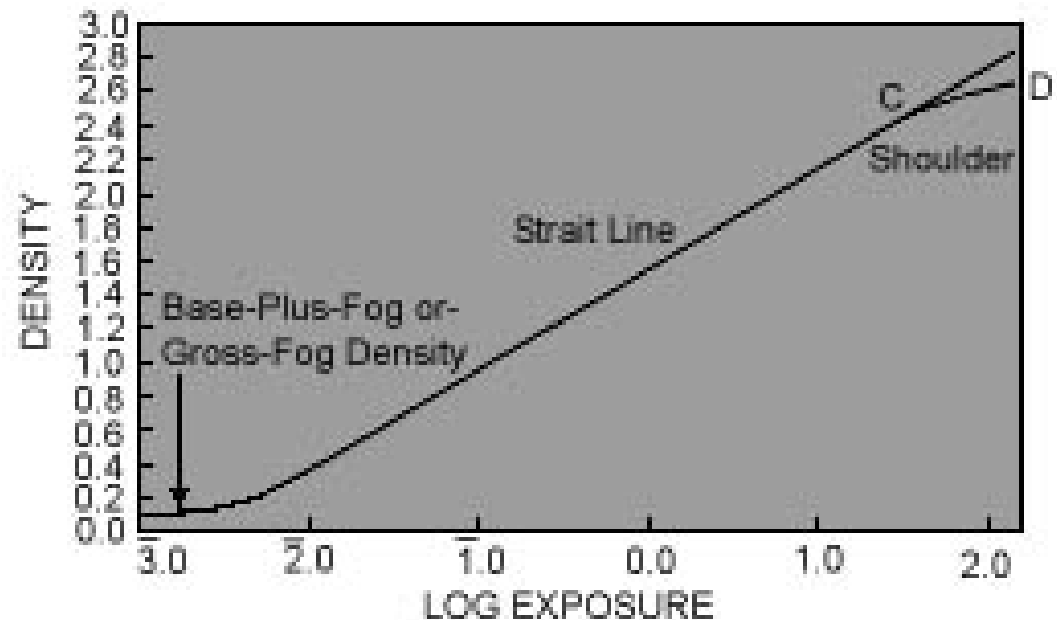
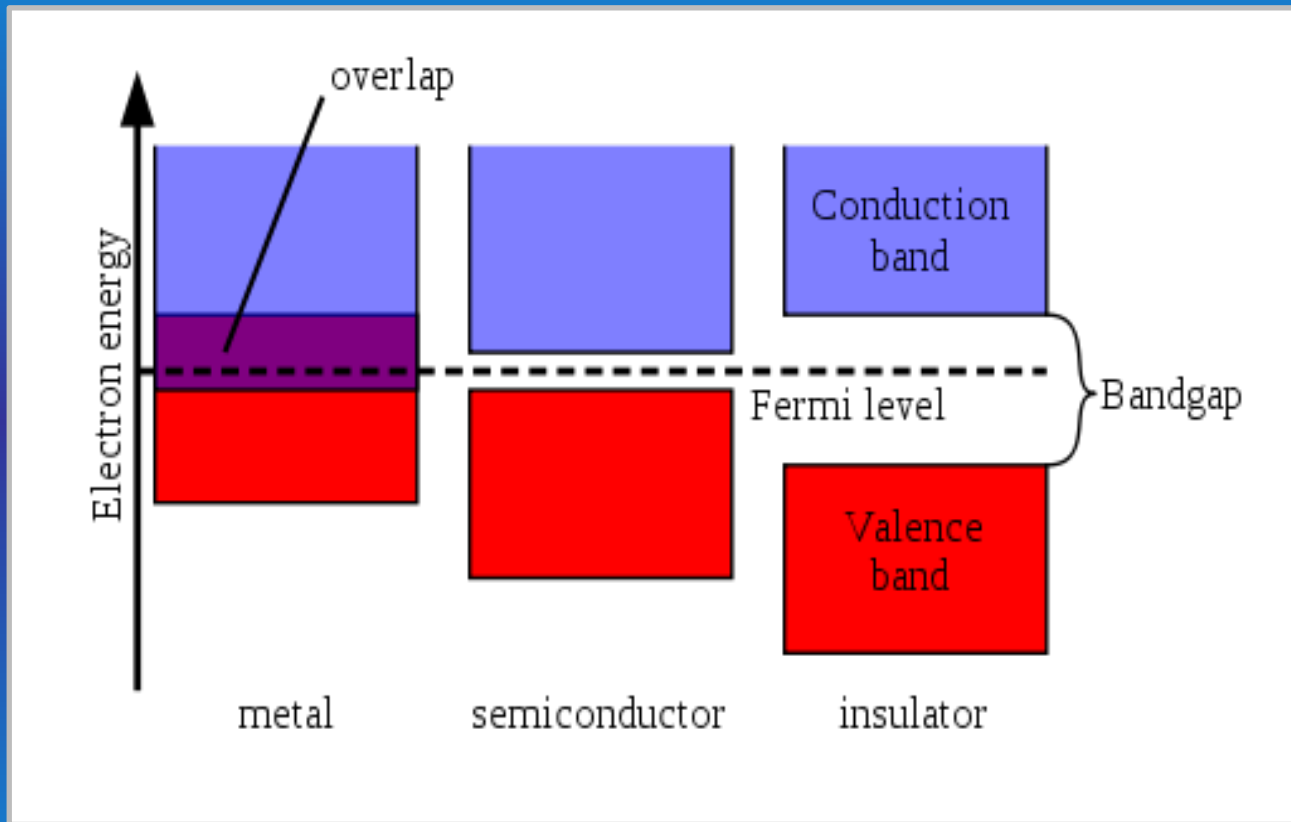


Figure 23

Typical characteristic curve

Semiconductors



Semiconductor: bandgap $E < 2\text{eV}$

Insulator: bandgap $E > 2\text{eV}$

The 200" Photographic Plate William Miller at 1940s



Credit: Google LIFE image archive

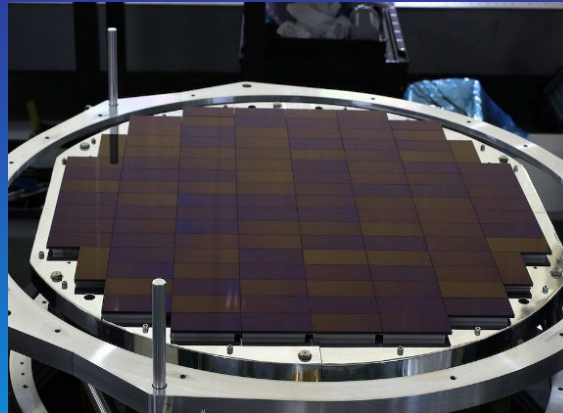
Willard S. Boyle & George E. Smith @ Bell Lab. 1969 (2009 Nobel prize in Physics)



Credit: <https://aip.scitation.org/doi/full/10.1063/1.3578638>



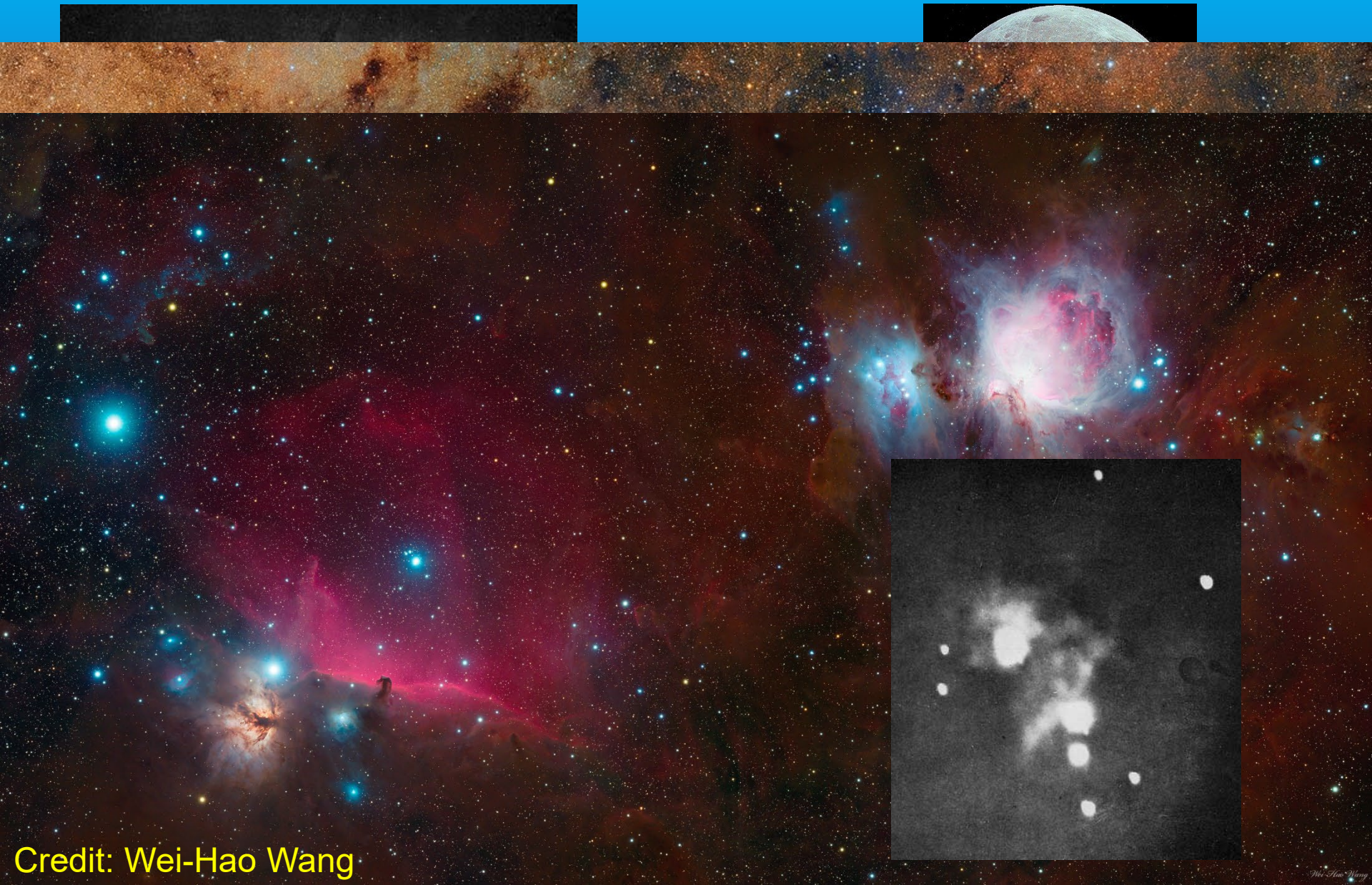
40 2048 x 4608 CCD,
CFHT, MegaCam 2003



104 2048 x 4096 CCD,
Subaru Hyper Supreme
Cam 2014



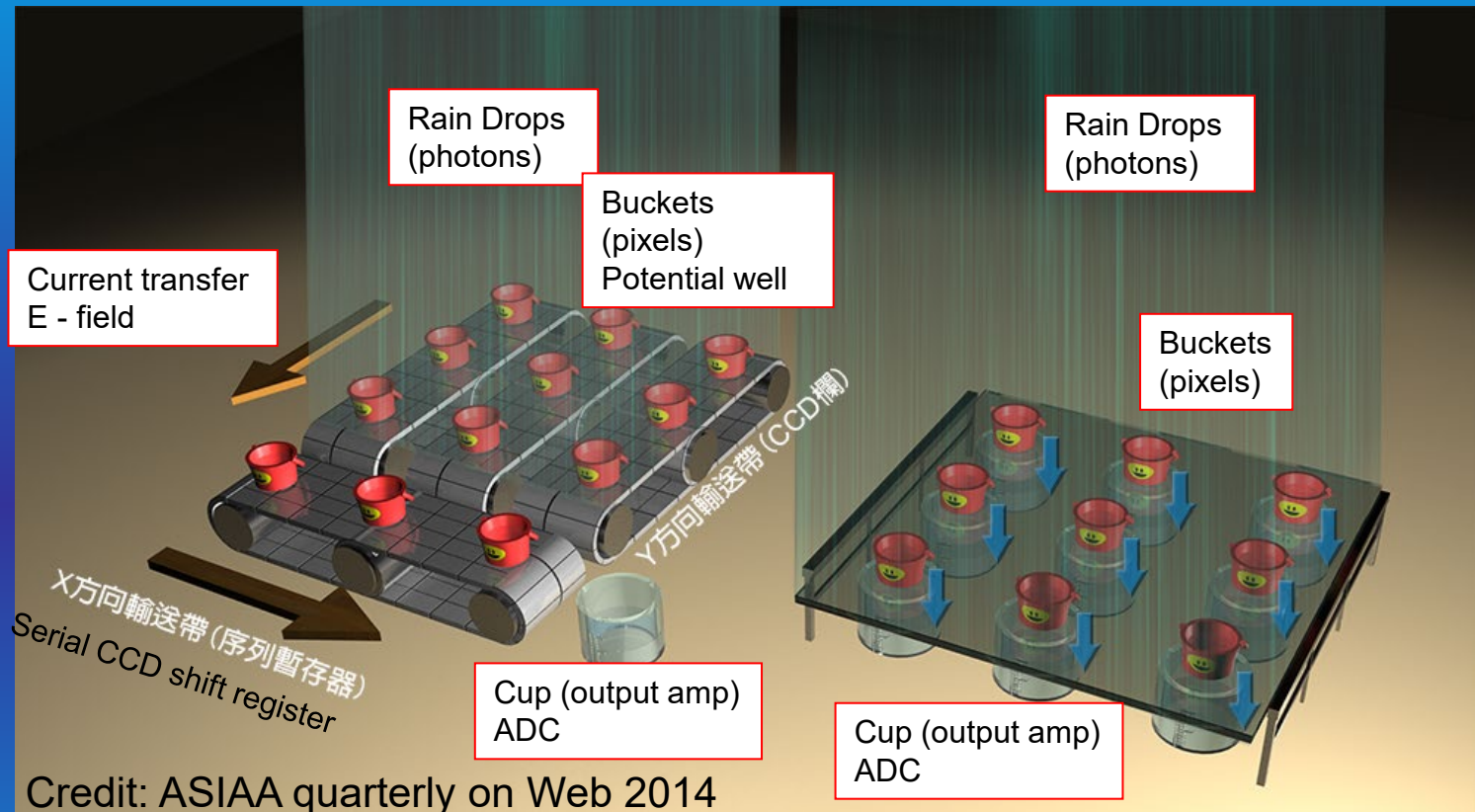
189 4k x 4k CCD, LSST
camera 2025



Credit: Wei-Hao Wang

Wei-Hao Wang

CCD & CMOS

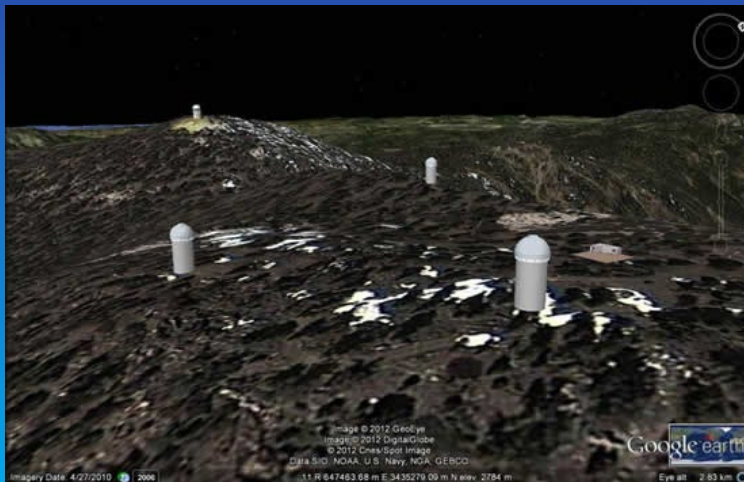


- Larger pixel sizes (8 μ m or 14 μ m)
- QE~90% (peak depends on AR coating)
- Full well around 100,000e⁻
- Maximum speed is around 3MHz
- Dark level ~1e/pixel/hr
- Readout noise few e⁻
- An alternative and cheaper choice of CCD
- Close performance to CCDs nowadays.
- Active pixel sensor
- Low power consumption
- Small full well is the current drawback

TAOS II

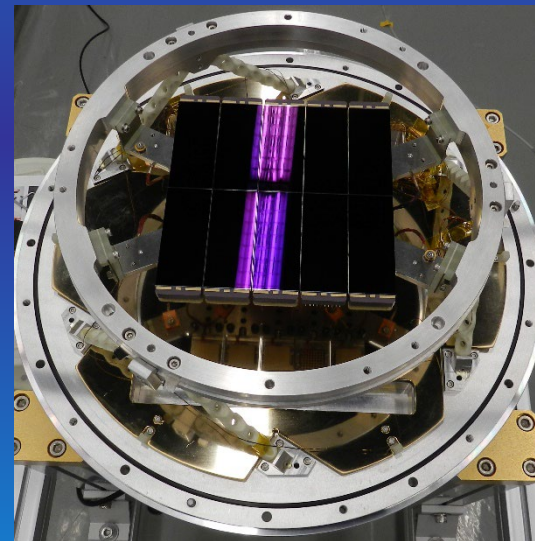
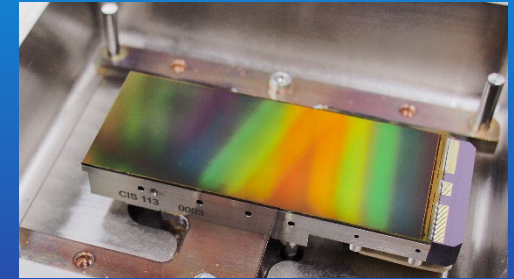
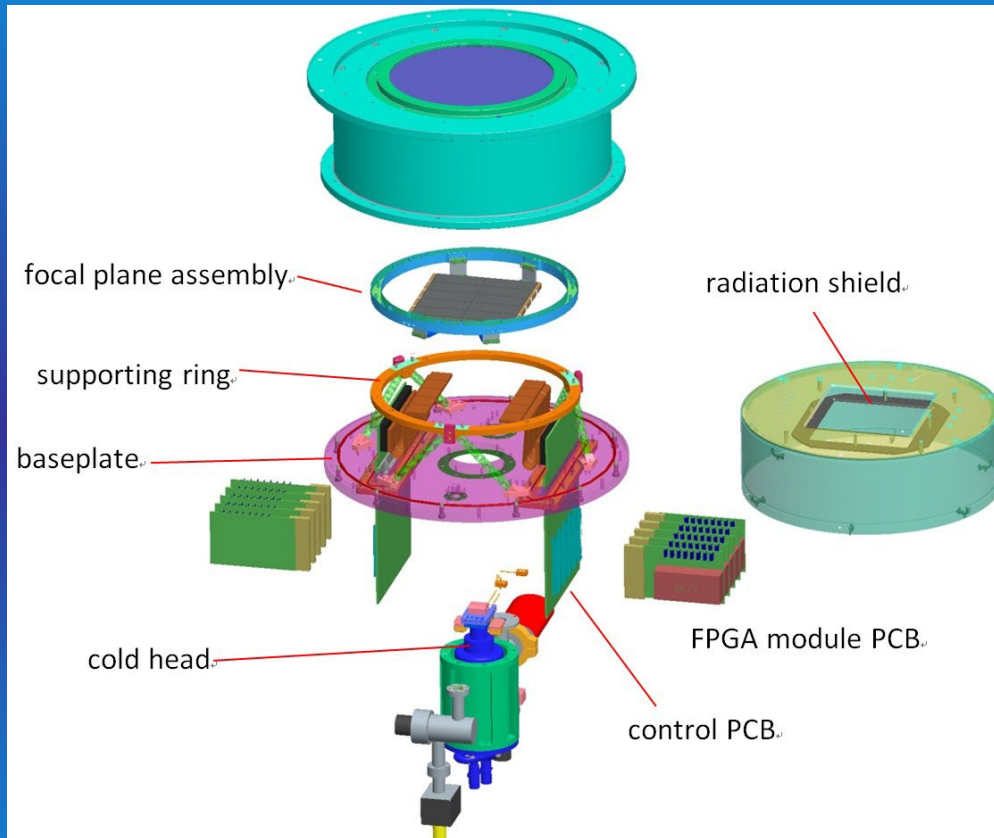
- Transneptunian Automated Occultation Survey
- Goal: to understand the number/distribution of Kuiper belt objects
- Asteroids are too dim to observe, use occultation method to study.
- Locate at SPM, Baja California.
- Three 1.3m telescopes, FoV 1.3deg.

San Pedro Martir Observatory Mexico



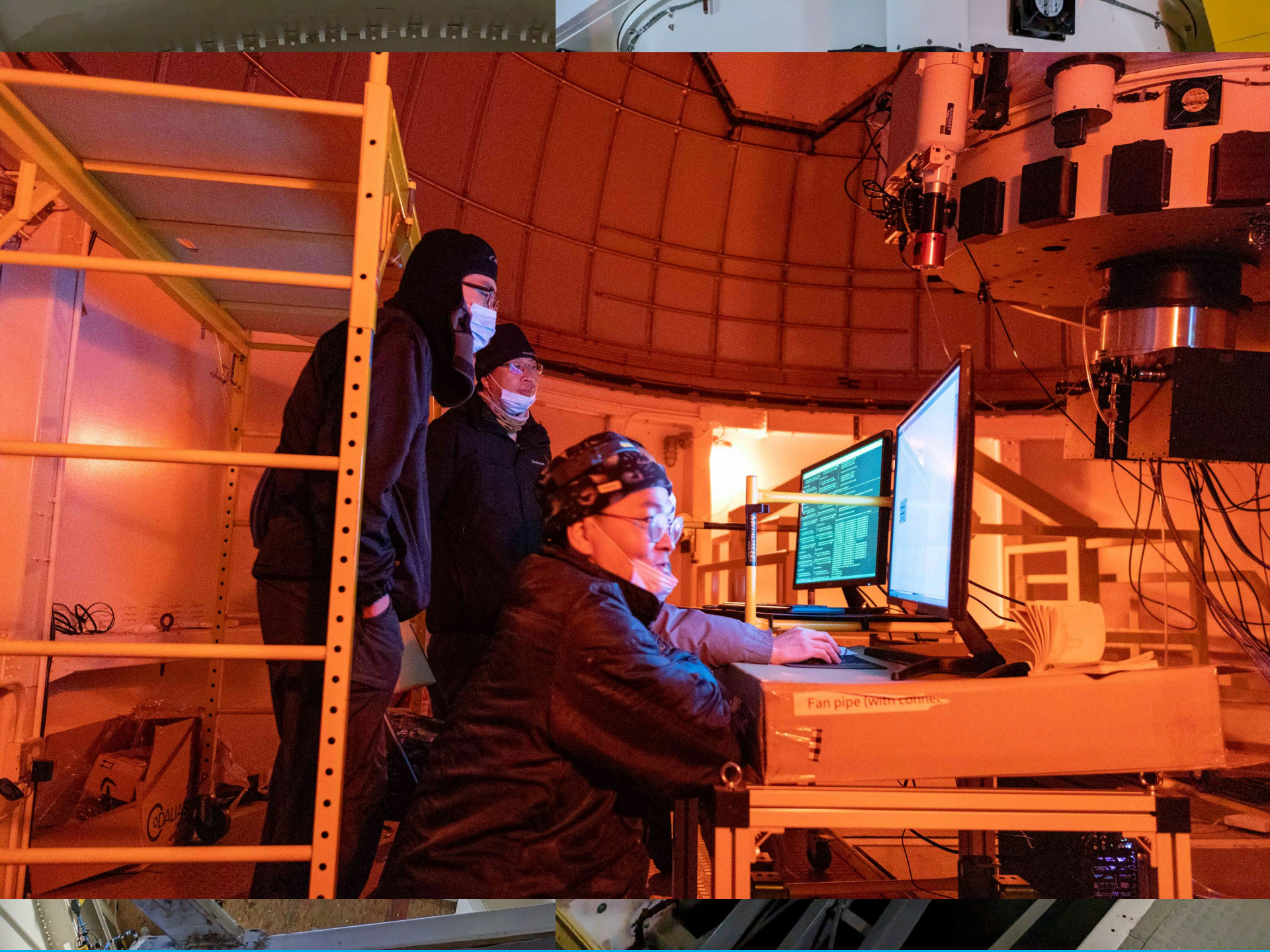
1.3m x 3

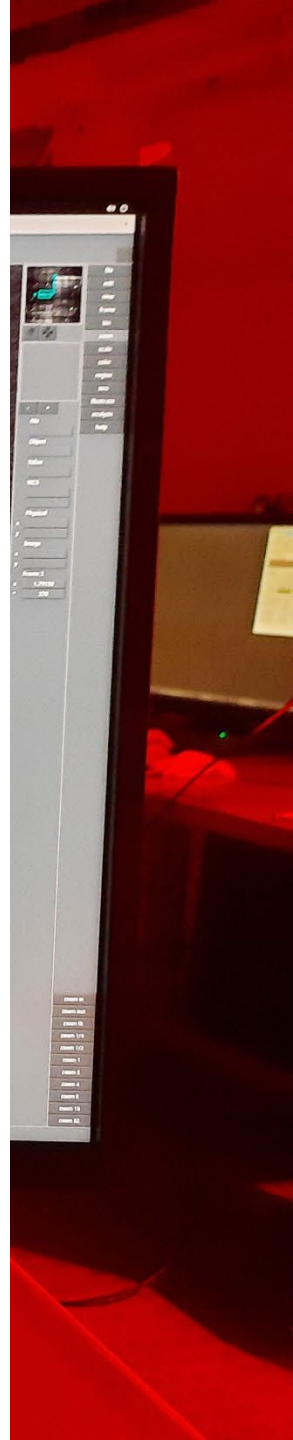
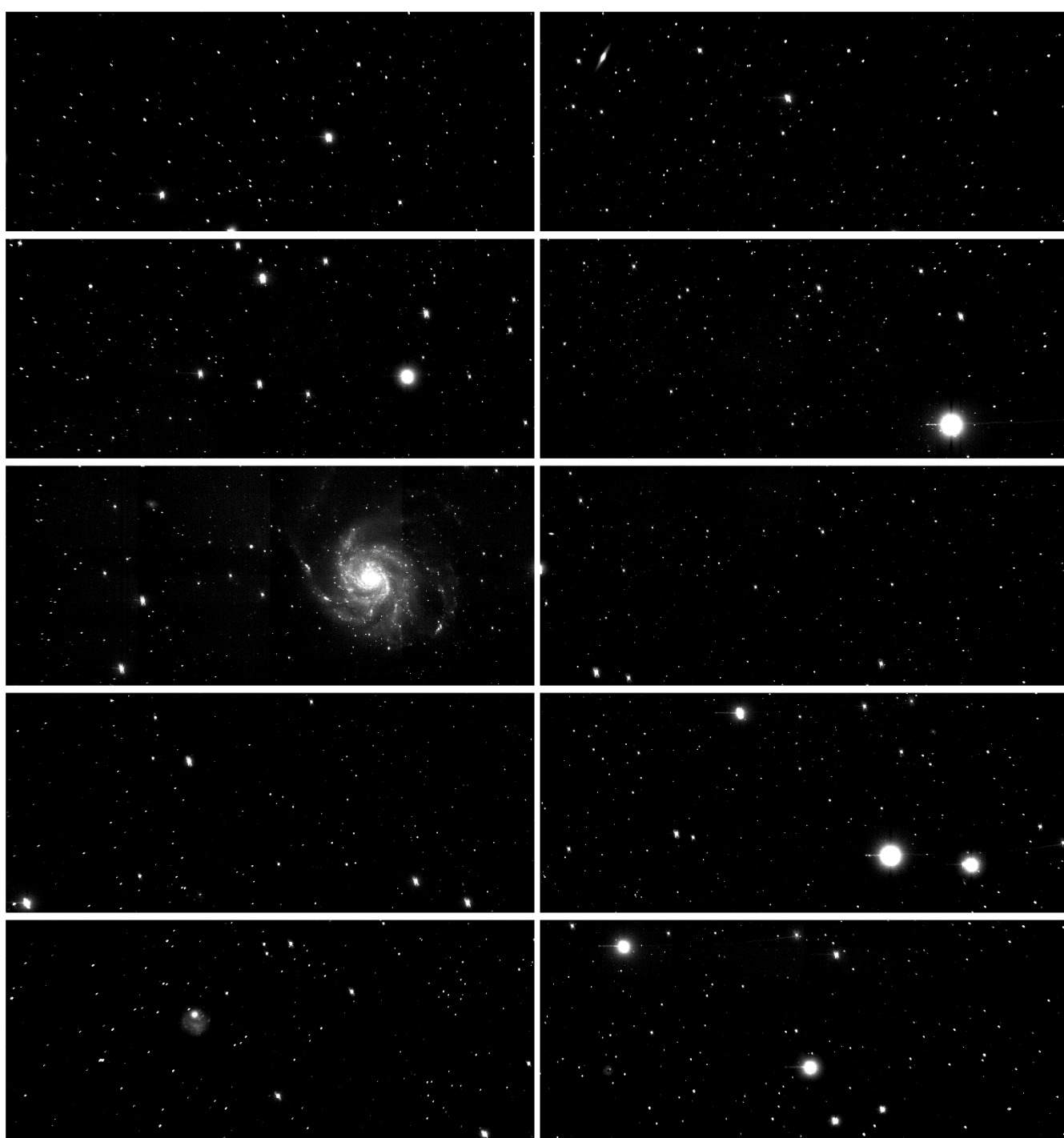
TAOS II Customized Camera



10,000 Stars Monitoring with 20 Hz Frame Rate

E2V 3 edge buttable sensor, one chip 1920 x 4608 pixels. Total 10 chips.





Concepts of OIR instrument

imagers

&

spectrometers

Optical Jargons

1. Focal length: f
2. Aperture size: D
3. Focal ratio or f-numbebr: f/D ,
4. Small $f\#$, larger FoV, large aberrations; large $f\#$, higher resolution (smaller FoV), less aberrations.

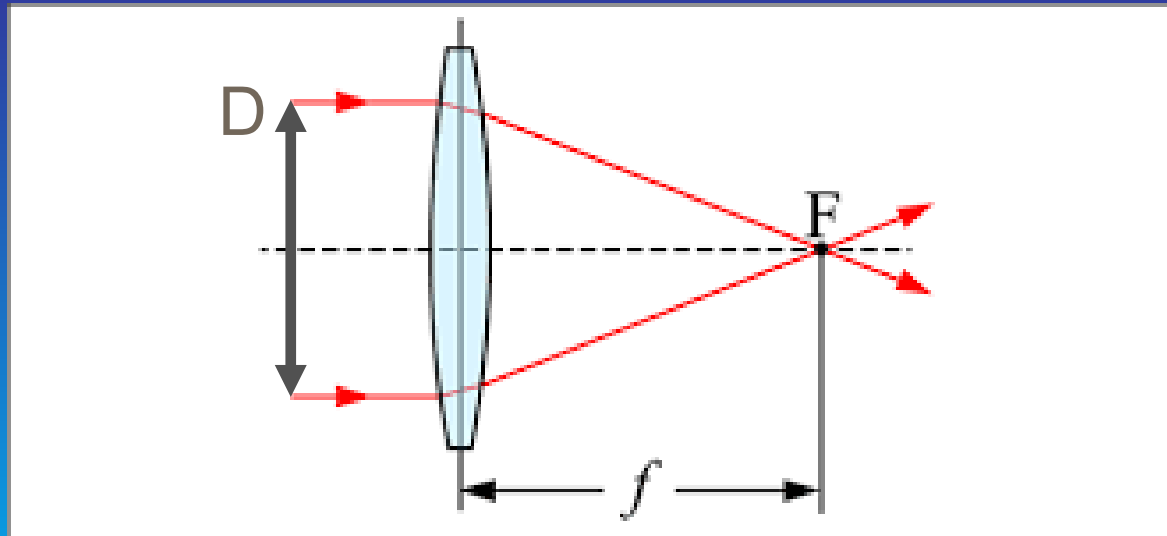
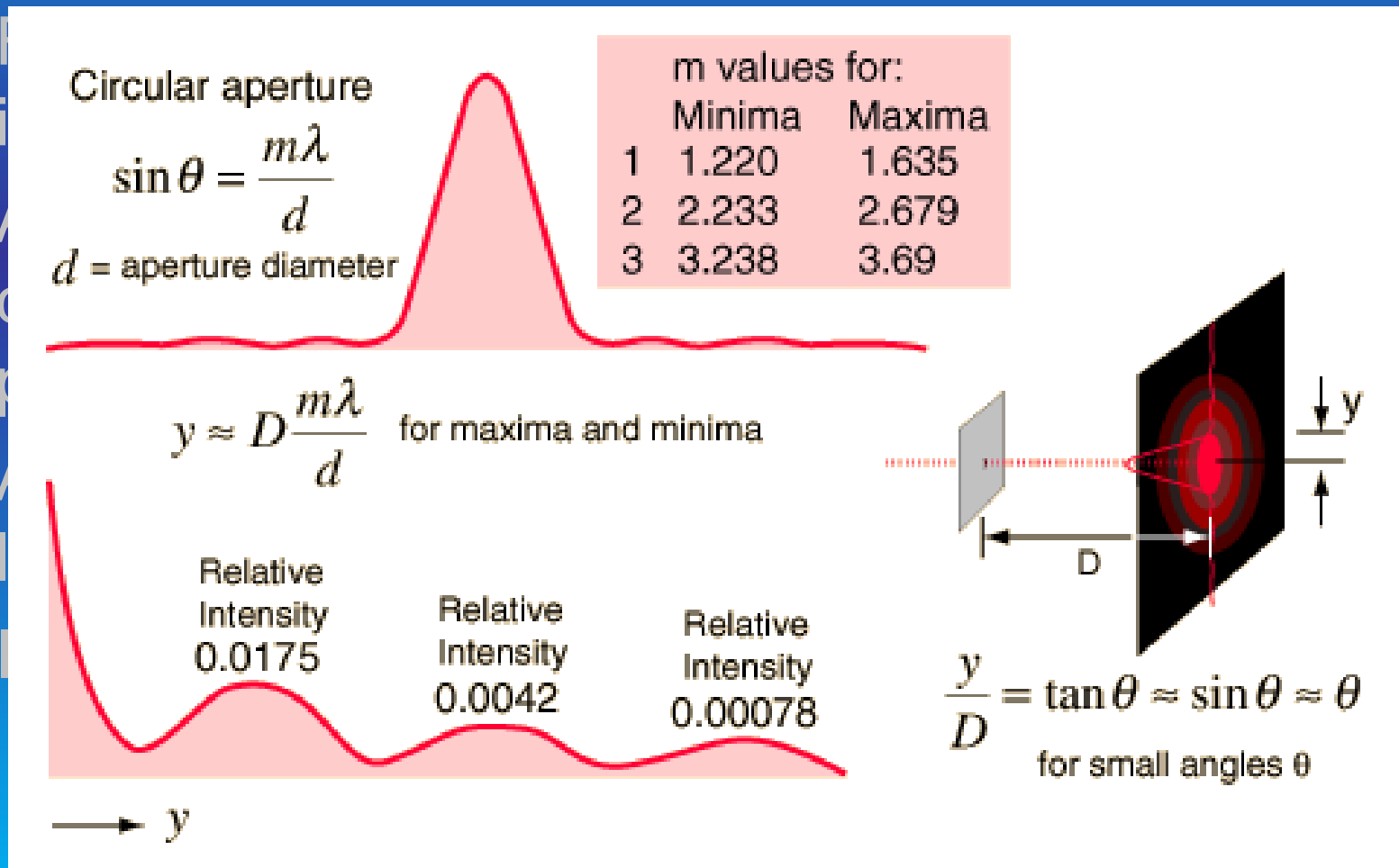


Image Quality

- Theoretical limit: Diffraction pattern of circular aperture (Airy disk)



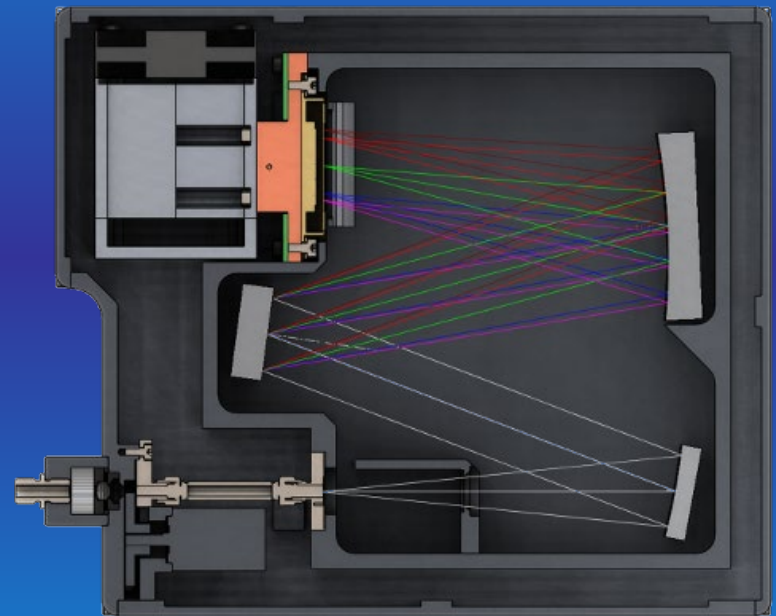
Instruments in OIR

Camera



- Take Images

Spectrograph

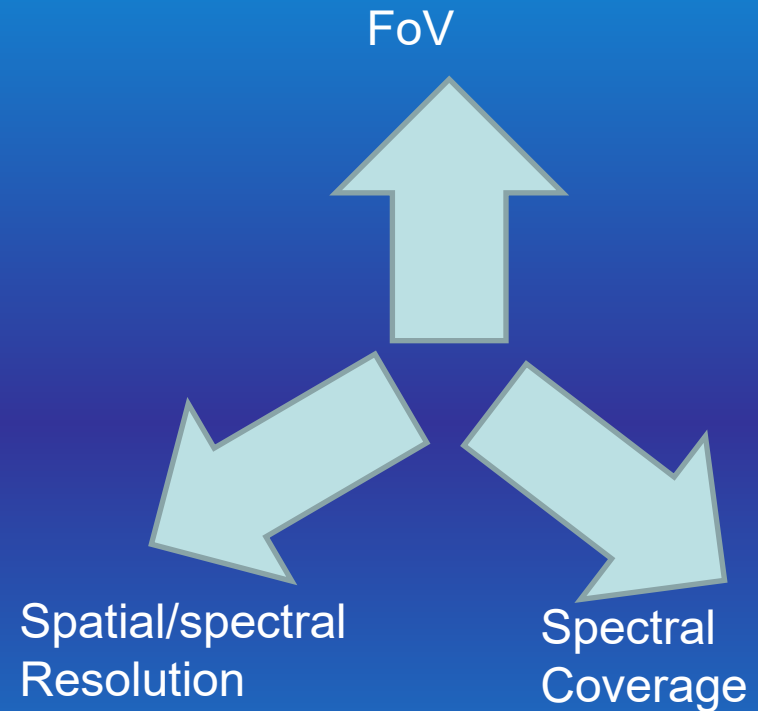


- Take dispersed slit images (Slit images in different λ)

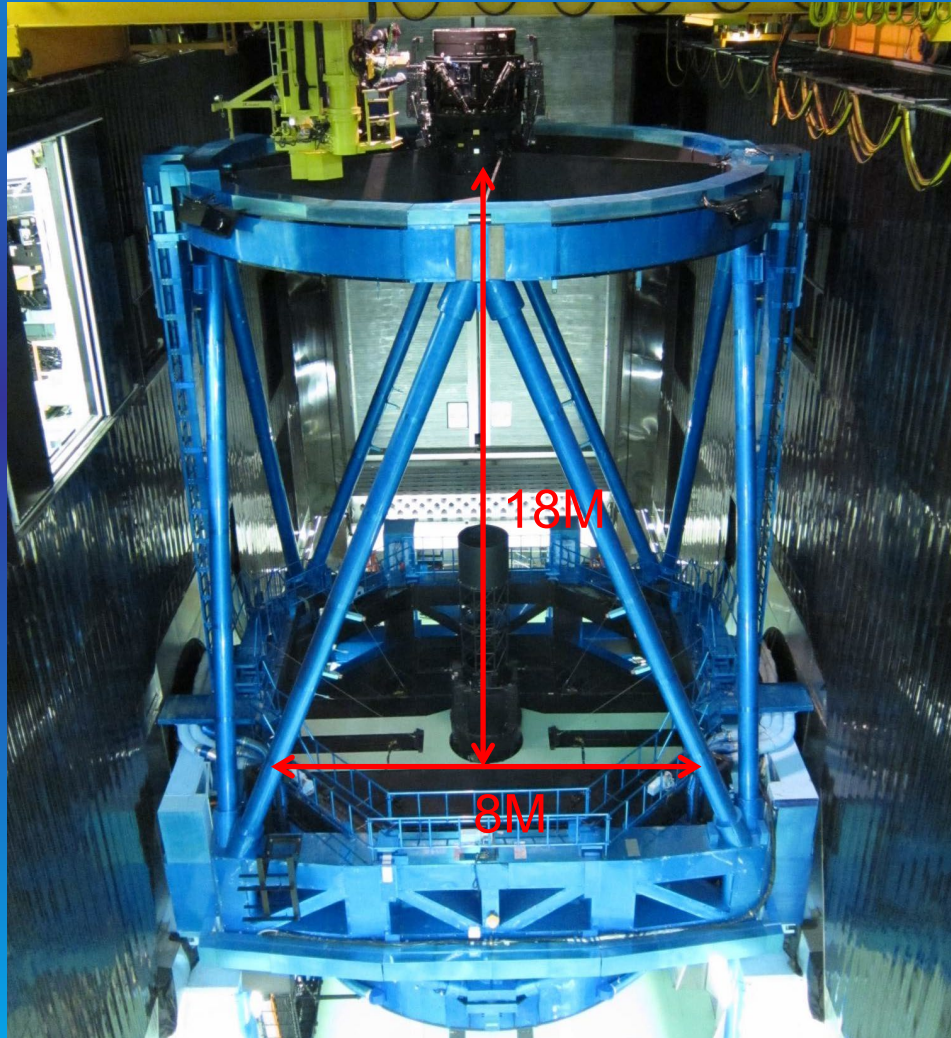
How to build an instrument

Define instrument parameter space

- Main constraint: limited size of detector!! Compromise between FoV, Res. & ΔW
1. How many pixels per star (PSF)
 - Min 2 pixel sampling, 3 – 5 pixel sampling is acceptable.
 2. Work out field of view
 - Based on pixel sampling (plate scale ("/pixel)).
 3. Work out wavelength coverage
 - Based on science requirements (imager)
 - Based on spectral resolution (spectrometer)

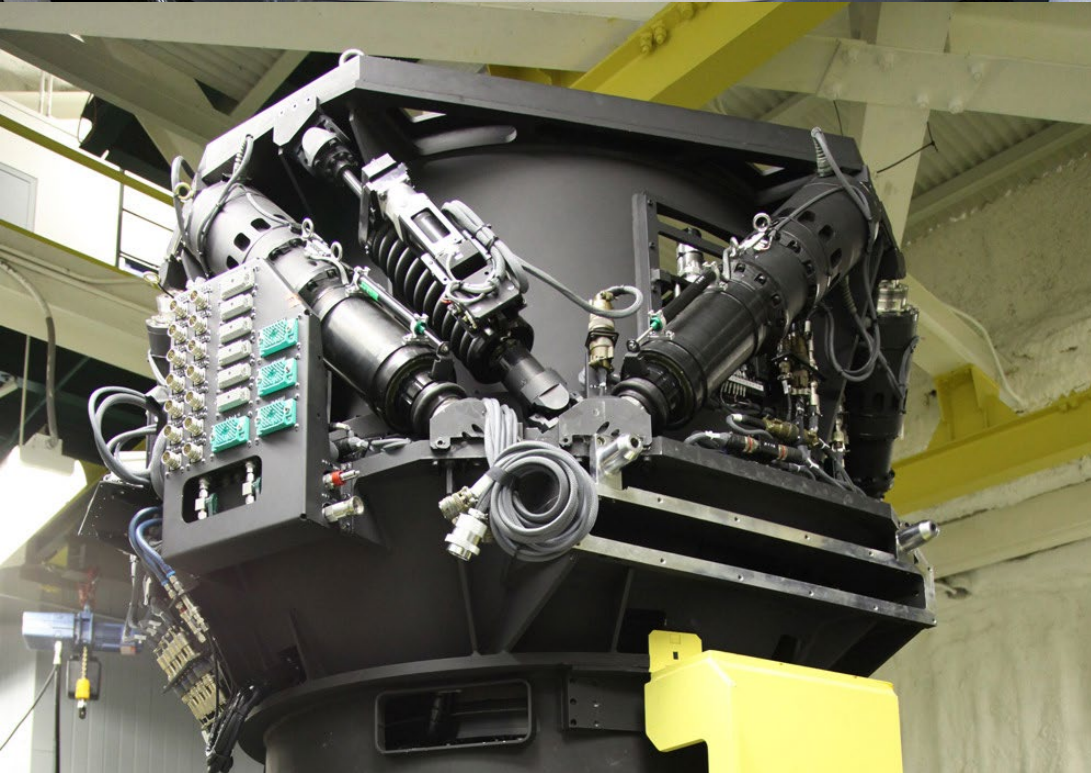
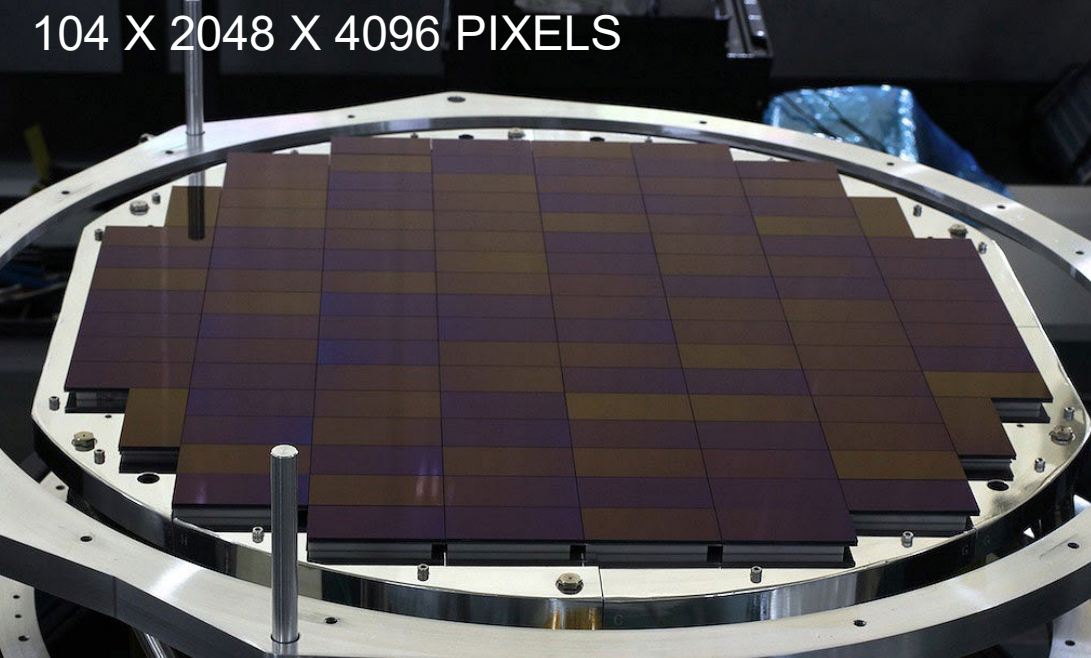


Subaru Hyper Suprime-Cam



Detectors	Hamamatsu Photonics KK 2048x4096 (S10892-02)
Number of CCDs	104 + AG 4 + AF 8 ⁽¹⁾
Pixel size	15 μm
Pixel scale	0.17"
Field of view	90 arcmin diameter
Gain	3.0 e-/ADU
Read noise	4.5 e ⁻
Readout time	20 s ⁽²⁾
Camera f-number	$f \sim 2.2$
Number of filters	maximum of 6
Filter exchange time	~ 30 min ⁽³⁾⁽⁴⁾

104 X 2048 X 4096 PIXELS



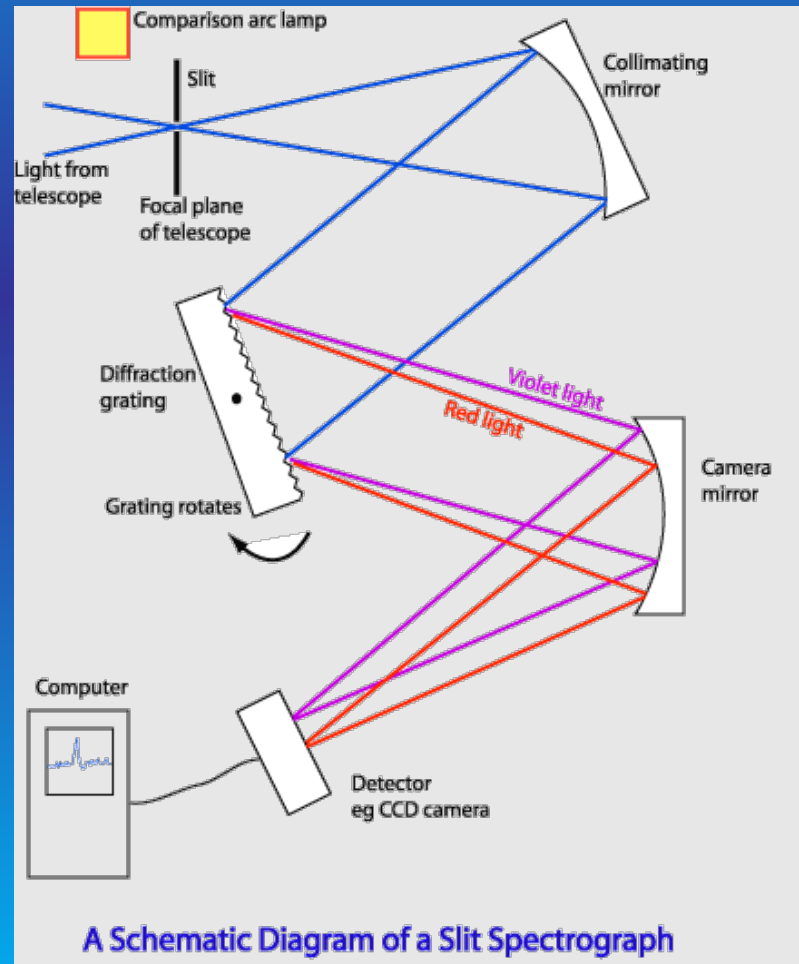
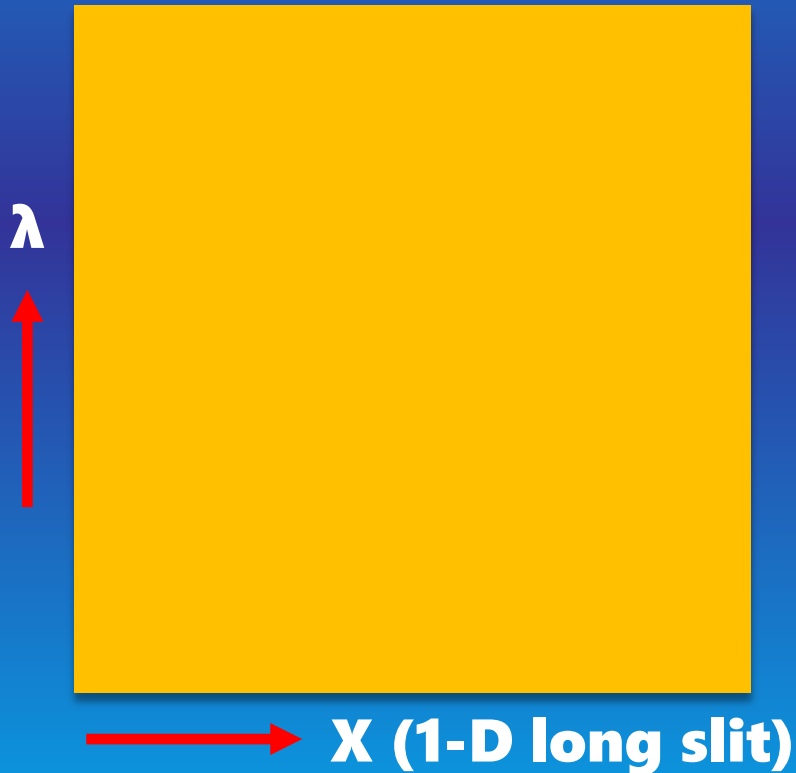
Filter Exchange System



Total 6 filters, 3 on each side. Filter exchange takes 16 mins.

Long Slit Spectrometer

Spectrometer: sacrifice 1D in spatial direction. Imager of dispersed slit light.



Long Slit Spectrometer

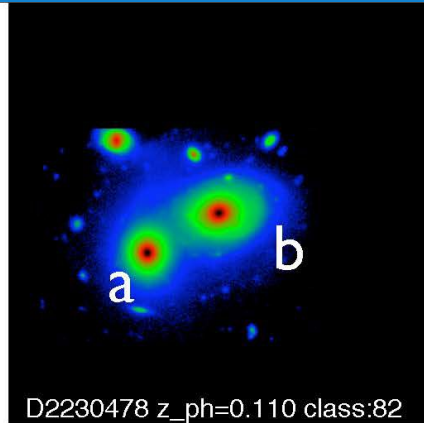


Fig: The i' band image of the merger, with words "a" and "b" denote the two separate galaxies in the merging system.

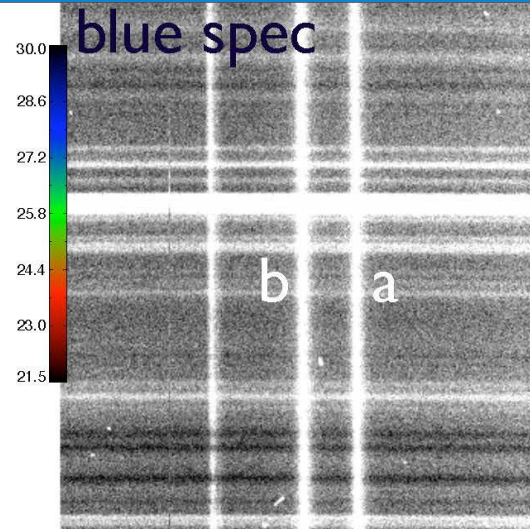


Fig: The reduced image of the blue and red spectrograph. The words "a" and "b" denote the spectrum of two separate galaxies in the merging system.

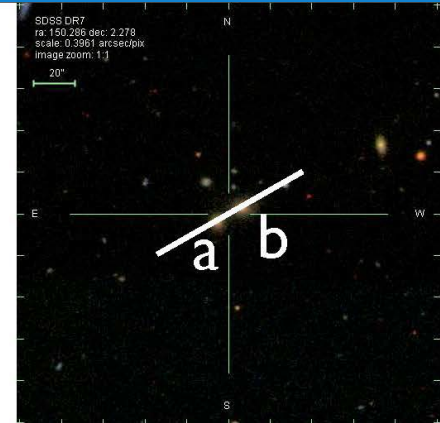
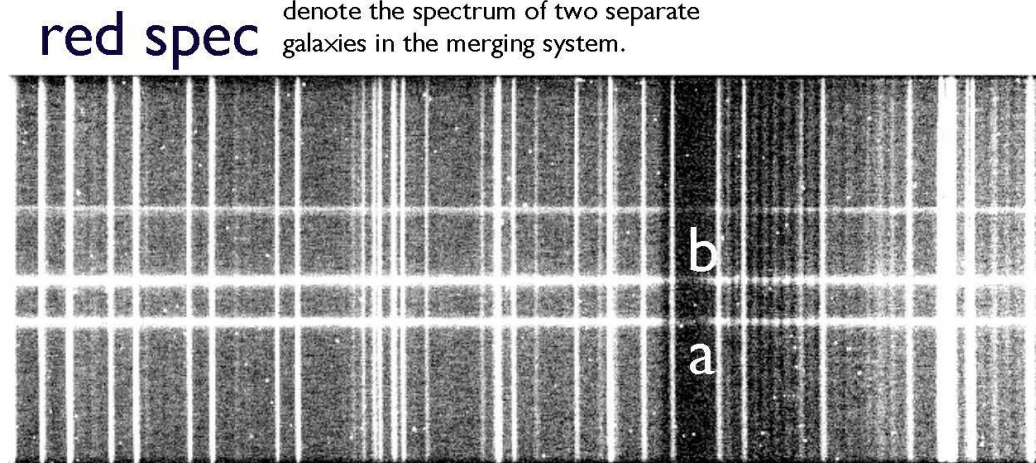
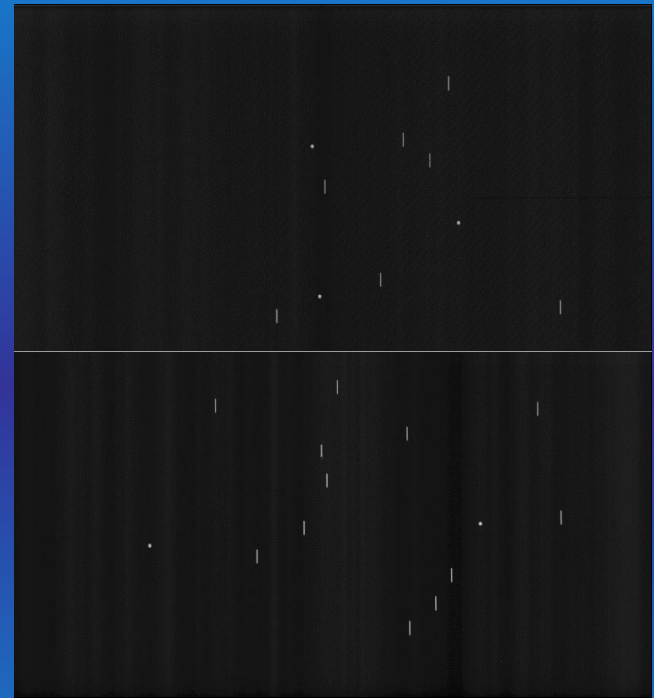
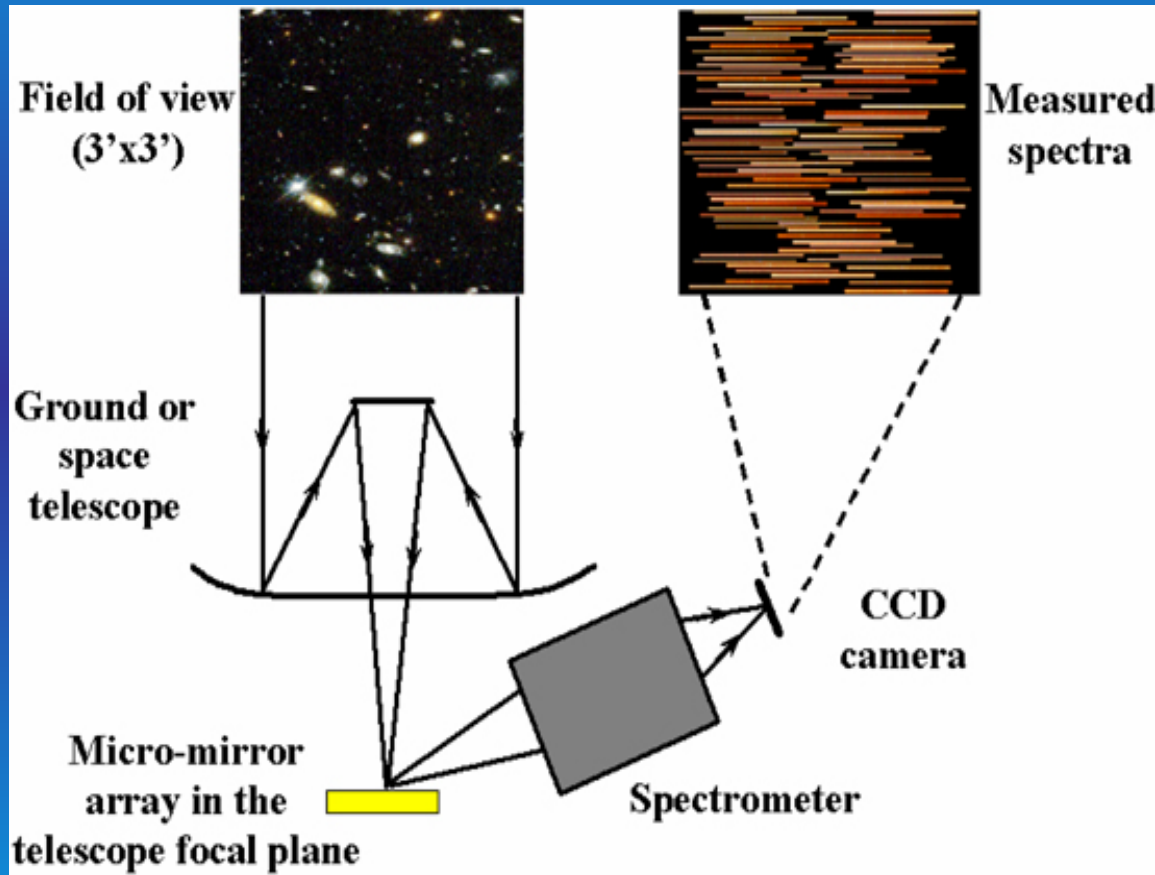


Fig: The picture from the finder chart with the white bar indicates the orientation of the slit.

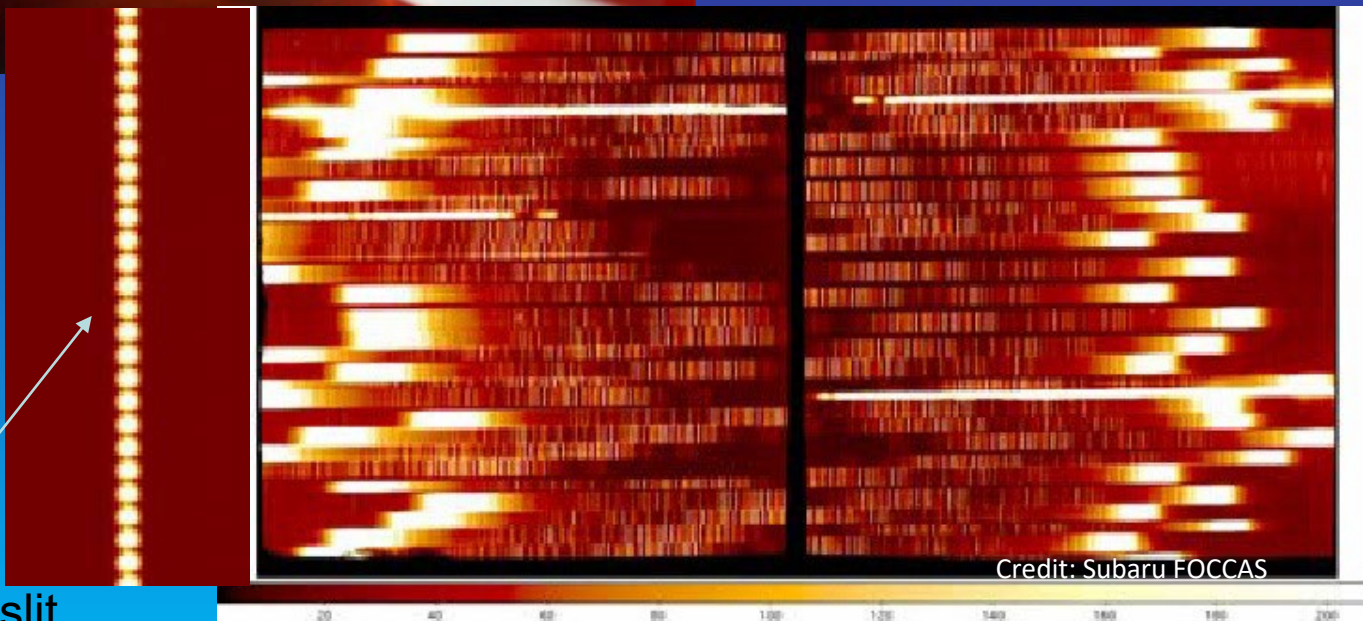


Spectrum of an interacting galaxy pair, Palomar double spectrograph

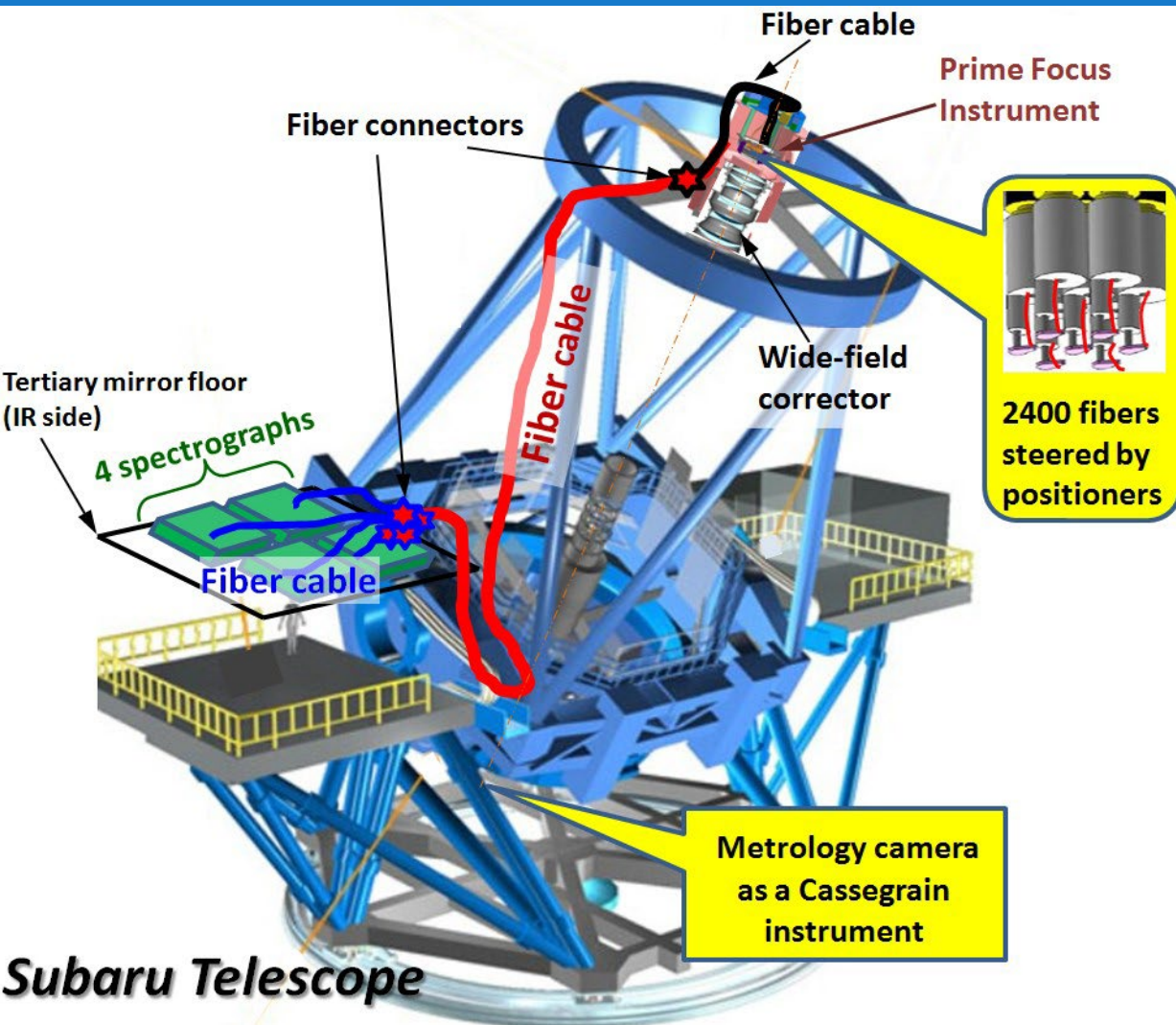
Multi-object Spectrograph slit mask type



Multi-object Spectrograph fiber based

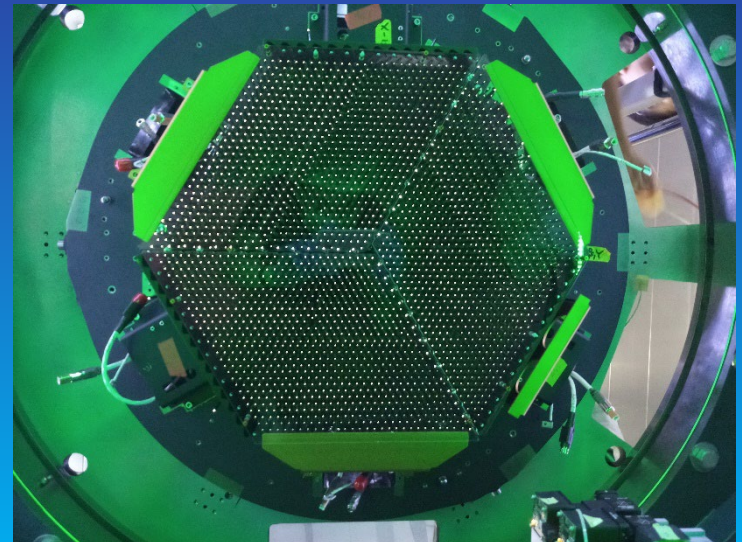


Prime Focus Spectrograph



- Fiber based multi-object spectrograph.
- 2400 motorized fiber covered by 4 identical spectrographs (600 fibers each), $\Delta\lambda$ 0.38 - 1.26 μm ; blue, red, NIR arms.
- FoV 1.3 deg².
- $R \sim 2\lambda$ (low res. mode) or 1.2 λ (medium res. mode).
- PFS locates at telescope prime focus, a challenging design. Fiber focal ratio f/2.8, collimator f/2.5, spectrograph camera f/1.1.
- Three detectors, two optical one NIR (4k x 4k array).

Prime Focus Spectrograph



Prime Focus Spectrograph



Delivery in April, 2018

Single Spectrograph Module

Entrance Unit (ENU)

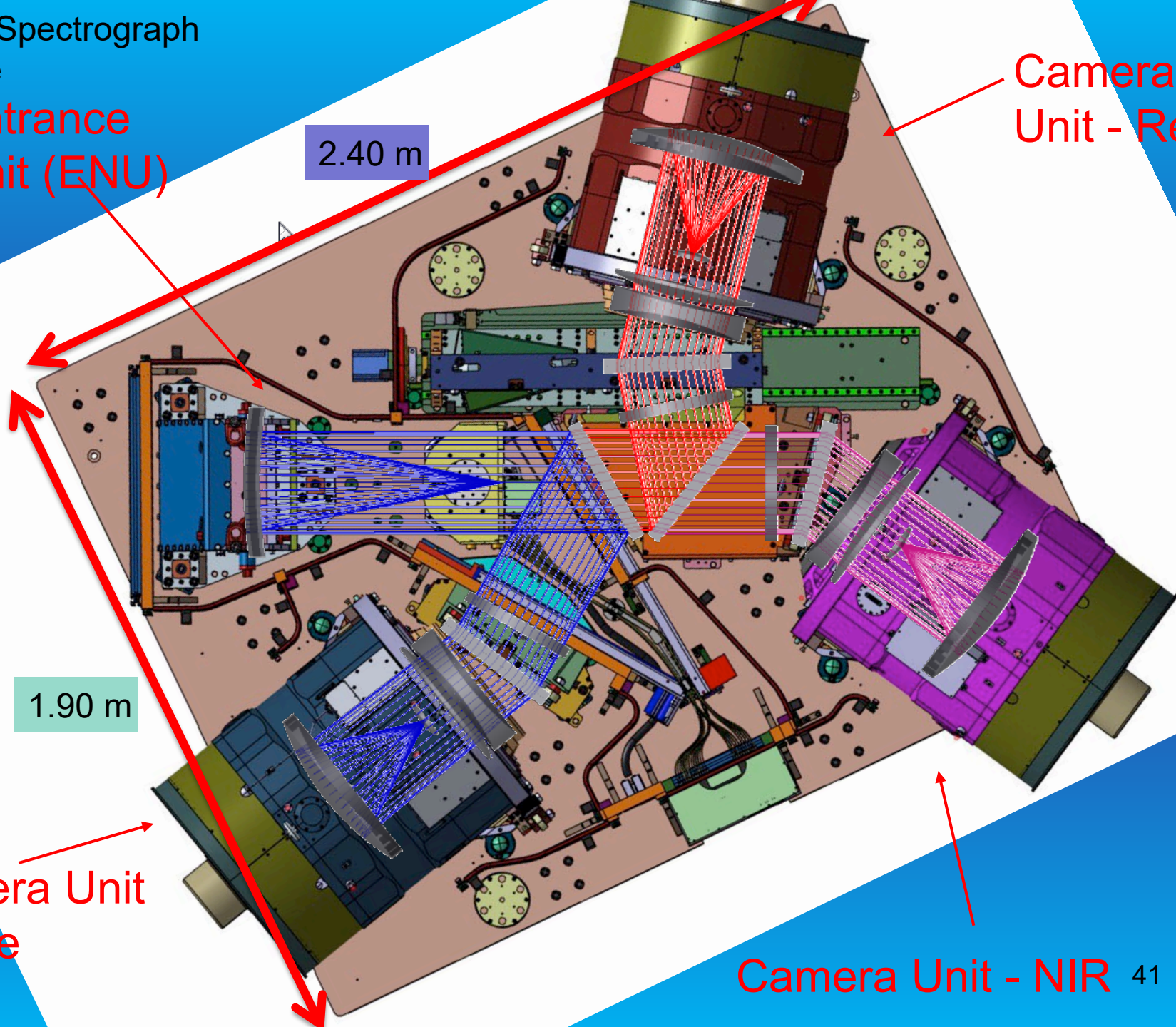
2.40 m

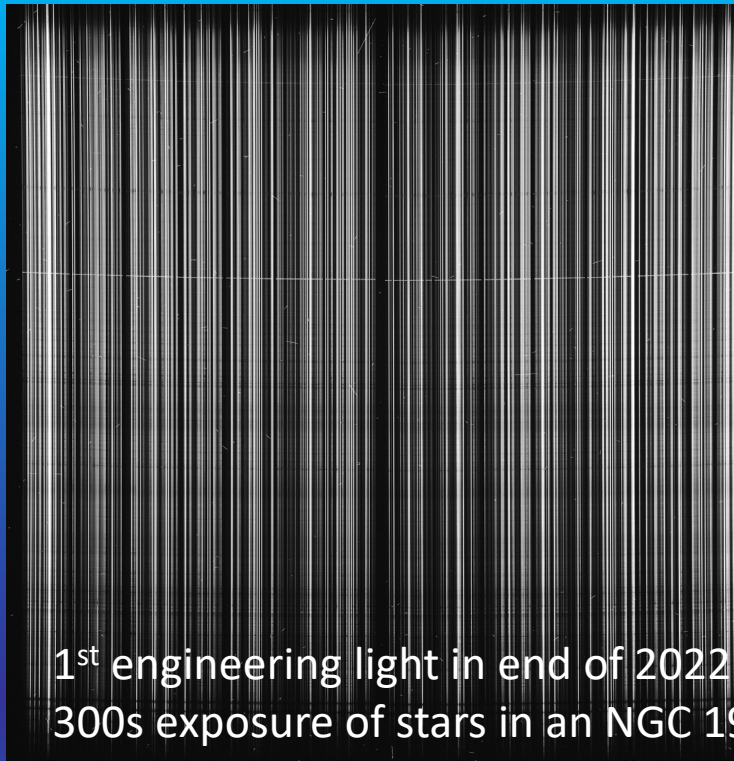
Camera Unit - Red

1.90 m

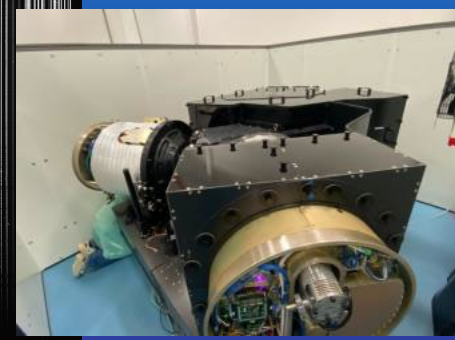
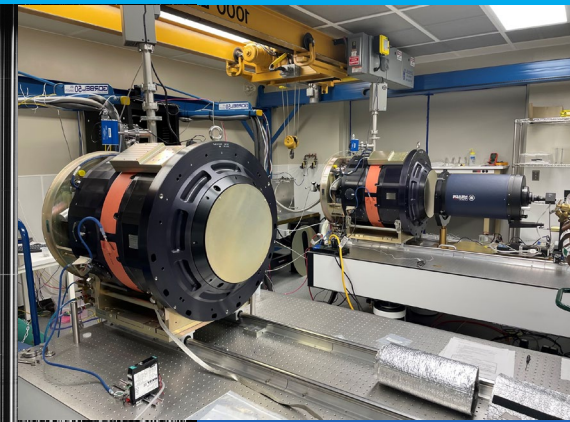
Camera Unit - Blue

Camera Unit - NIR





1st engineering light in end of 2022.
300s exposure of stars in an NGC 1980 field w/ SM1 & SM3 blue
cameras

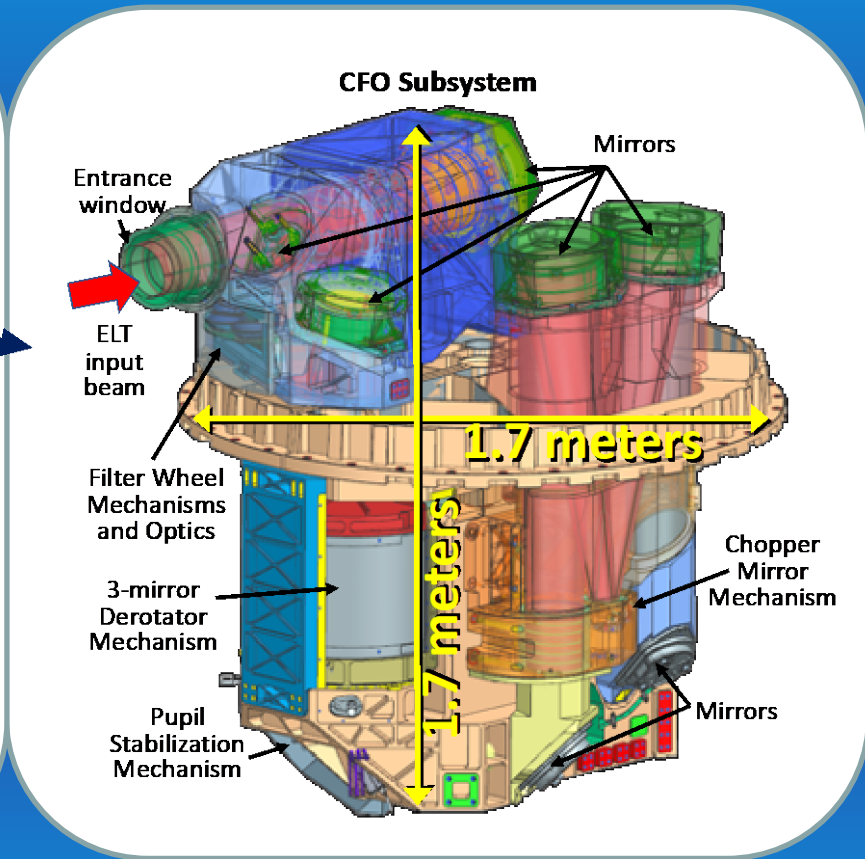
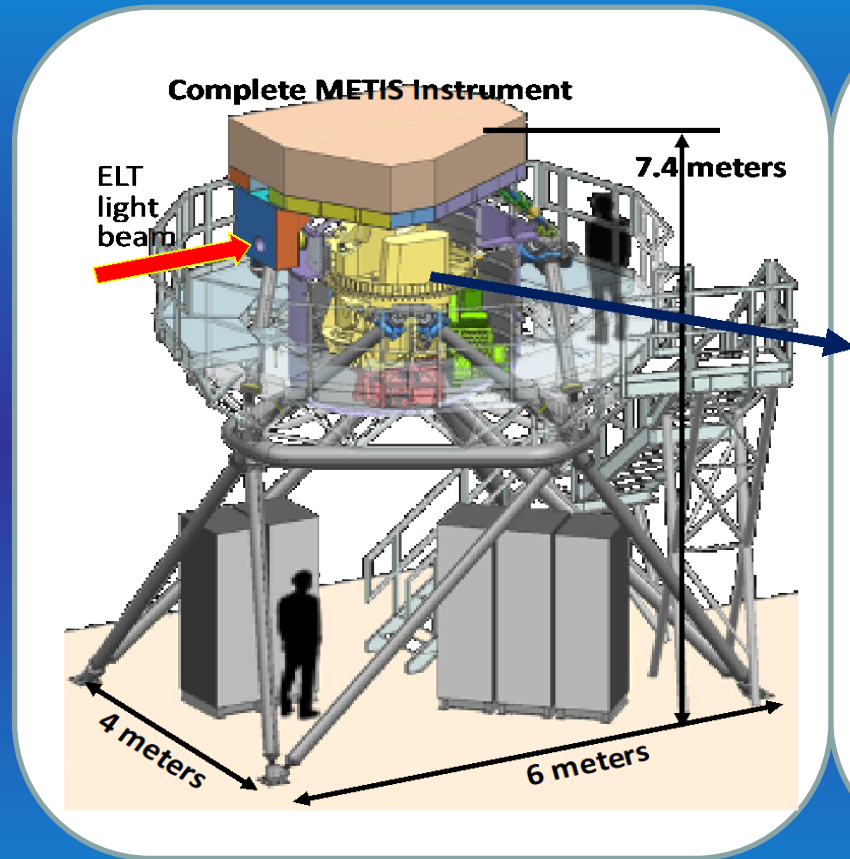


NIR Cam. N2 arrives (March,
2023)

Currently conducting science
operations (summer, 2026)

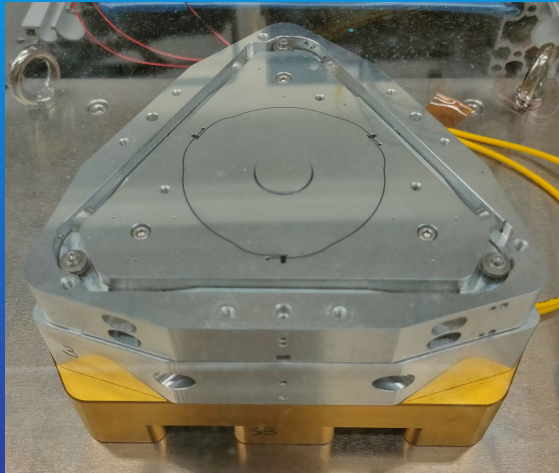
Other on-going OIR project in ASIAA

Mid-infrared ELT Imager & Spectrograph (METIS)

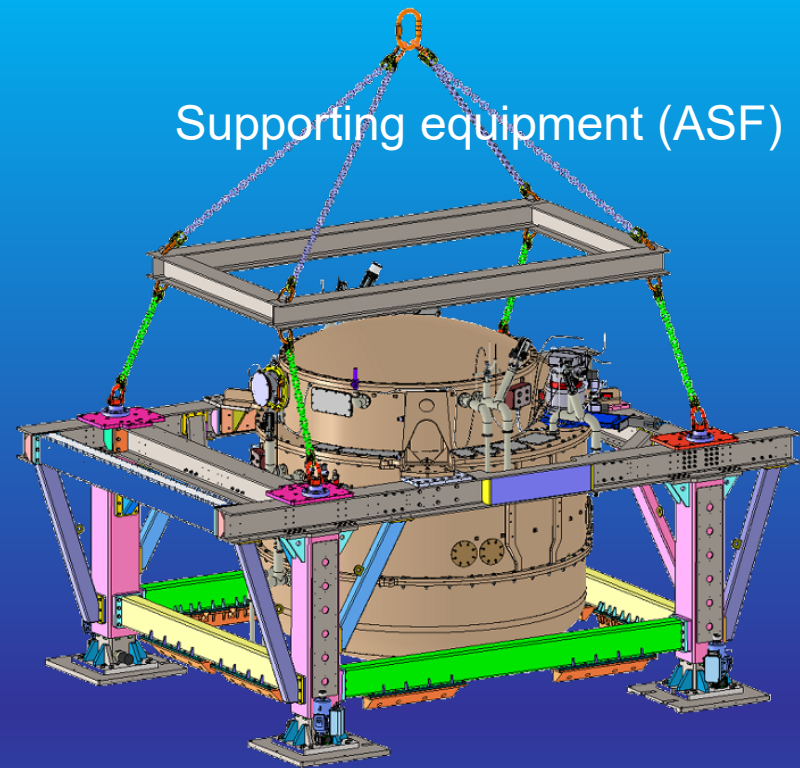


1. 1st gen ELT instrument,
2. Contains imager & spectrograph
3. Wavelength 3 - 13 μ m

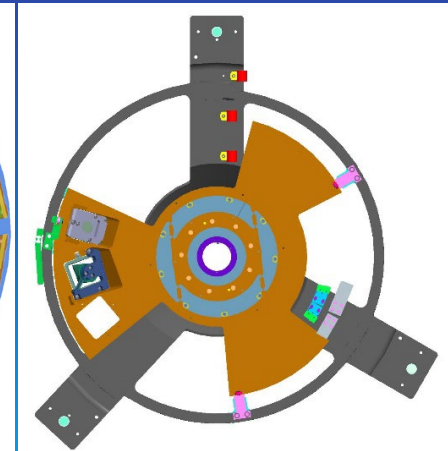
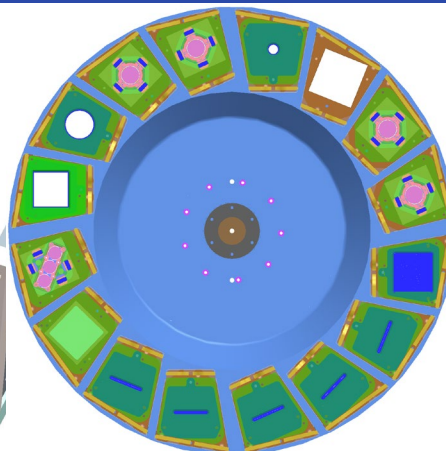
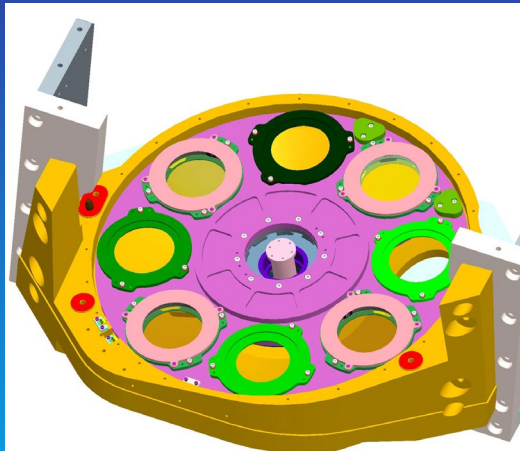
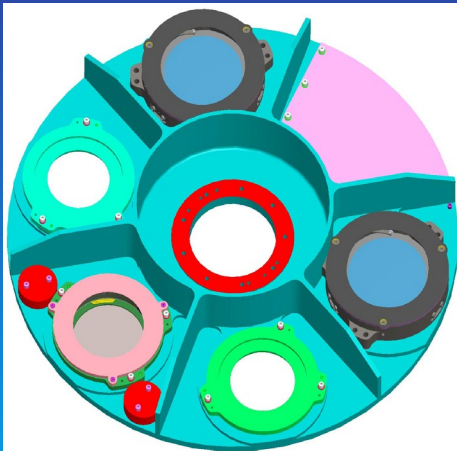
4. Science goal: exoplanet atmosphere, formation proto-stars, etc.



Chopping algorithm



Supporting equipment (ASF)

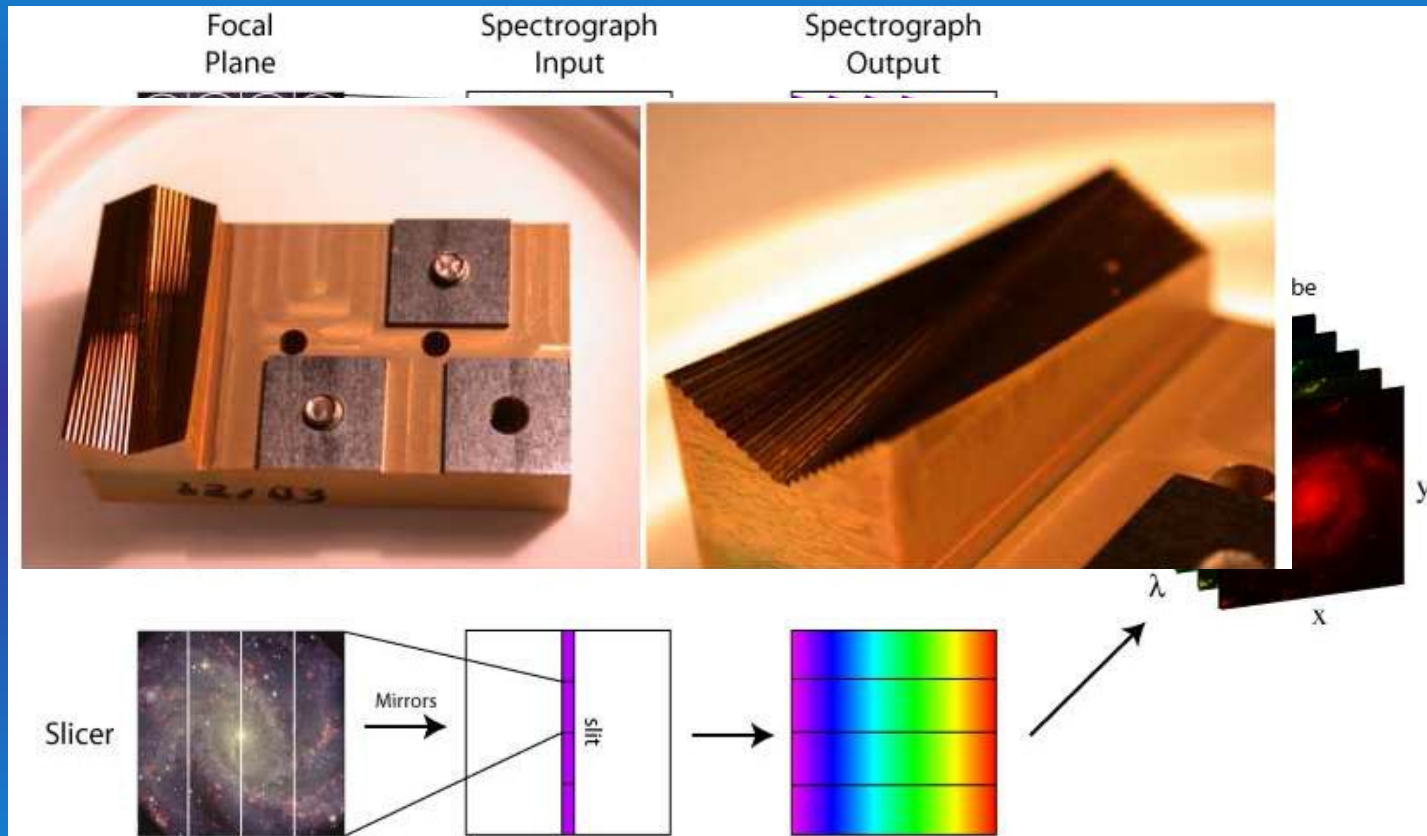


Four filter/mask wheels

Delivered Jan. 2026

Fin

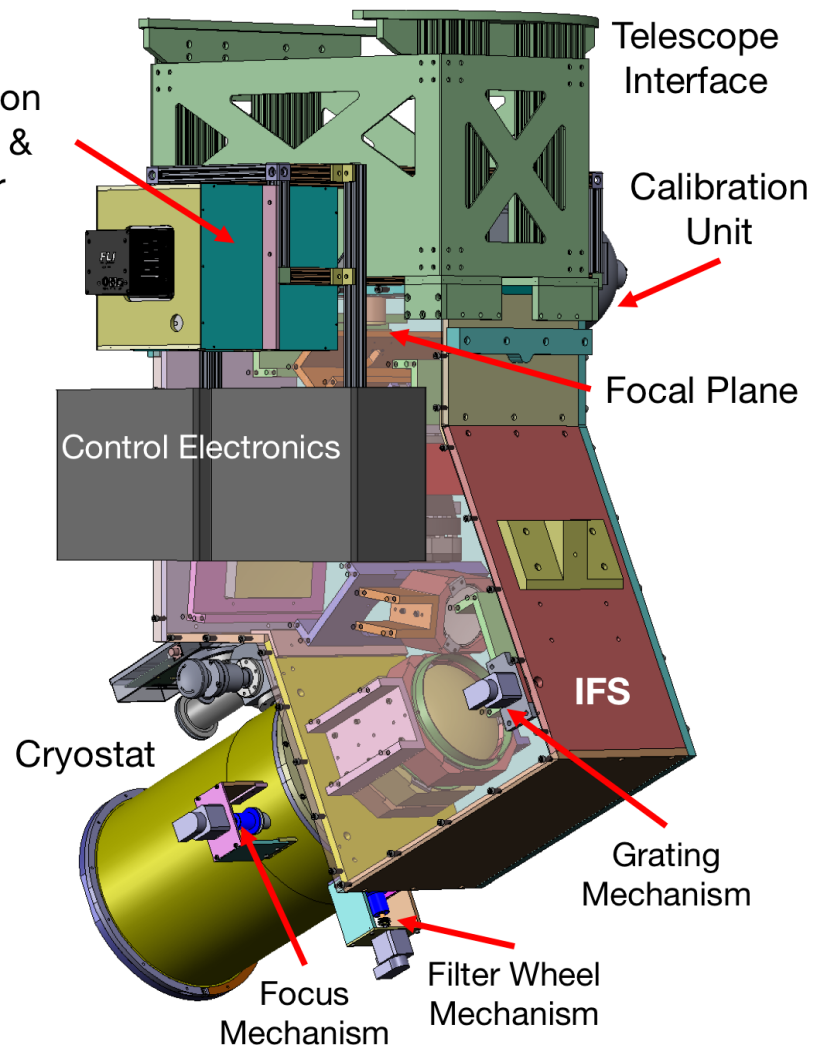
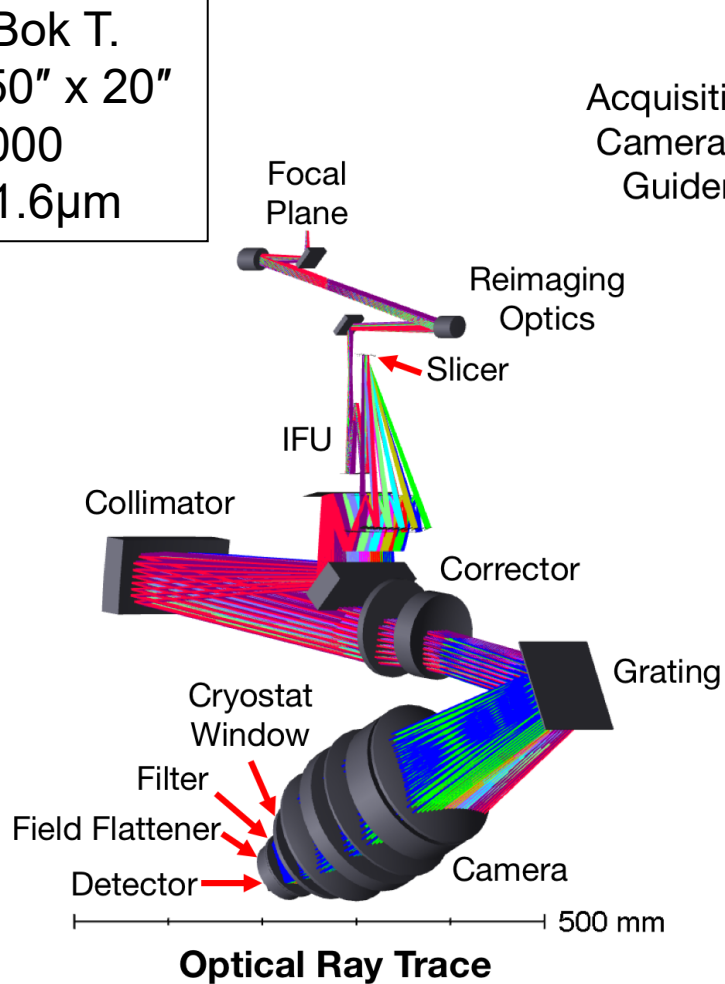
Integral Field Spectrograph



Obtaining 3-D info using 2-D sensor
FoV -> Spectrum

Wide Integral Field Infrared Spectrograph (WIFIS)

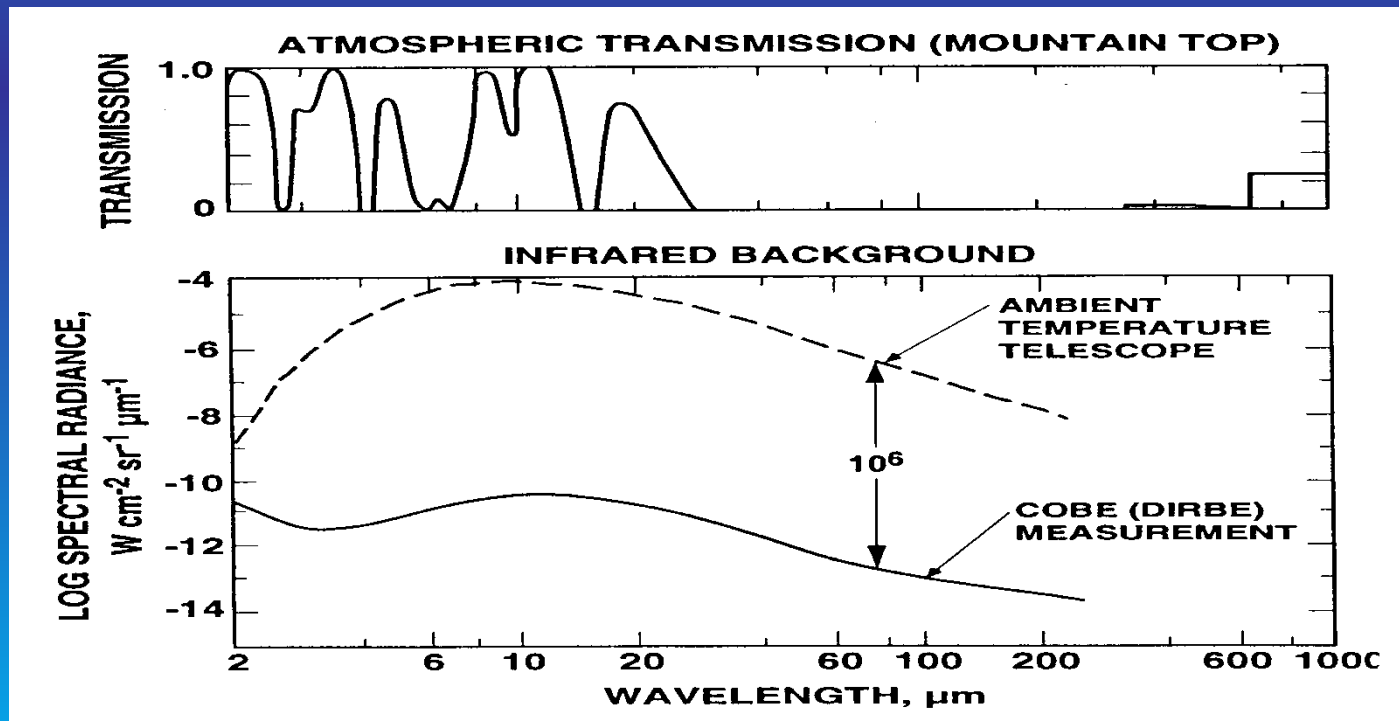
- * 2.3m Bok T.
- * FoV: 50" x 20"
- * $R \sim 3000$
- * 0.9 – 1.6 μm



Background in Space

Zodiacal nebula: The dust around the zodiacal plane is heated by the sunlight and also scatters the sunlight. This is the main source of background of space observation.

Cosmic Background Radiation: 2.7 K CMB.



Example: La Silla

Sky	J	H	K
Dark	16.7	15.0	13.0
Bright	15.8	13.8	12.7

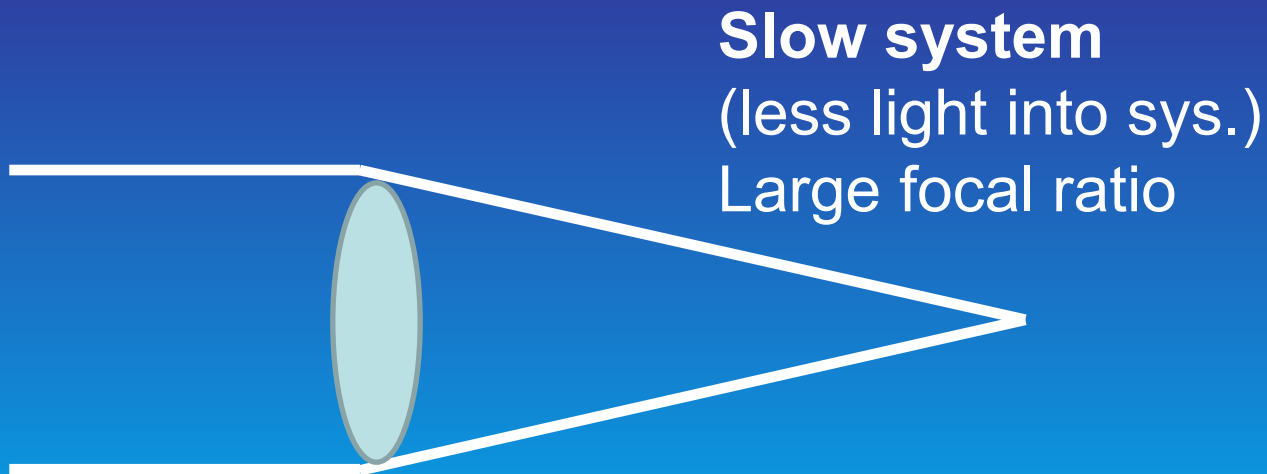
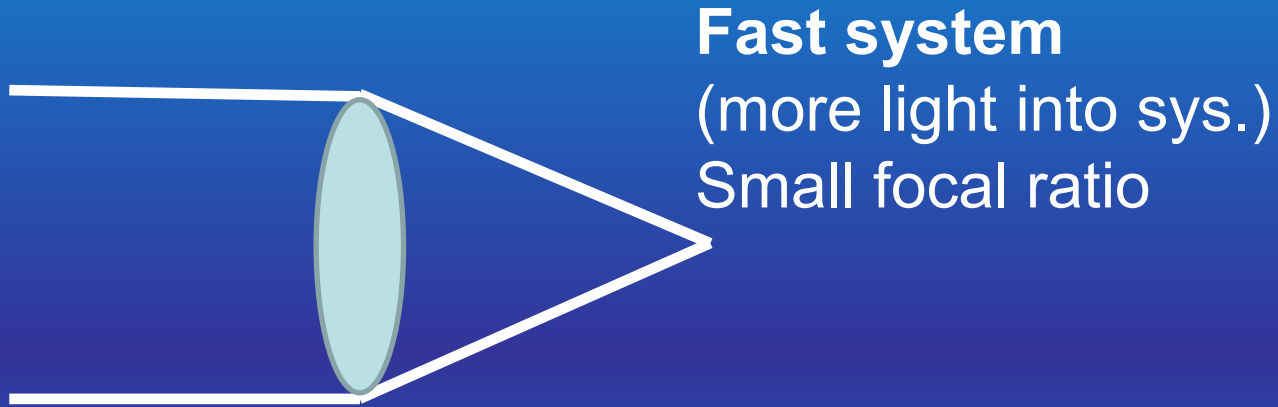
Astronomical magnitude:

$$m_1 - m_2 = -2.5 \log[F_2/F_1]$$

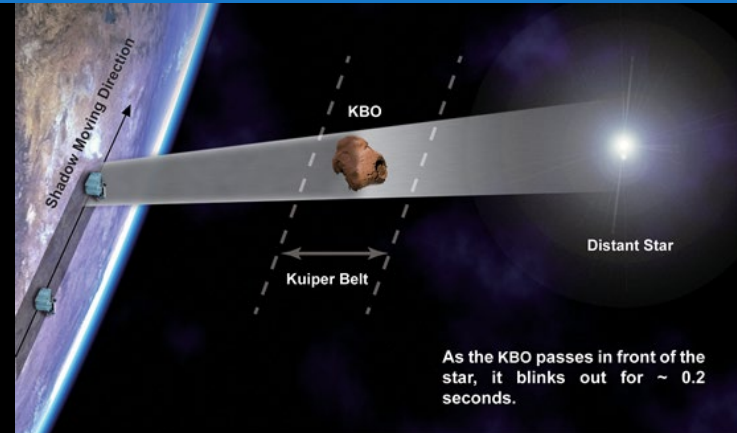
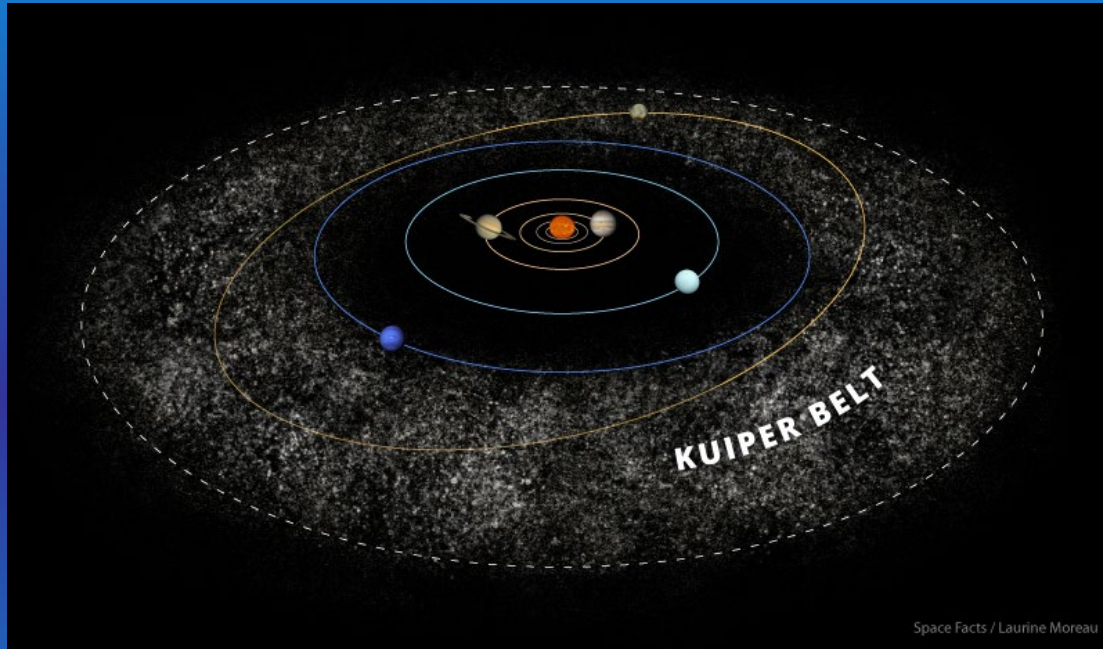
In the unit of Mag/arcsec²

Site	U	B	V	R	I
La Silla	21.9	22.7	21.9	21.1	19.9
Paranal	22.0	22.5	21.5	20.8	20.0
Tololo	22.0	22.7	21.8	20.9	19.9

Optical Jargons

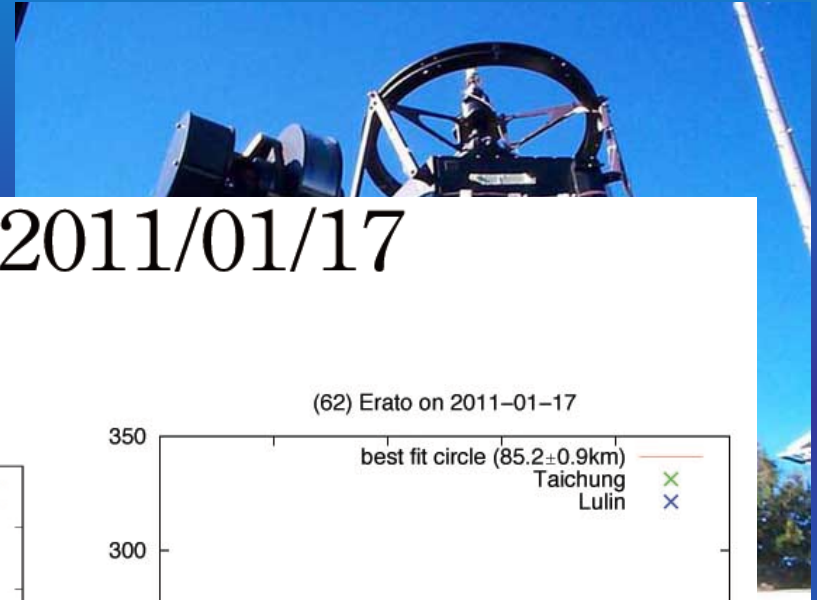
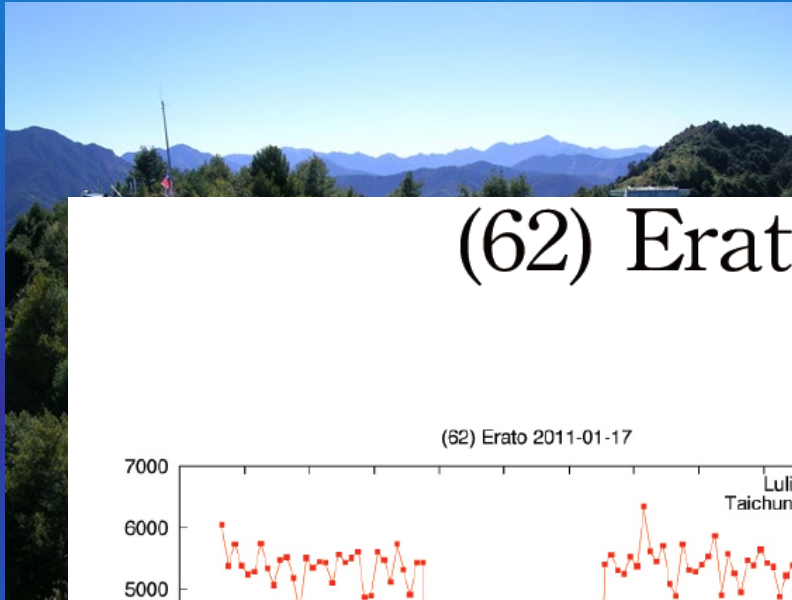


TAOSI, II

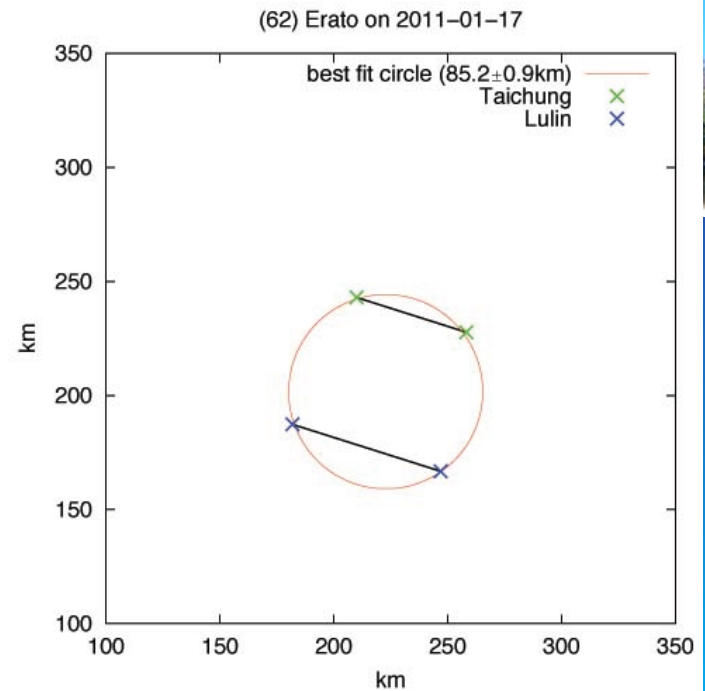
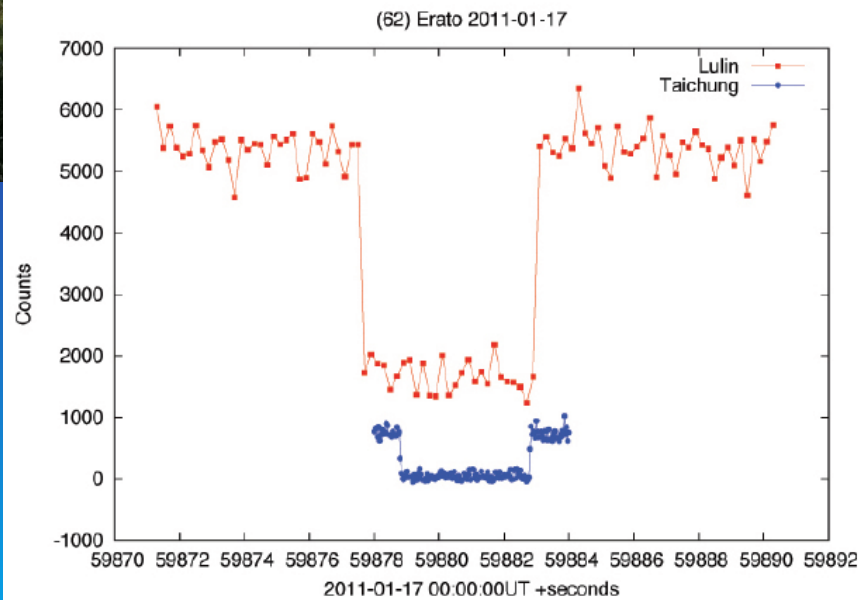


- Transneptunian Automated Occultation Survey
- Goal: to understand the number/distribution of Kuiper belt objects
- Asteroids are too dim to observe, use occultation method to study

TAOS I



(62) Erato 2011/01/17



Technical Requirements

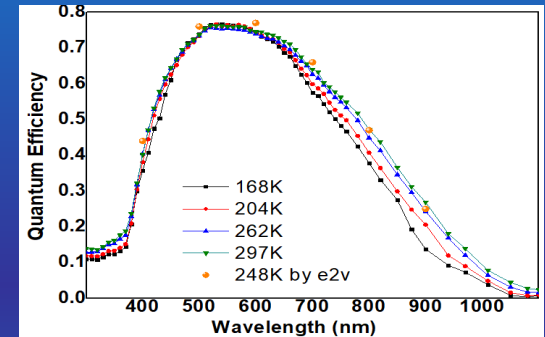
10,000 Stars Monitoring with 20 Hz Frame Rate

Camera Specs:

- 88 Mpix camera (3 of them)
- 20 Hz readout
- 3-4 TB of raw image data per night!
 - Sub-aperture readout
 - 250 TB/night (full frame)

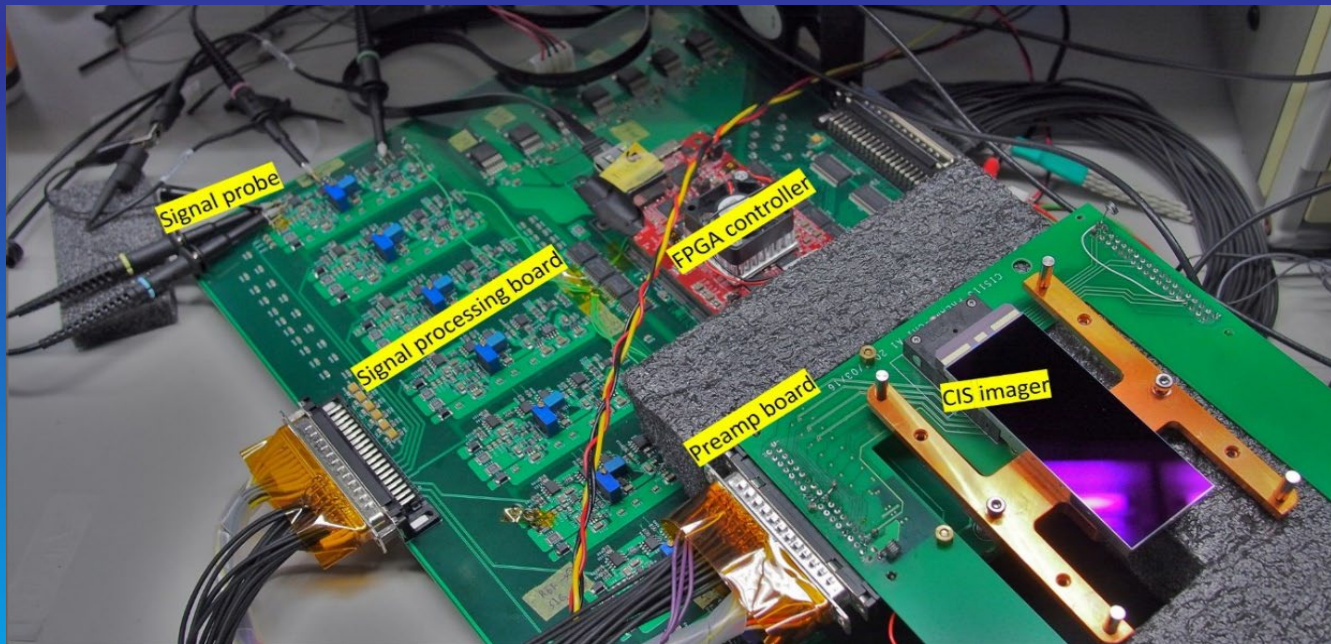
Custom CMOS from e2v

- 1920×4608 3-edge buttable
- Back side illuminated



Camera Control System

- Control electronics is based on the SAO CCD control electronics
 - FPGA based digital circuit + analog bias system and video processor
 - One system per chip, 10 FPGAs for one camera



What are needed in an instrument

- Optics: lenses or mirrors. define f/#, optical quality
- Dispersion elements: gratings, polarizer, prism, resolution needed, spectral coverage.
- Mechanical structure: enclosure, spiders.
- Sensor array: sensitivity, readout speed
- Temp controller: control working temperature
- Shutter & filters: exposure time, photometric bands
- Electronics : clock generator, ADC, preprocessing, noise
- Software : data acquisition, imaging processing pipeline