

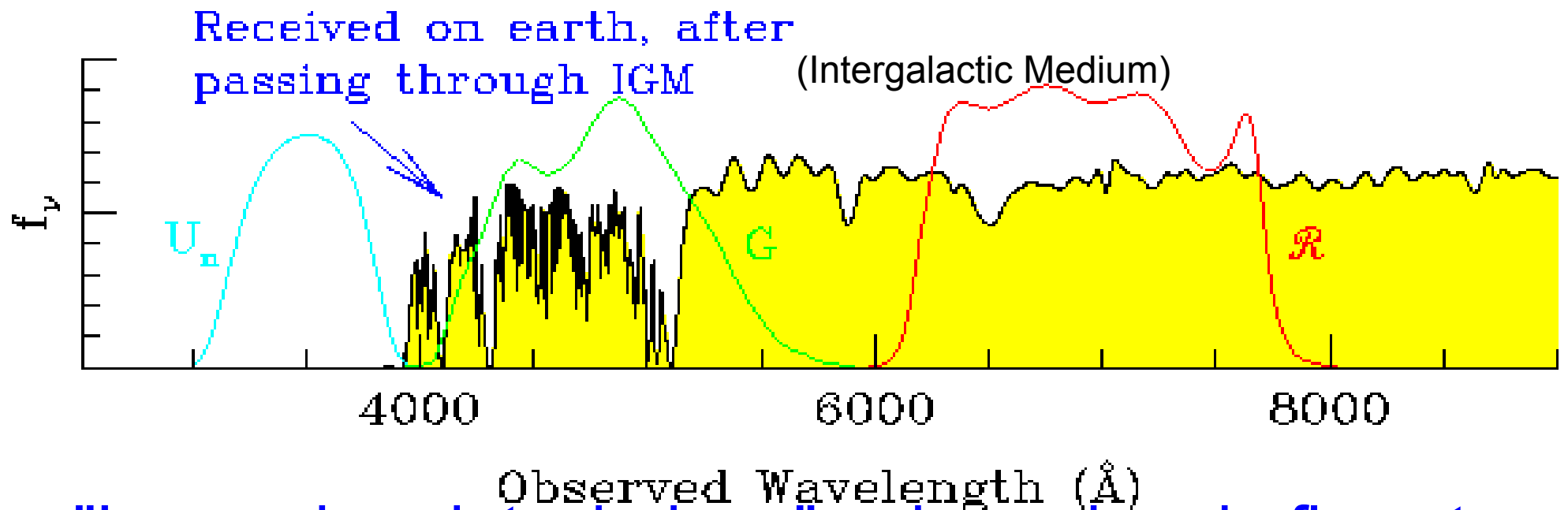
**Andrew Bunker,**

**University of Oxford**

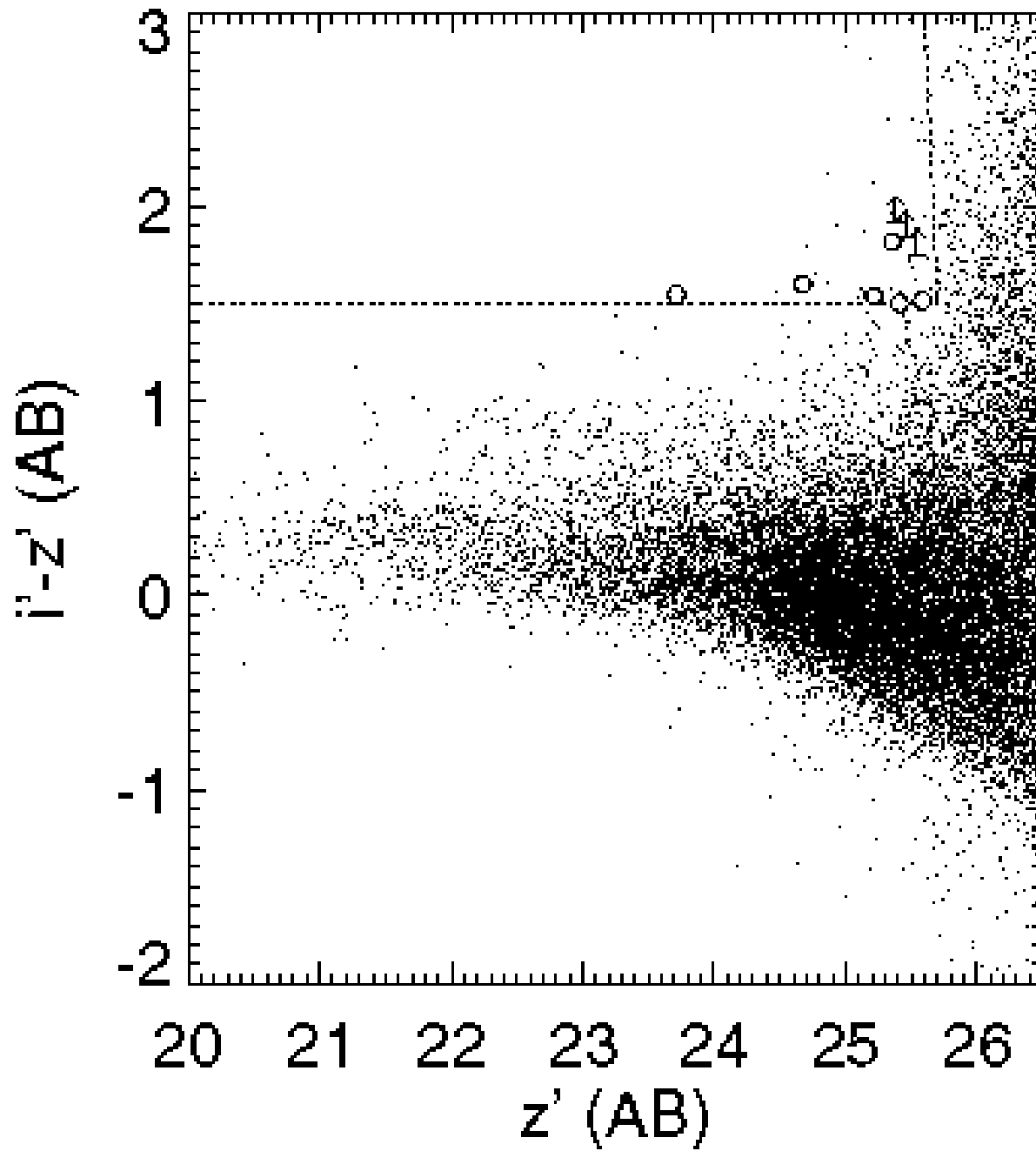
**Lecture 2 – Properties of high  
redshift galaxies:**

***Their Evolution and Role in  
Reionization of the Universe***

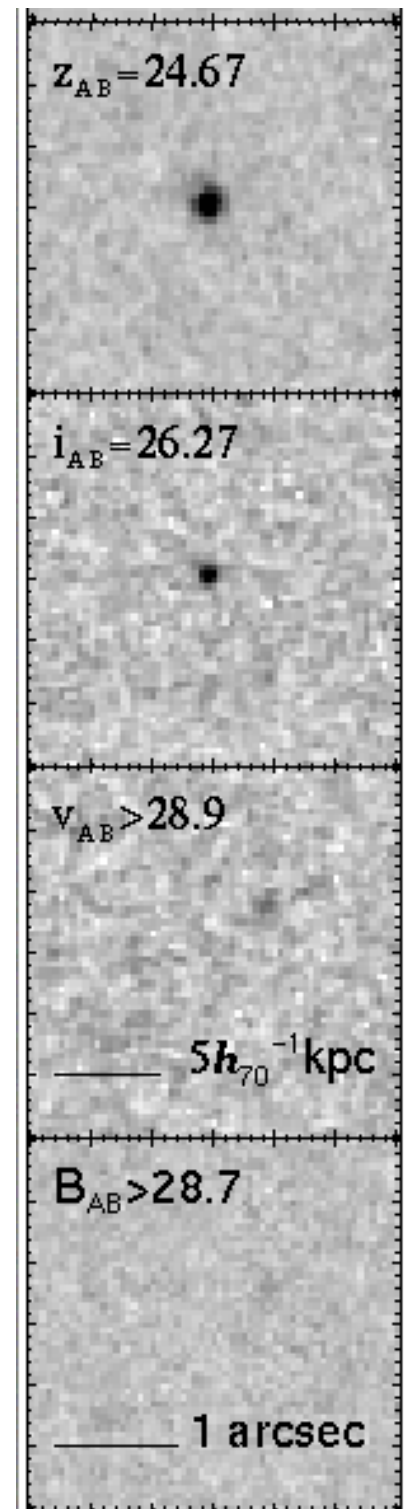
**TIARA Winter School, Feb '12**



"Lyman break technique" - sharp drop in flux at wavelengths less than Ly- $\alpha$  - hydrogen gas between us and the distant object absorbs light at the energy of quantum electron transitions. Lower redshift, so shorter wavelength. A distant (high redshift) object should have no detectable emission at short wavelength

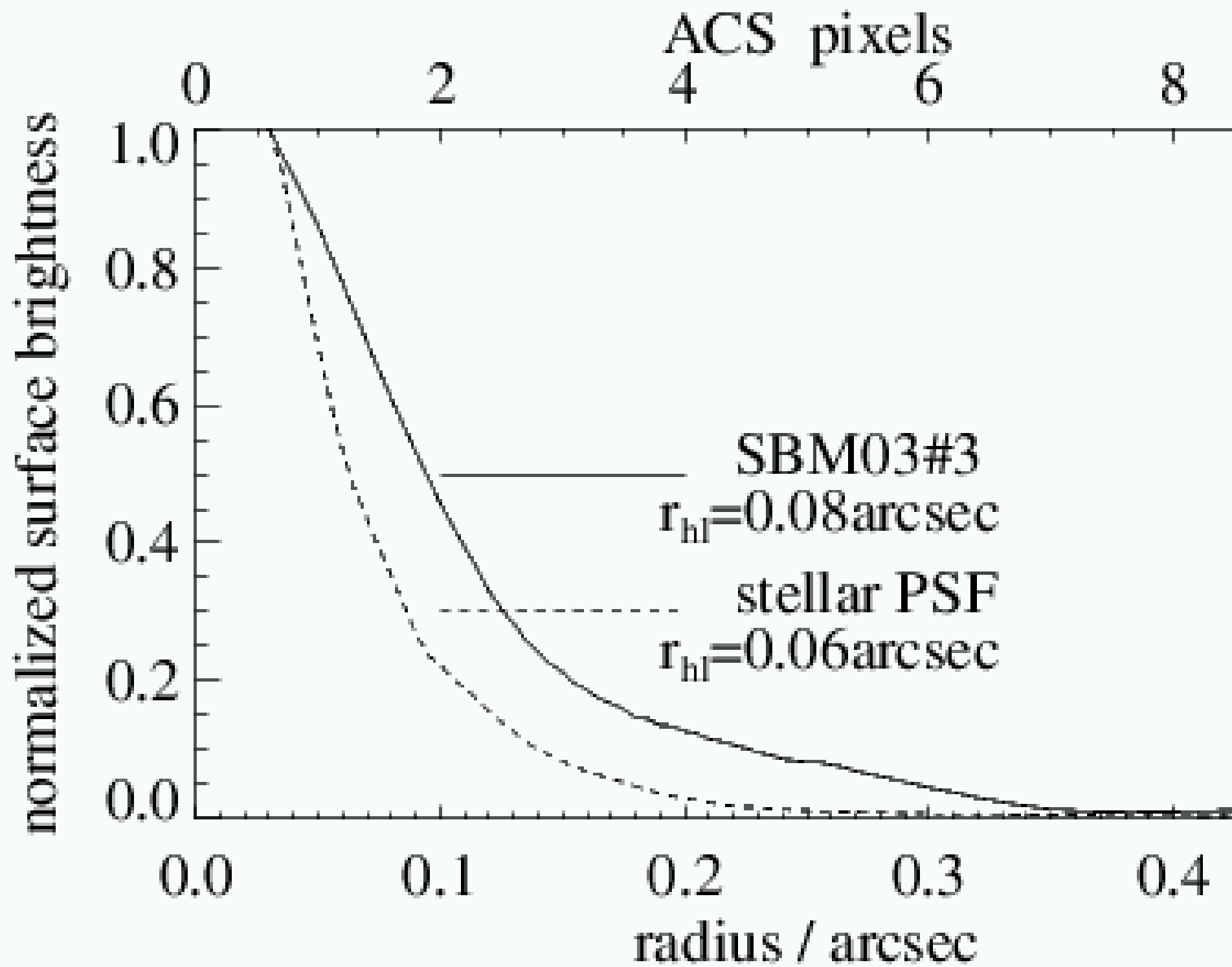


Using HST/ACS GOODS data - CDFS & HDFN, 5 epochs B,v,i',z'



# *The Star Formation @z~6 with HST imaging and Keck & Gemini spectra*

- Select  $i'-z' > 1.5$  and  $z' > 25.6$  (AB mags) with GOODS
- Corresponds to  $15 M_{\odot}/\text{yr}$  at  $z=5.9$  (luminosity-weighted centre of selection window) - *prone to dust*
- this is  $\sim 1 L^*$  of the star-forming Lyman break population at  $z \sim 3-4$  - Survey about 200sq. arcmin ( $200,000 \text{ Mpc}^3$ ) each in 2 different GOODS fields, HDFN-N & CDFS-S
- Numbers consistent: about 6 in each (after removing M/L/T dwarf low-mass stars, major contaminant)
- Spectroscopic confirmation of some of these: see Lyman- $\alpha$  in emission in some (but not all). Using Keck/Deimos (with Richard Ellis) and Gemini/GMOS (GLARE project, with Karl Glazebrook, Bob Abraham etc., nod&shuffle). Other groups using FORS2/VLT.

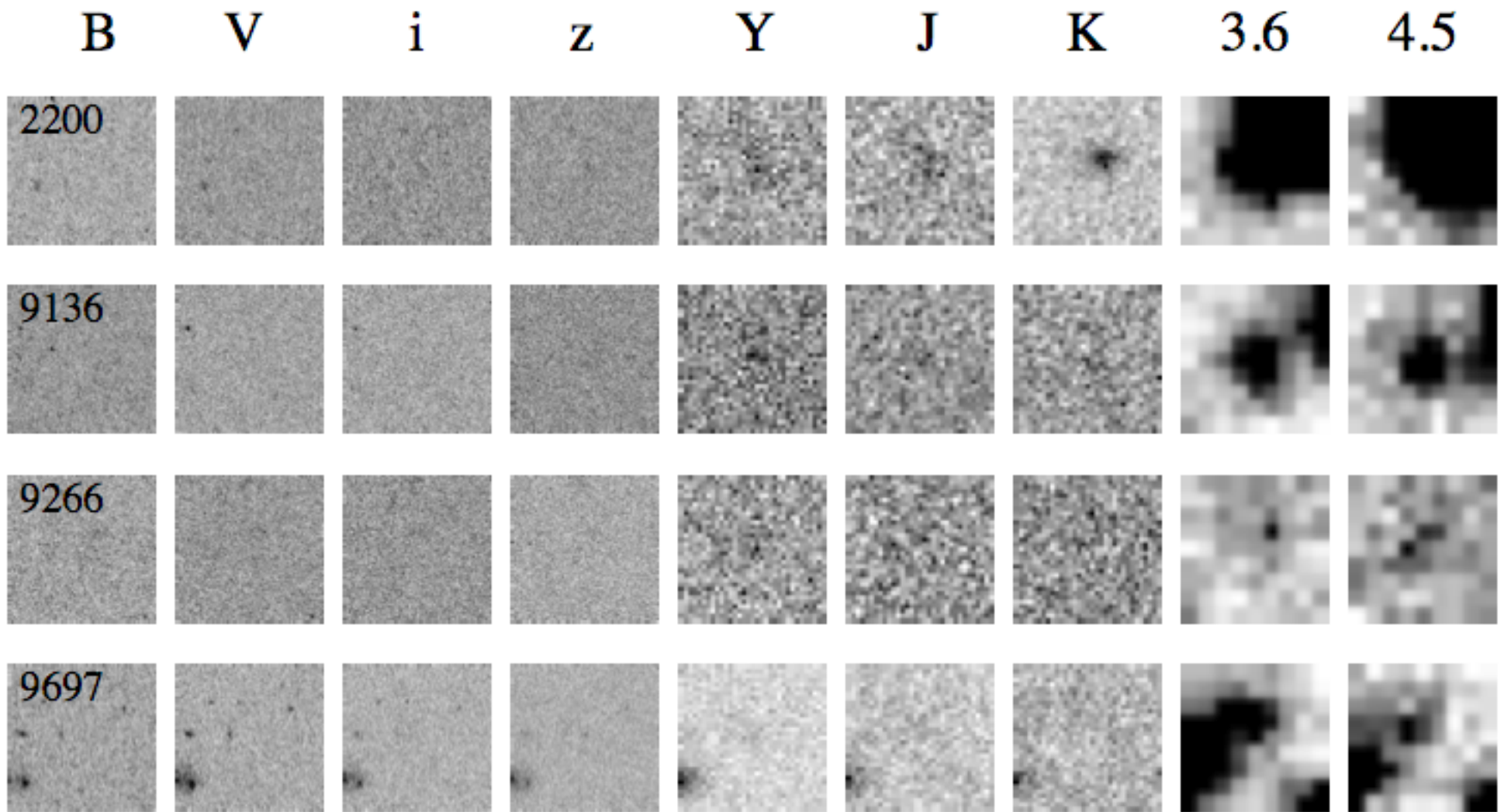


# What about at $z > 7$ ? (rest-UV at $> 1$ micron)

- these are the z-drops, Y-drops, J-drops  
Mannucci, Buttery, Maiolino et al 2007  
use  $J+K < 25.5$  AB, see no non-stellar  
drops in GOODS-S over 140 sq. arcmin  
Bouwens et al (2005) have  $\sim 4$   
candidates in 5 sq. arcmin UDF-NICMOS  
to AB=27.5 mag

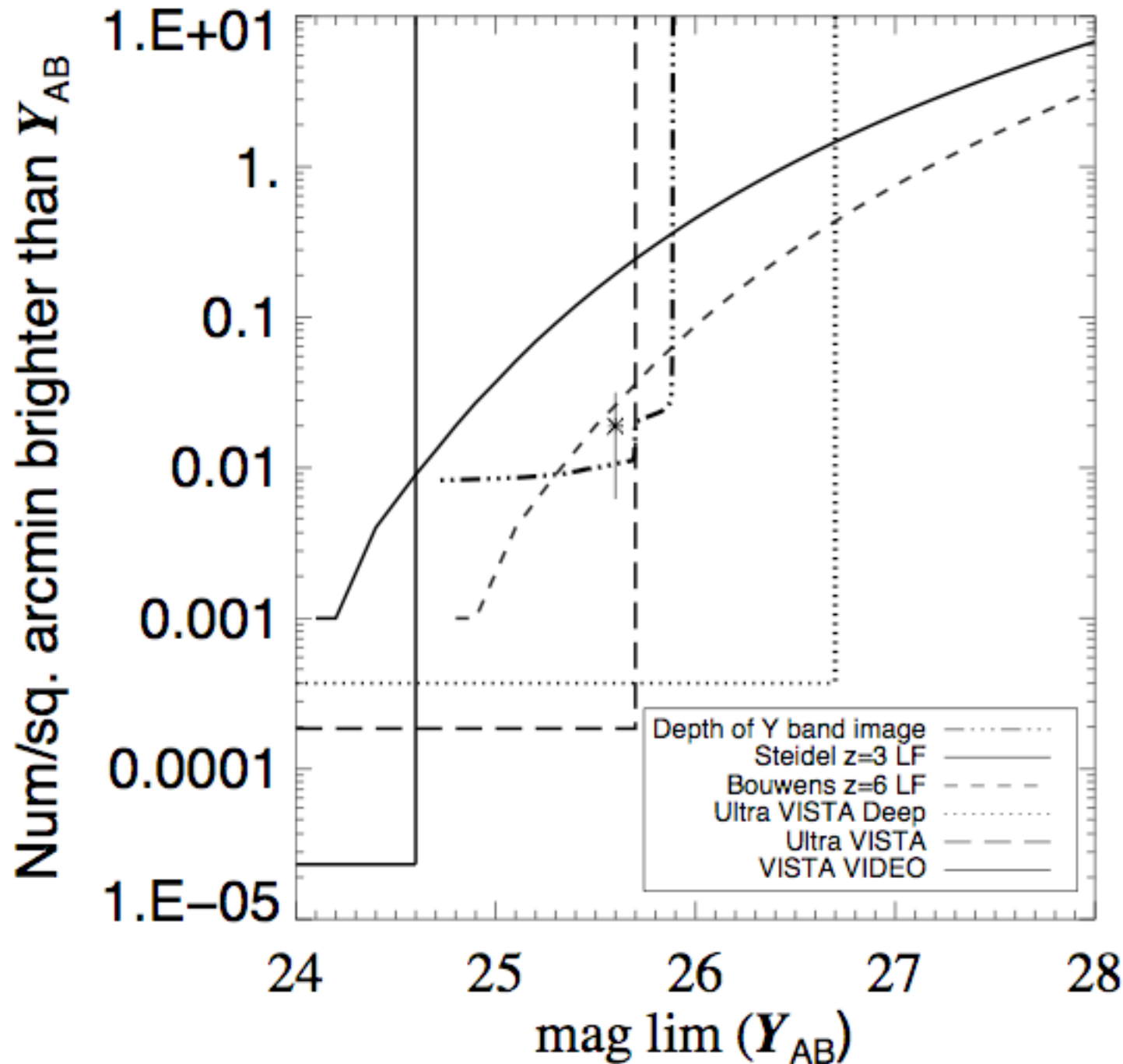
New ground-based instruments (e.g.  
HAWK-I on VLT, and VISTA)

A WFC3 campaign is today exploring  
larger fields to comparable depth or  
deeper, match to NIRS spec field



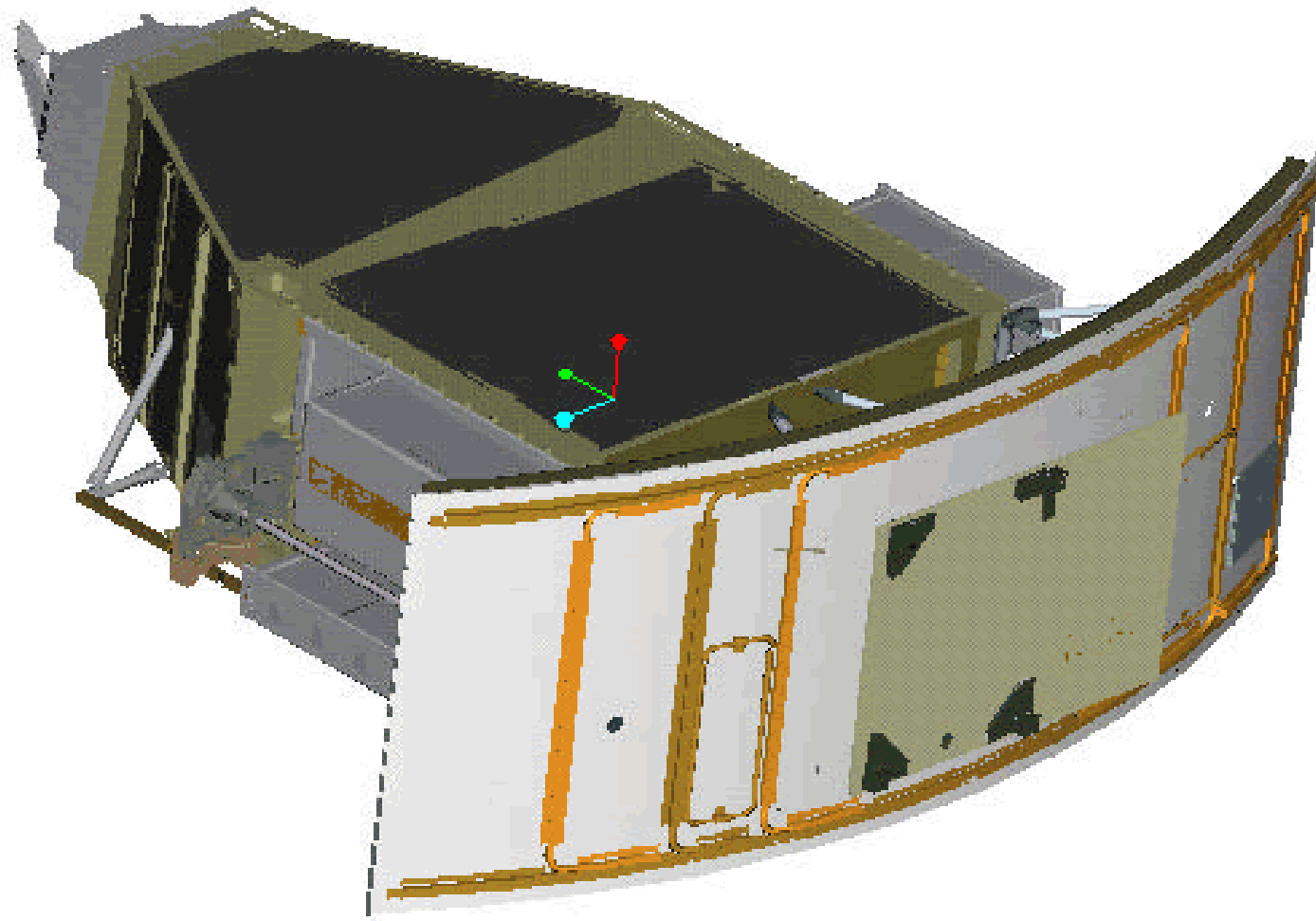
Hickey, Bunker, Jarvis, Chiu & Bonfield (2010 MNRAS):  
z-band dropout candidates in GOODS-South from HAWK-I

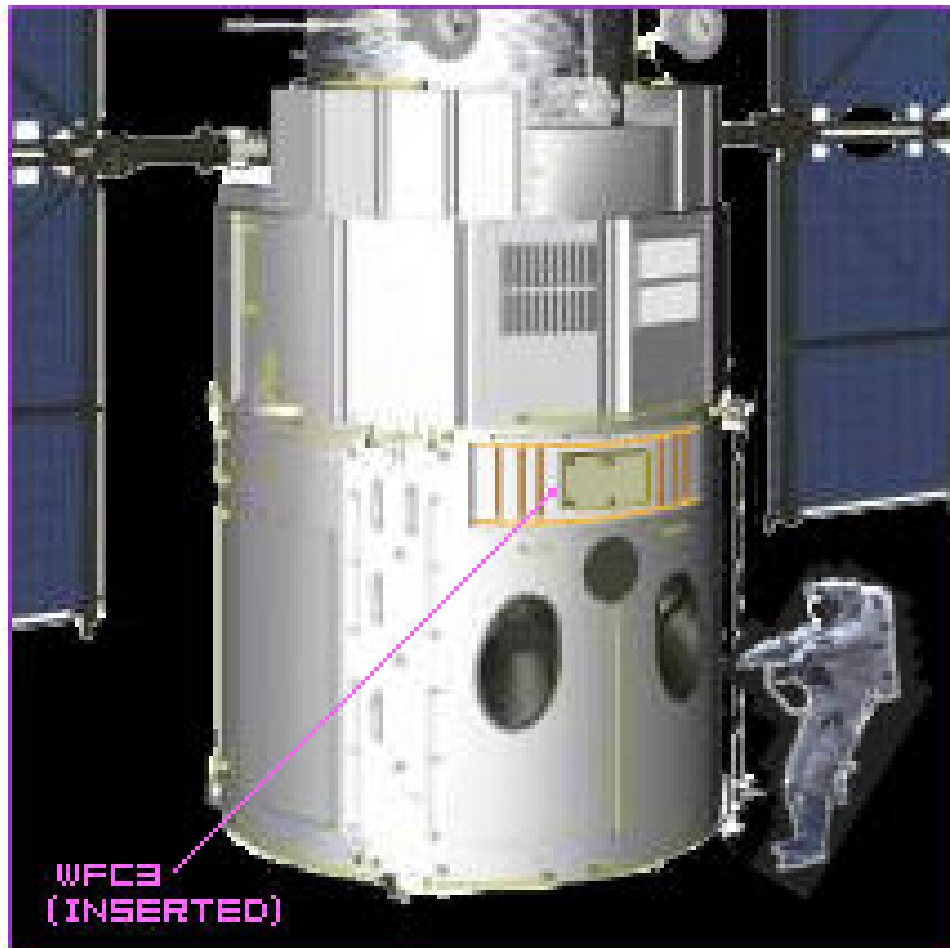
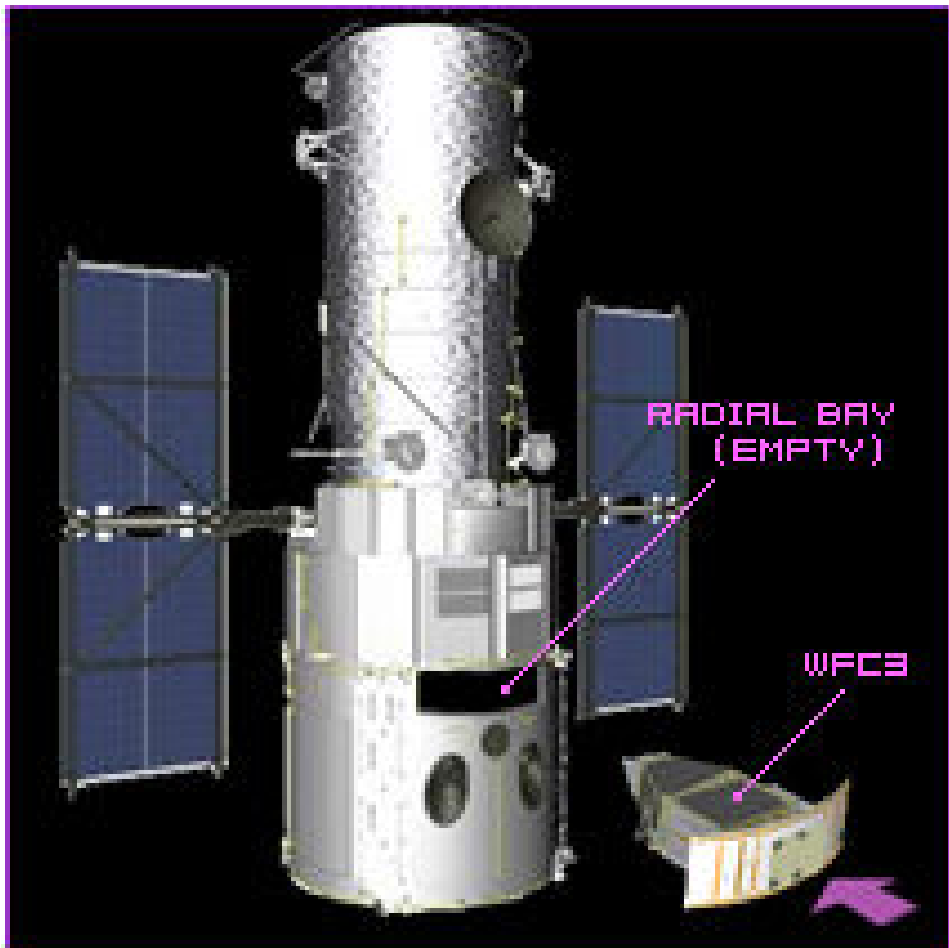
# The next-step: finding galaxies at $z > 7$ to explore stellar pops



ESO/MLT  
HAWK-I  
Y-band: look  
for z-band  
dropouts  
At  $z > 7$   
Samantha  
Hickey,  
A. Bunker,  
Matt Jarvis  
et al. (2010)  
MNRAS

# HST WFC3

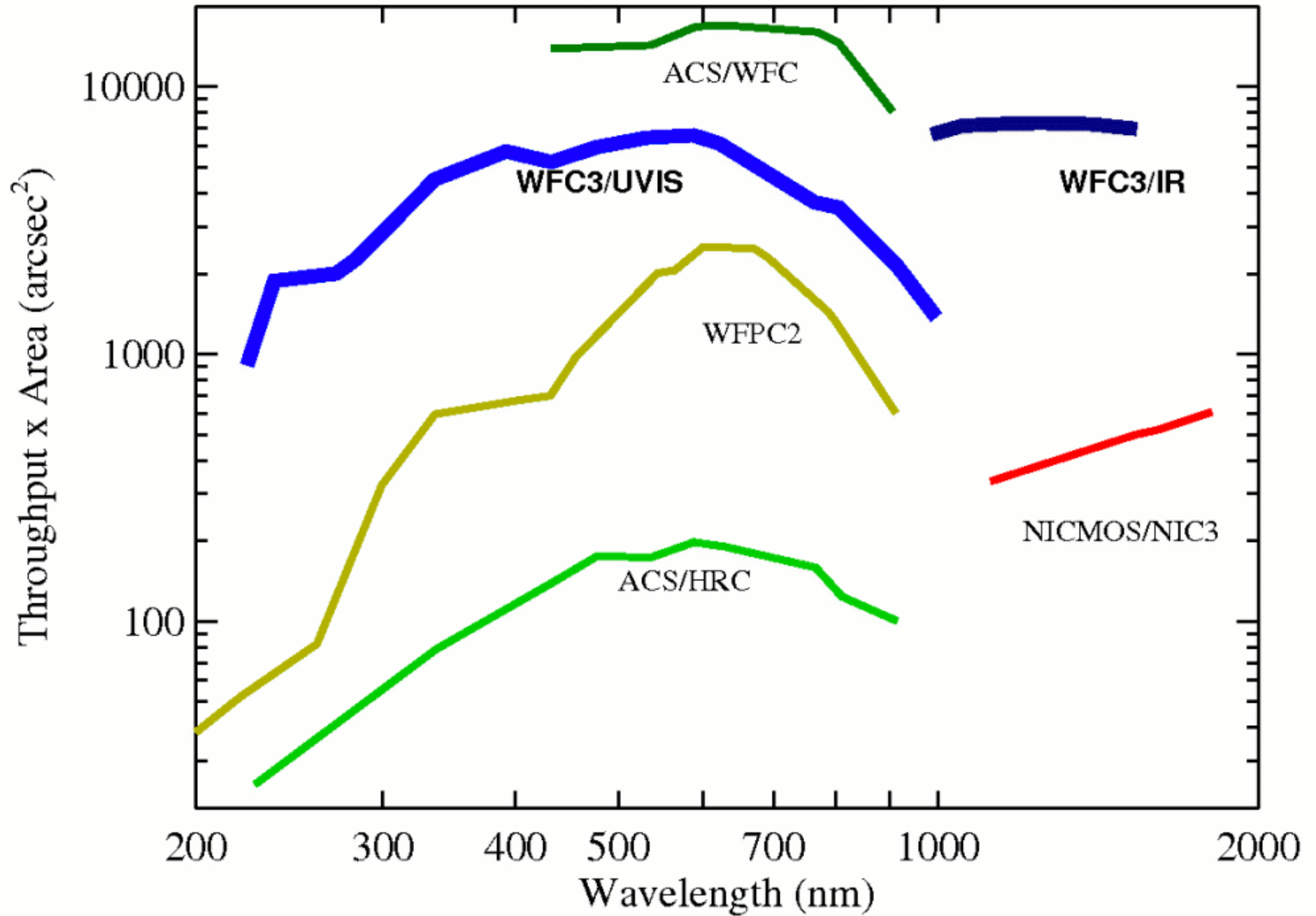


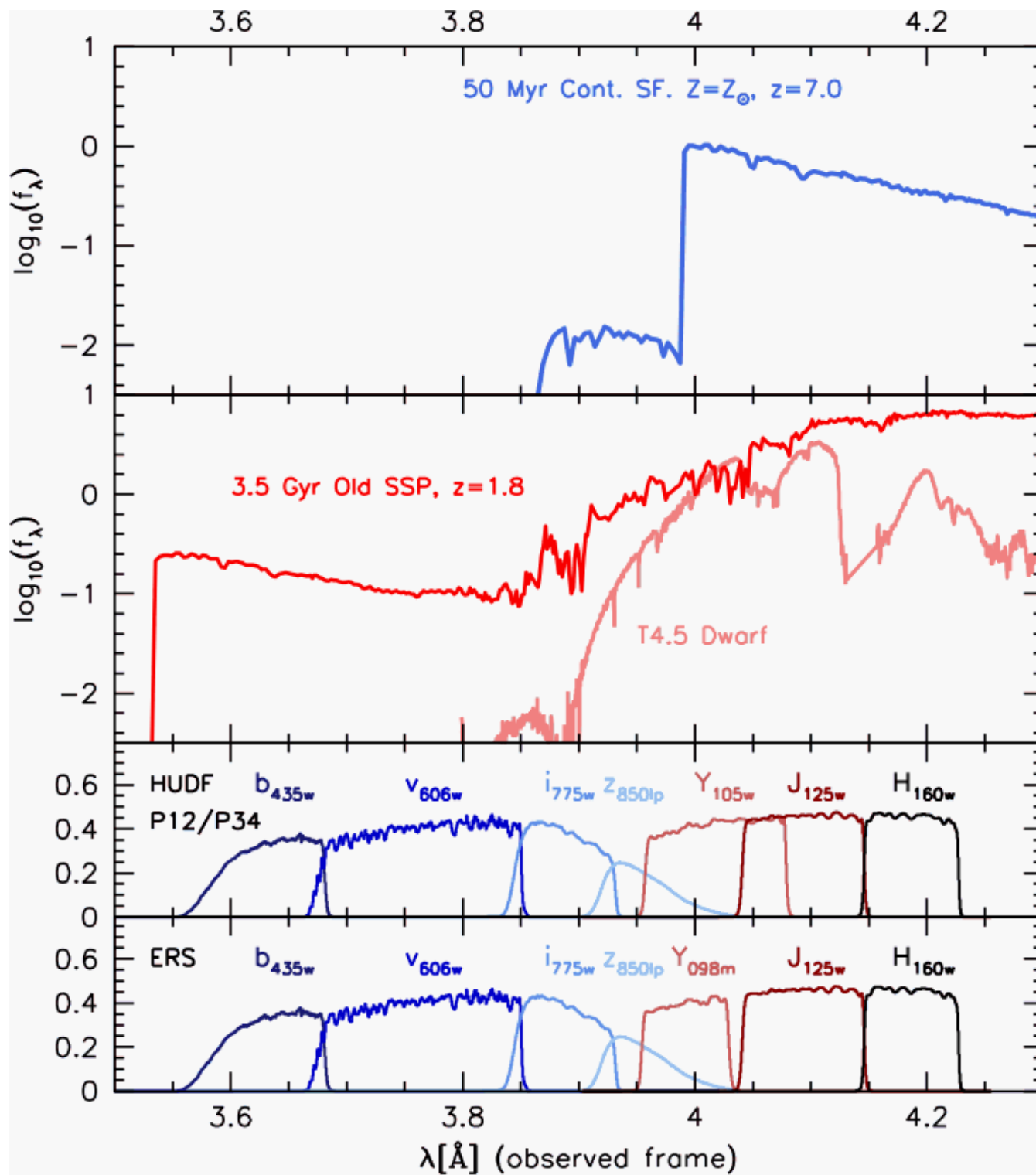




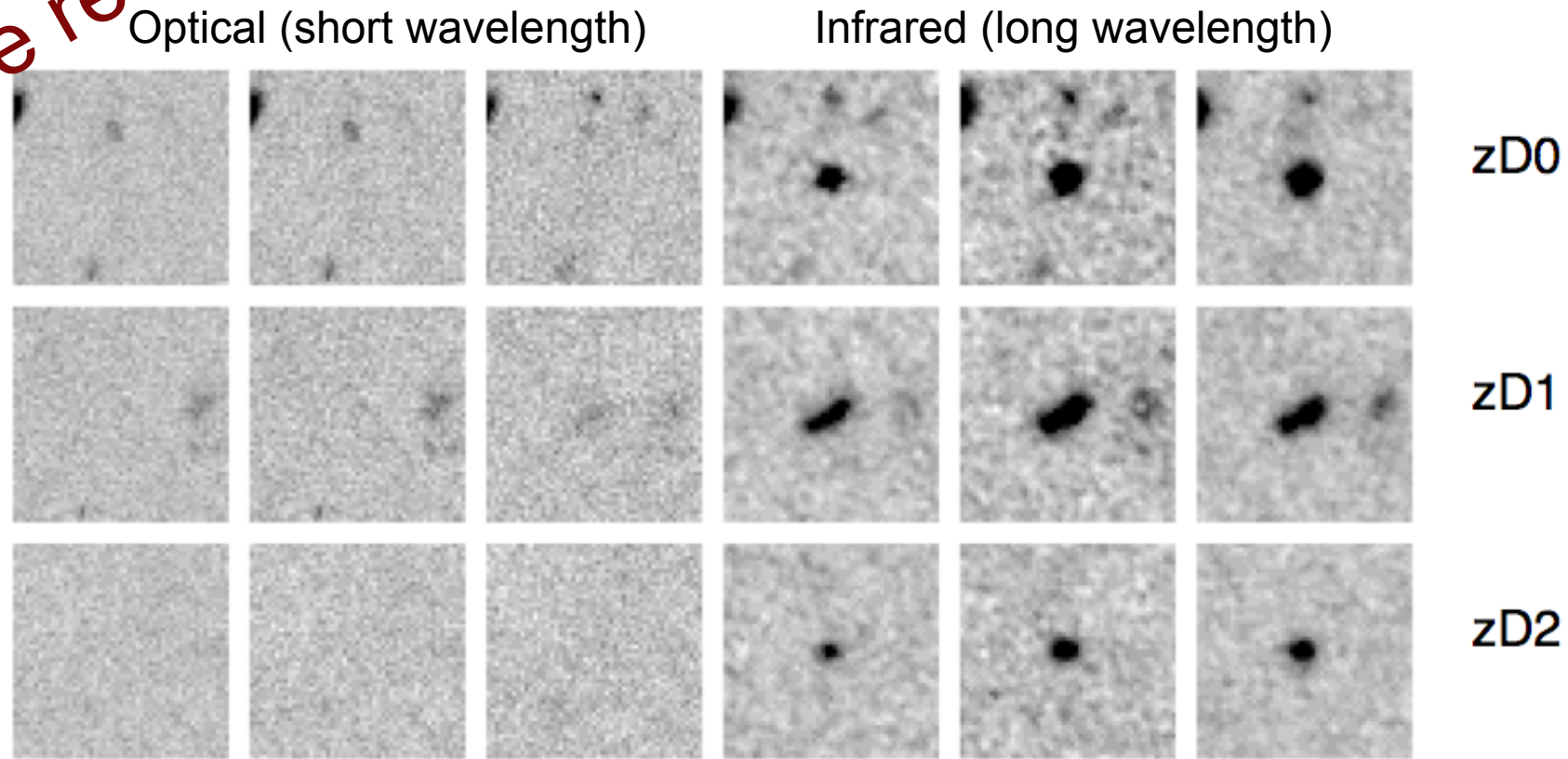
S109E5660

# HST Survey Discovery Efficiency





# New Hubble results



RECENT EXCITEMENT - 100 orbits of HST with new WFC3 in 3 near-IR filters on Hubble Ultra Deep Field. Galaxies at  $z=7-9$ ! Data first taken late in 2009. 4 papers immediately (including by the Oxford group - my graduate students and postdoctoral researcher) and several more since. Probably most distant objects yet...

# Definition of the Luminosity Function

The distribution of galaxy luminosities is quantified by the luminosity function,  $\phi(L)$ . The number of galaxies  $\delta N$  located in a volume  $\delta V$  with luminosity in the range  $(L, L+\delta L)$  is:

$$\delta N = \phi(L) \delta L \delta V$$

This assumes (unrealistically) a universal luminosity function, independent of location! In principle we should have a luminosity function that depends on position, but we are making two approximations. Firstly, we're assuming the luminosity function is separable from the density function  $\phi(L, \underline{r}) \sim \phi(L) D(\underline{r})$ , and secondly we're assuming  $D(\underline{r}) \sim \text{constant}$ .

In reality the luminosity function depends on morphology, environment, bandpass etc. The luminosity function also evolves with cosmic epoch...

# History of the Luminosity Function

- Hubble (1936) believed the LF was a gaussian with  $\sigma \sim 0.8$  mag. (Sandage still argues this for some types).
- Zwicky (1942) believed the LF had an increasing faint-end tail.
- Abell (1962) believed the LF could be parameterized as a two-power law with a break.

We need to know the luminosity function to interpret:

- Galaxy number counts as a function of apparent magnitude and redshift
- Statistics of quasar absorption line systems
- Spatial distributions of galaxies and related phenomena (e.g. gravitational lenses)
- Total stellar mass and star formation rate densities

# The Schechter Luminosity Function

The most common modern parameterization of the luminosity function is the Schechter function (Schechter 1976, ApJ, 203, 297).

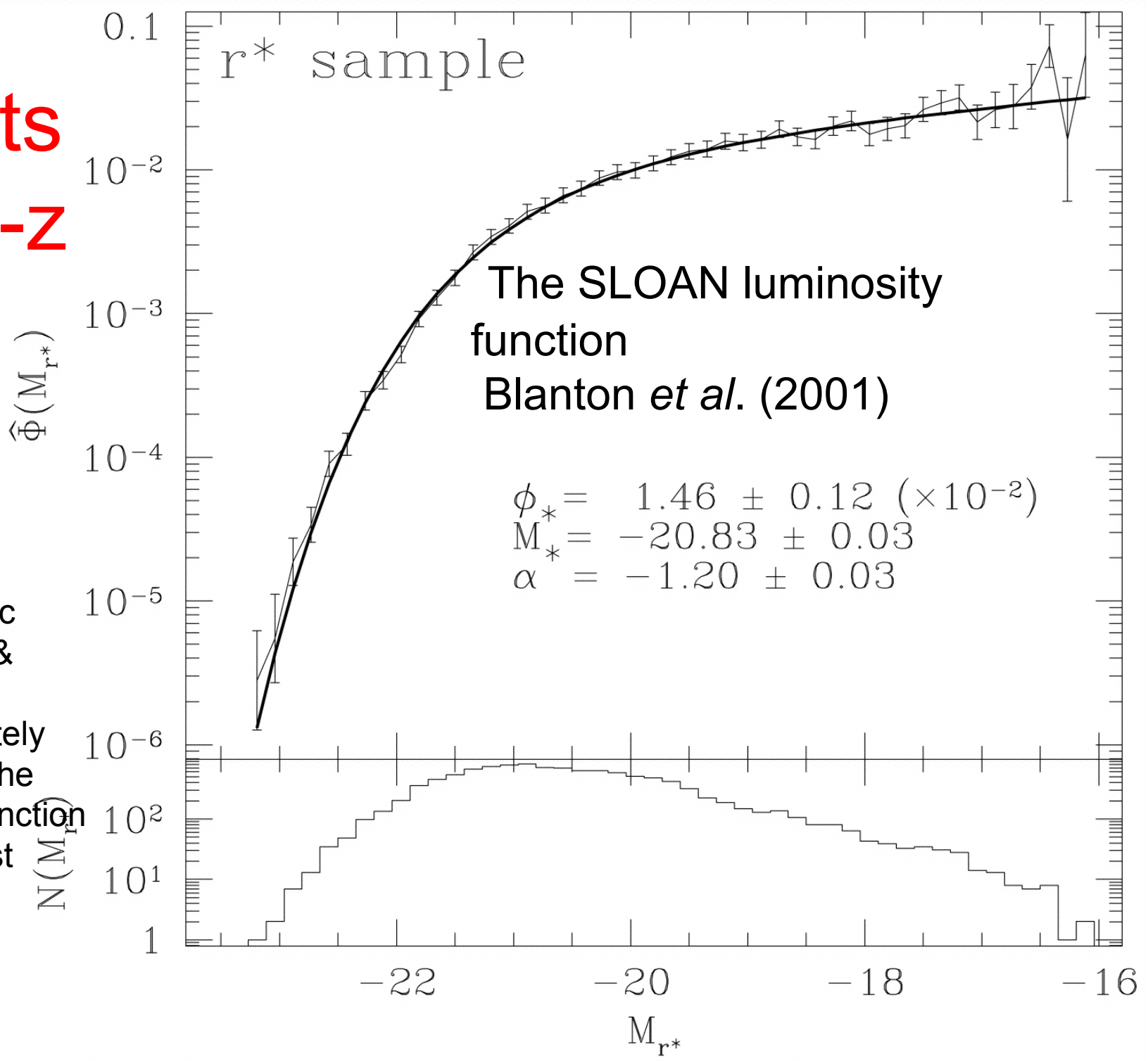
This is basically a power law truncated by an exponential (when expressed using linear flux units):

$$n(x) \delta x = \phi_* x^\alpha e^{-x} \delta x \quad \text{where } x = L/L_*$$

$L^*$  and  $\phi_*$  are the characteristic luminosity and number density at the "knee" or break of the luminosity function.

# Results at low- $z$

Wide-field  
spectroscopic  
surveys 2df &  
SLOAN  
have accurately  
determined the  
luminosity function  
out to modest  
redshift



# Schechter Function

The Schechter function is characterized by:

- a knee at  $L^*$  - the number of galaxies brighter than this falls sharply
- a faint-end slope of  $(1+\alpha)$
- an overall normalization of galaxy density  $\phi^*$

Some typical values for the local luminosity function in B:

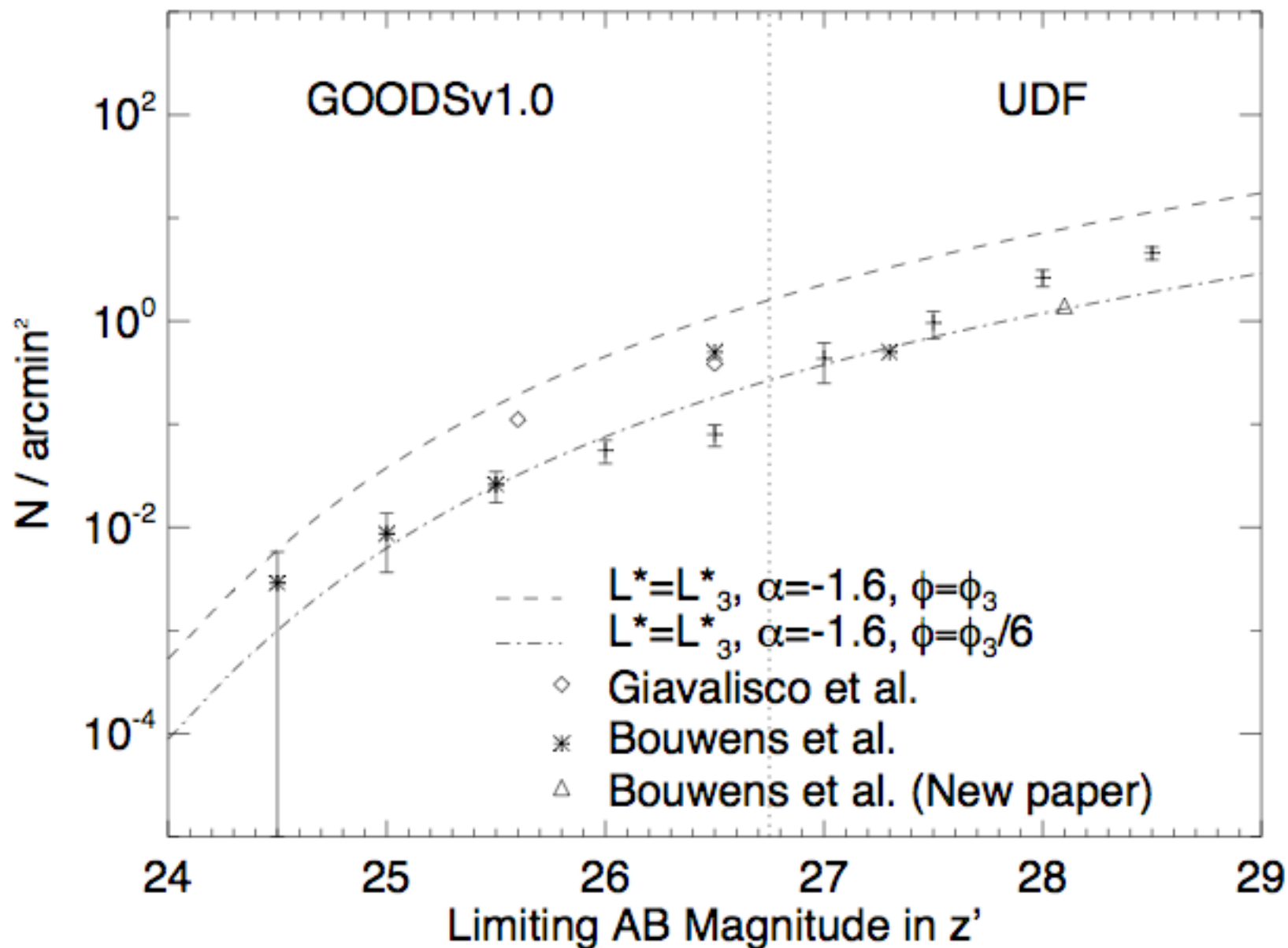
$$\phi^* = 0.015 h^3 \text{ Mpc}^{-3} \quad M_B^* = -19.7 + 5 \log_{10} h \quad L_B^* \approx 10^{10} h^{-2} L_{\odot}$$

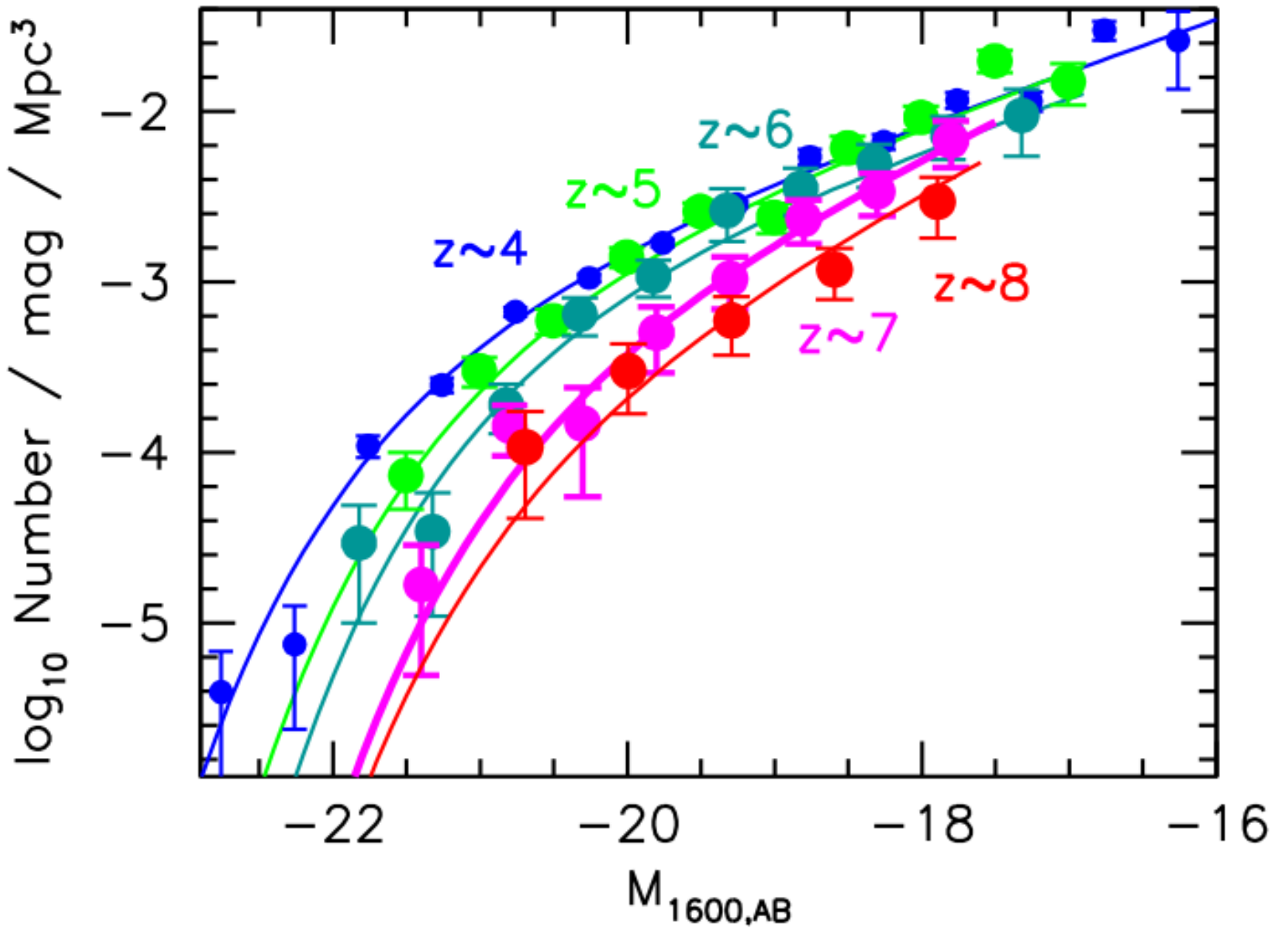
$$\alpha \approx -1.1 \quad (\text{Efstathiou, Ellis \& Peterson 1988})$$

**N.B.** Scales with Hubble constant:  $H_0 = 100 h \text{ km/s/Mpc}$  ( $h \approx 0.7$ )

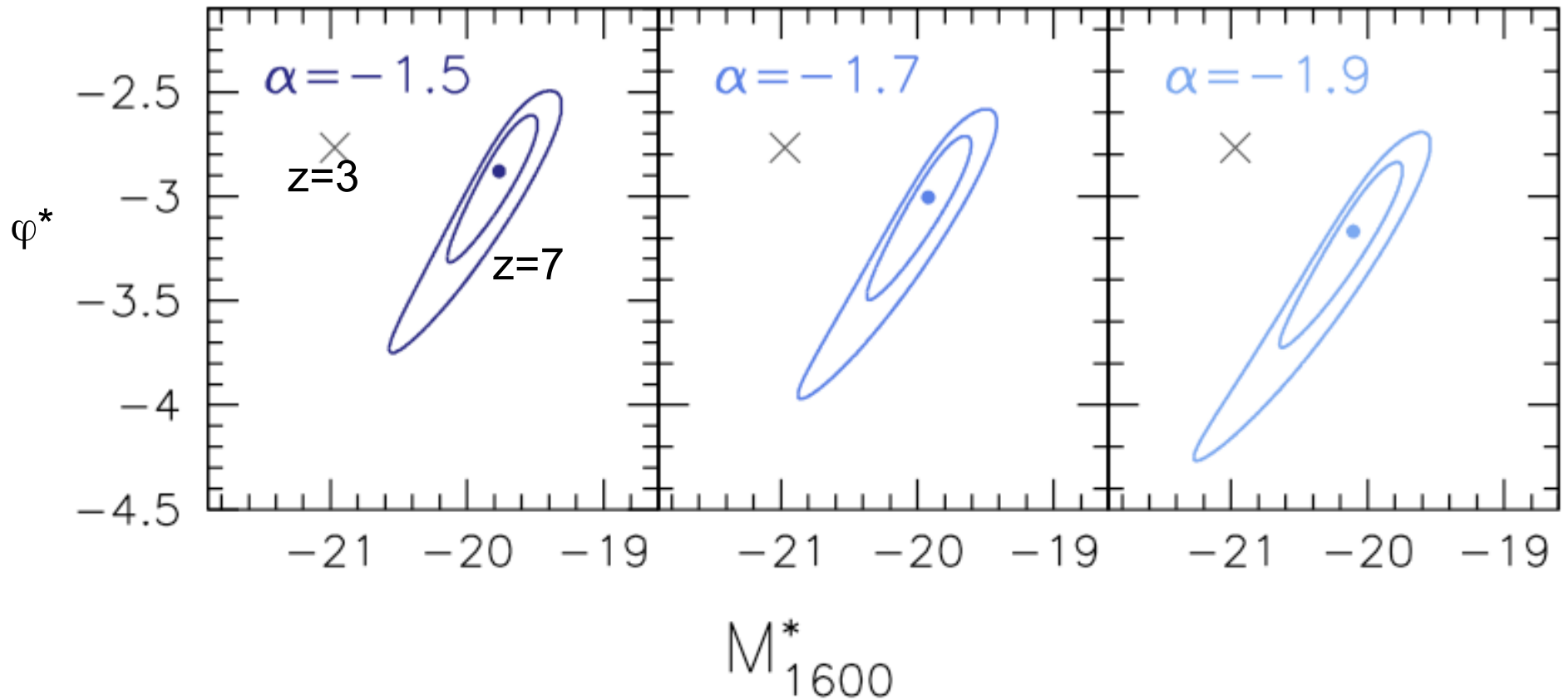
Solar luminosity units:  $L_{\odot} = 3.9 \times 10^{26} \text{ W}$ ,  $M_{B_{\odot}} = +5.48$

# ***Steep faint end slope at $z=6$ , $\alpha = -1.8$*** ***(at $z=3$ , $\alpha = -1.6$ and $z=1$ , $\alpha = -1.3$ )***



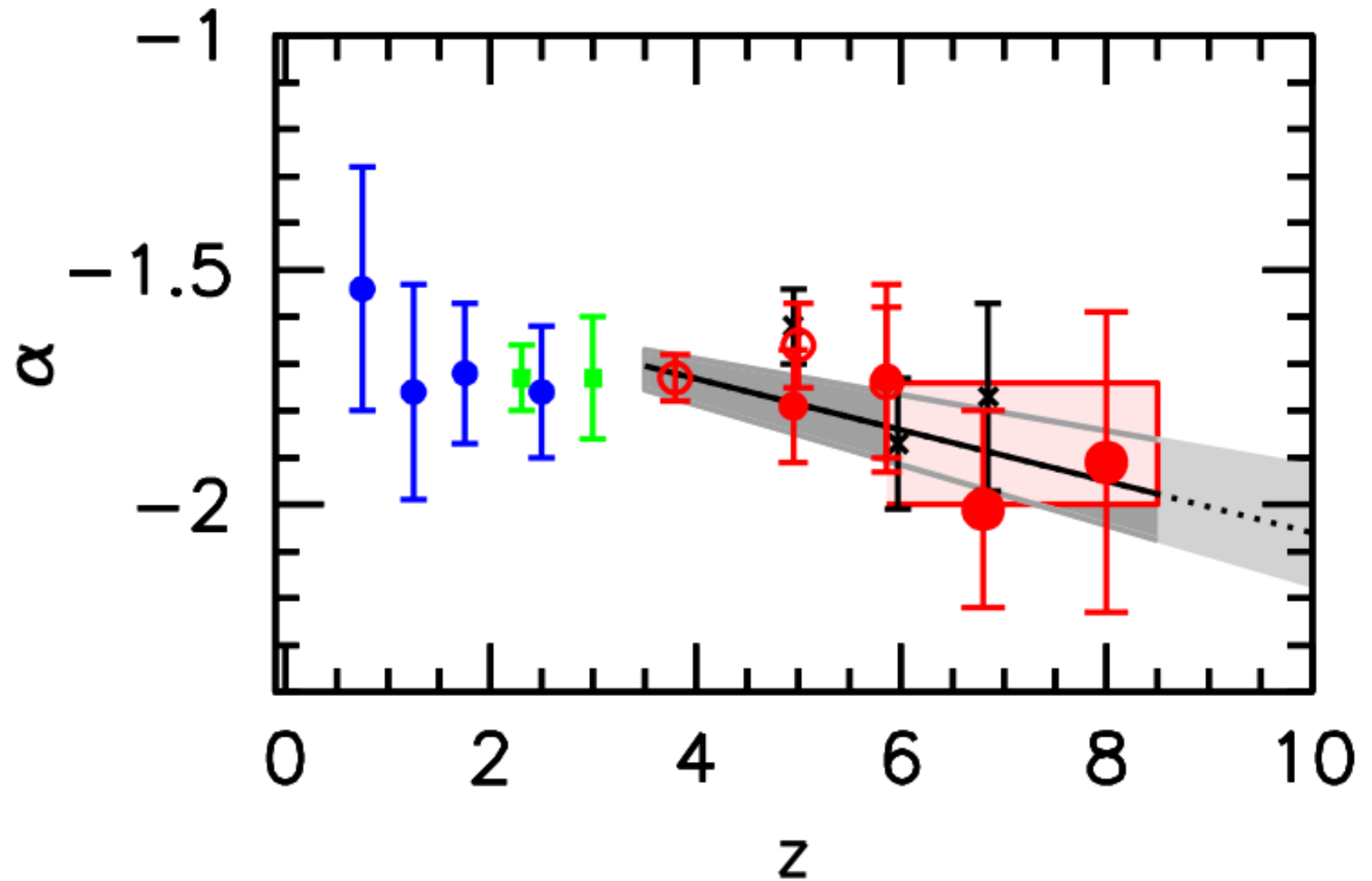


Evolution of the restframe UV luminosity function  
From Bouwens et al. (2011)



Evolution of luminosity function  
(note  $M^*$  is correlated with  $\varphi^*$ )

Wilkins et al. (2011)



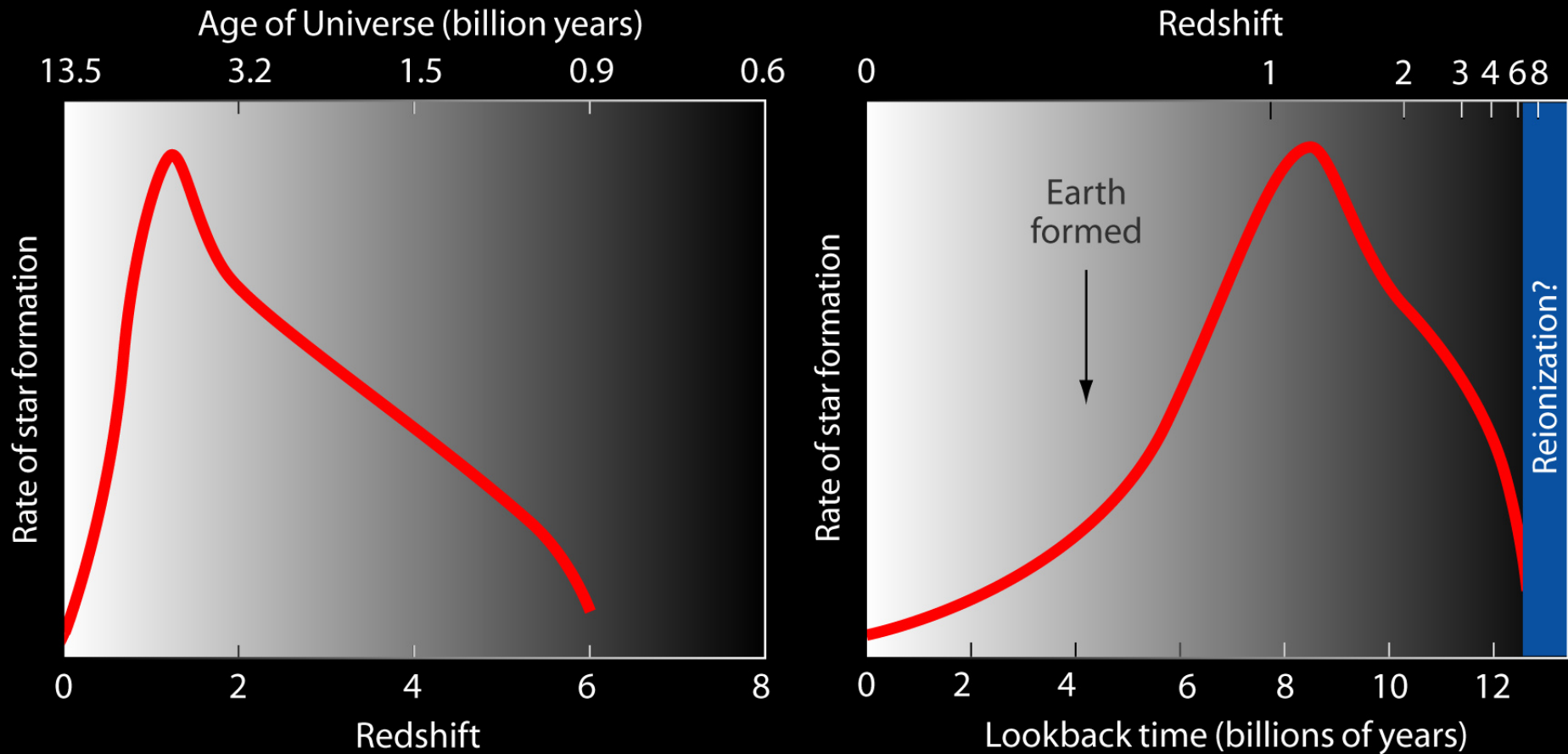
Evolution of the restframe UV faint end slope  
From Bouwens et al. (2011)



# *Is the Universe at $z \sim 6$ really forming fewer stars than at $z \sim 3$ ?*

- We only probe bright end of luminosity function:  $\sim 1L^*(UV)$  at  $z \sim 3$ , equivalent to  $15M_{\text{sun}}/\text{yr}$
- We try to make a fair comparison: impose exactly same selection at lower redshifts
- It seems clear that the Universe at  $z \sim 6$  was very different from  $z \sim 3$ : if no evolution, would ***predict 6x as many bright star forming galaxies at  $z \sim 6$  than we see!***
- Other groups make a correction for the faint galaxies they don't see. Depends crucially on the faint end slope of the luminosity function ( $\alpha \sim -1.1$  locally,  $\alpha = -1.6 @ z \sim 3$ )
- Need recent Ultra Deep Field to address total star formation, but we had proved ***strong evolution.***

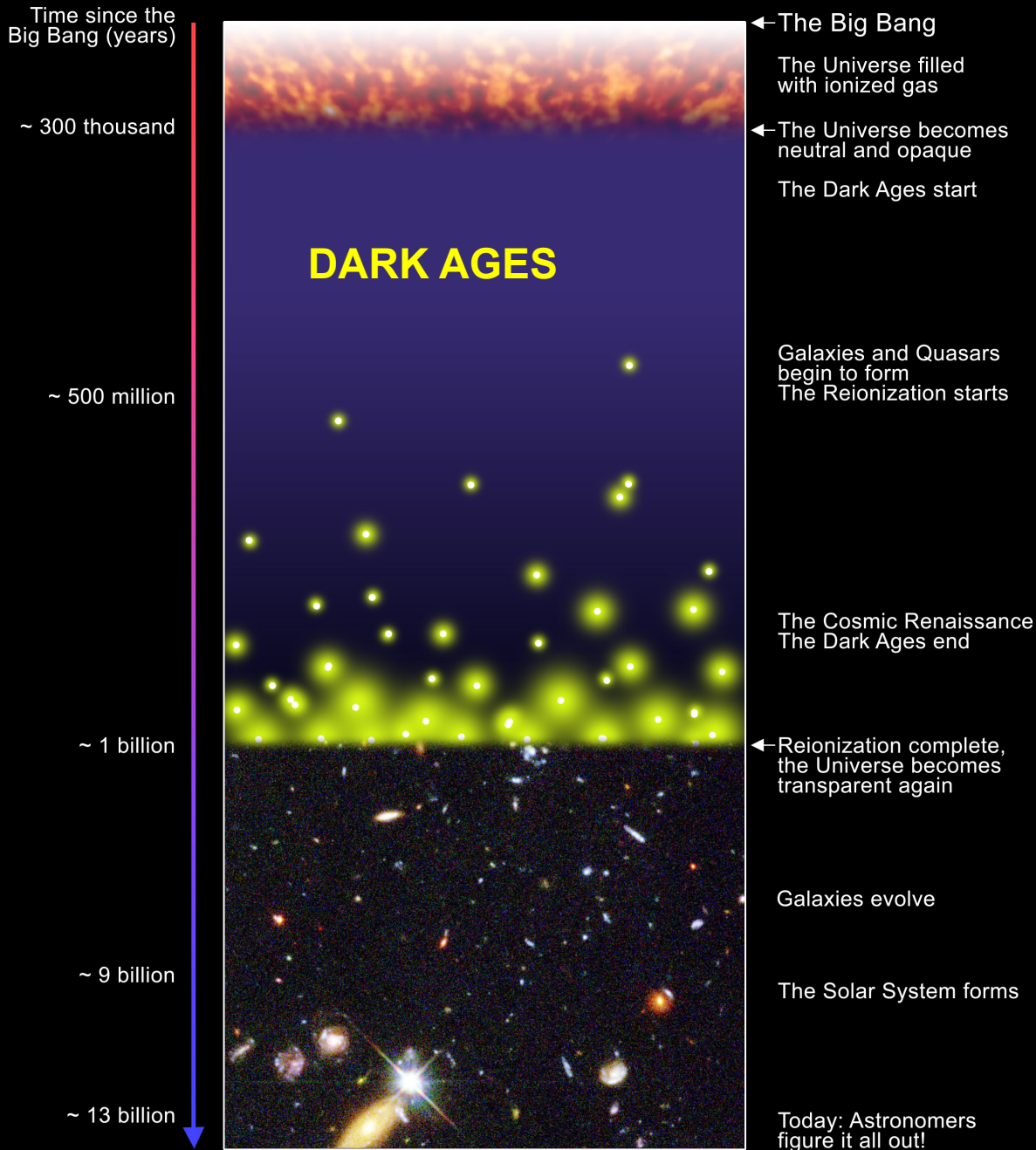
# Star formation history of the Universe



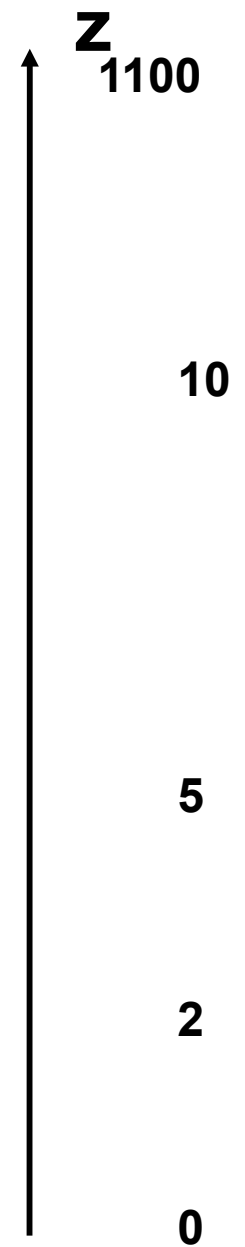
- ***UDF enables us to identify even fainter galaxies at these times (end of dark ages)***
- ***We were first to analyse & publish 50 high redshift galaxies in the UDF***
- ***Confirms our previous work: much LESS star formation than in more recent past***

# What is the Reionization Era?

A Schematic Outline of the Cosmic History



## Redshift

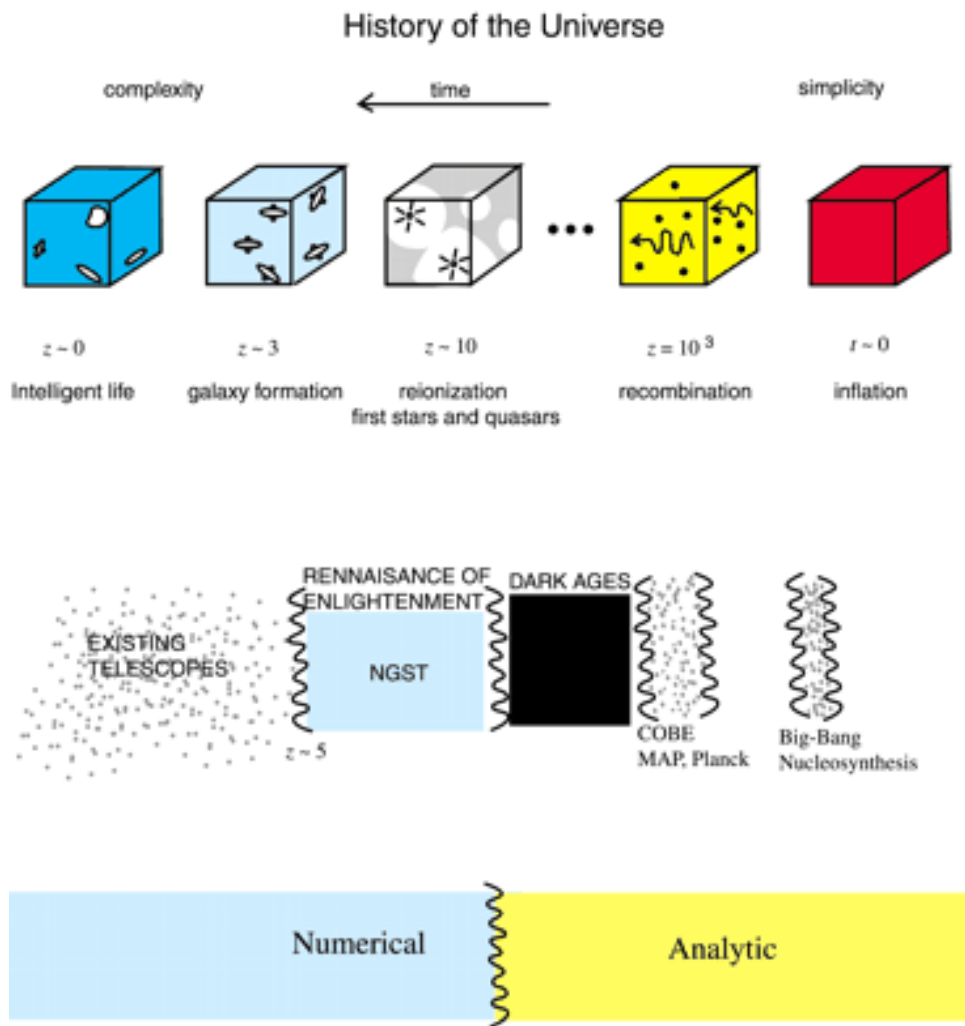


After era probed by CMBR the Universe enters the so-called “dark ages” prior to formation of first stars

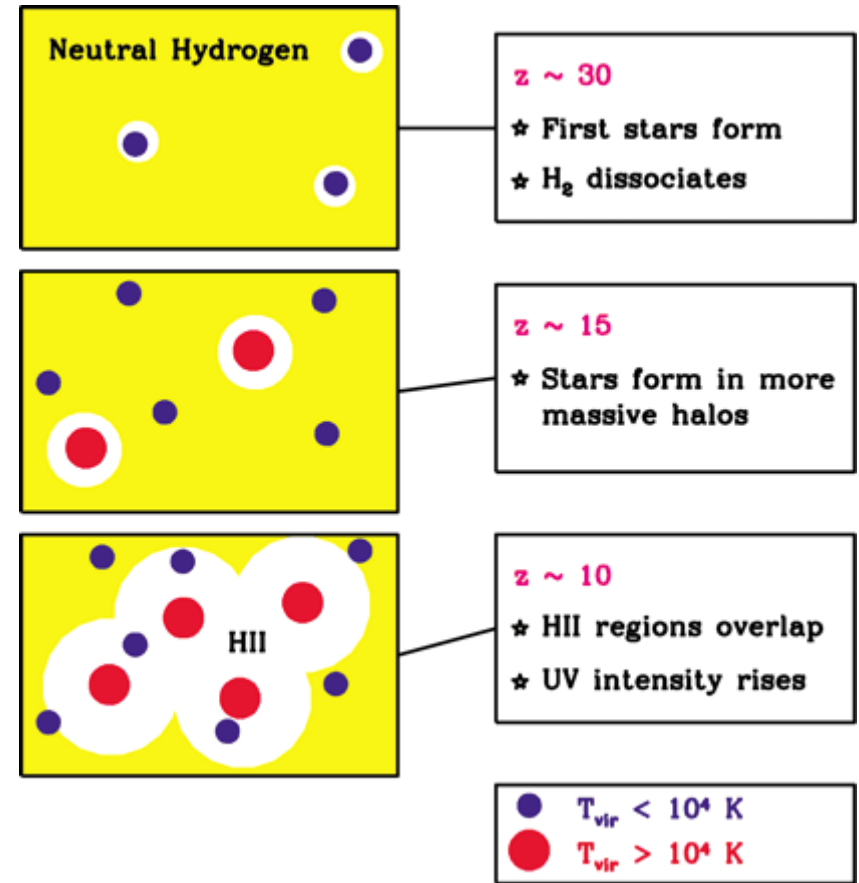
Hydrogen is then re-ionized by the newly-formed stars

When did this happen?

What did it?



# Reionization



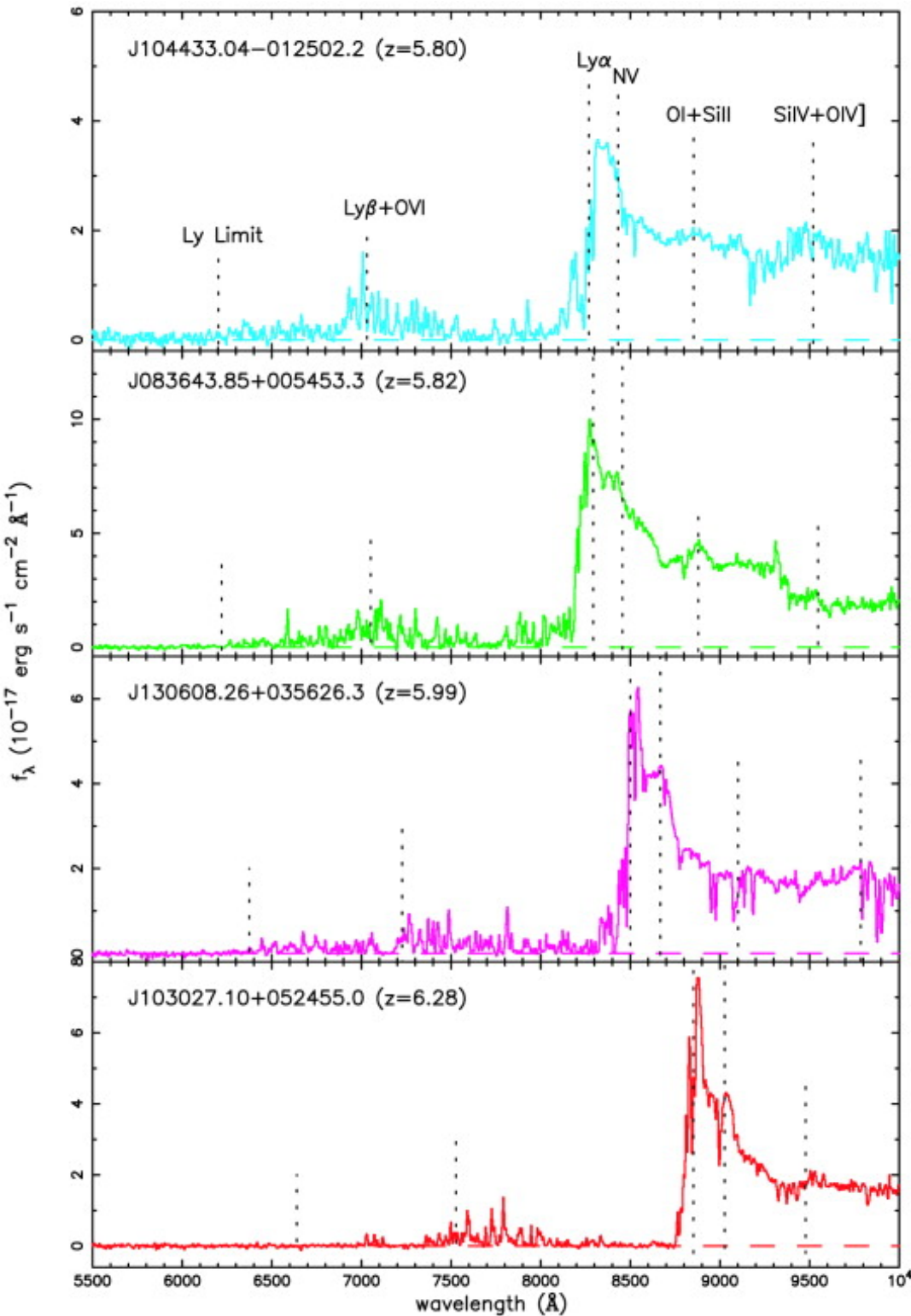
It is unclear if Lyman- $\alpha$  line emission will actually emerge if the Universe is predominantly neutral (i.e. Before the Gunn-Peterson effect).

From Loeb & Barkana (2001 ARA&A **39**, 19)

# Reionization

At high redshift, the Lyman- $\alpha$  forest can absorb most of the flux below

$\lambda_{\text{rest}} = 1216\text{\AA}$ . Indications from  $z > 6.3$  SDSS QSOs that Universe may be optically thick (Fan et al. 2001; Becker et al. 2001). BUT confusing message from WMAP CMB - reionization at  $z \sim 11$ ? (Dunkley et al. 2010).



# Implications for Reionization

$$\dot{\rho}_{\text{SFR}} \approx 0.013 f_{\text{esc}}^{-1} \left( \frac{1+z}{6} \right)^3 \left( \frac{\Omega_b h_{50}^2}{0.08} \right)^2 C_{30} M_{\odot} \text{ yr}^{-1} \text{ Mpc}^{-3}$$

From Madau, Haardt & Rees (1999) -amount of star formation required to ionize Universe  
( $C_{30}$  is a clumping factor).

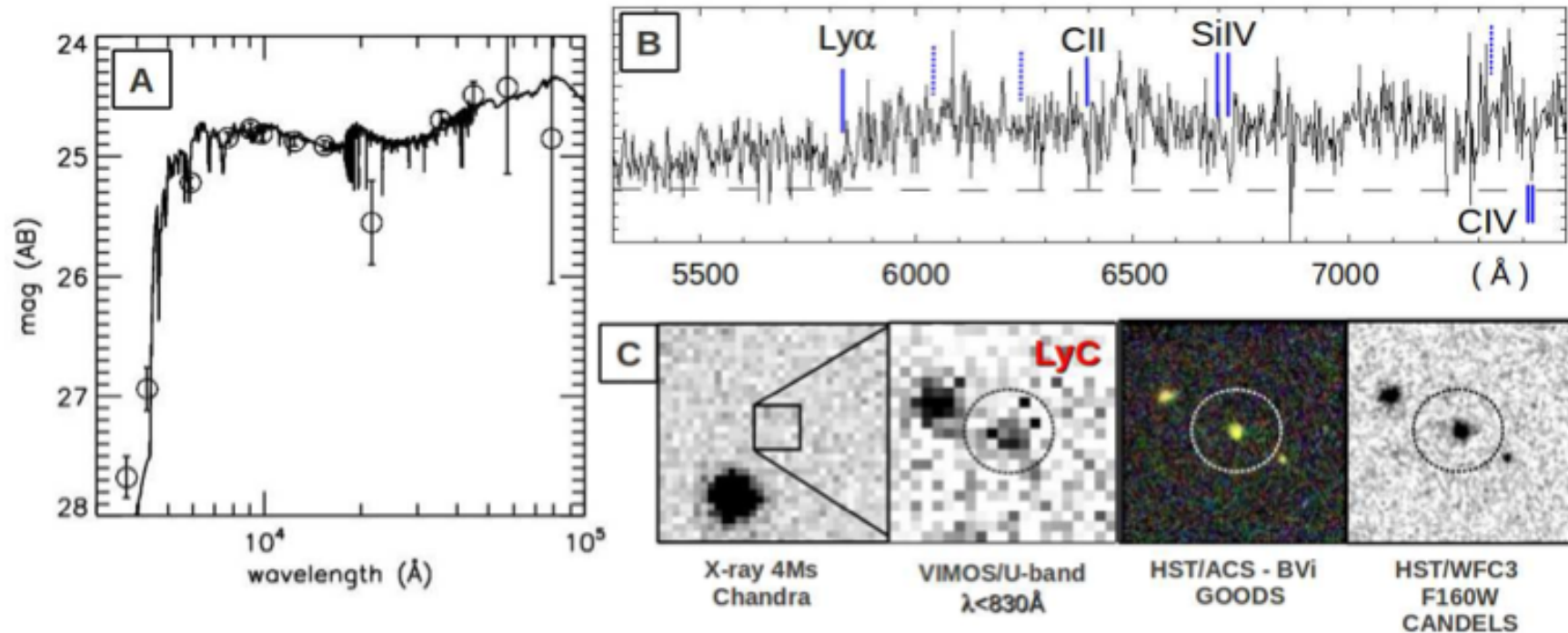
This assumes escape fraction=1 (i.e. all ionizing photons make it out of the galaxies)

Our UDF data has star formation at  $z=6$  which is 3x less than that required! AGN cannot do the job.

We go down to  $1 M_{\text{sun}}/\text{yr}$  - but might be steep  $\alpha$  (lots of low luminosity sources - forming globulars?)

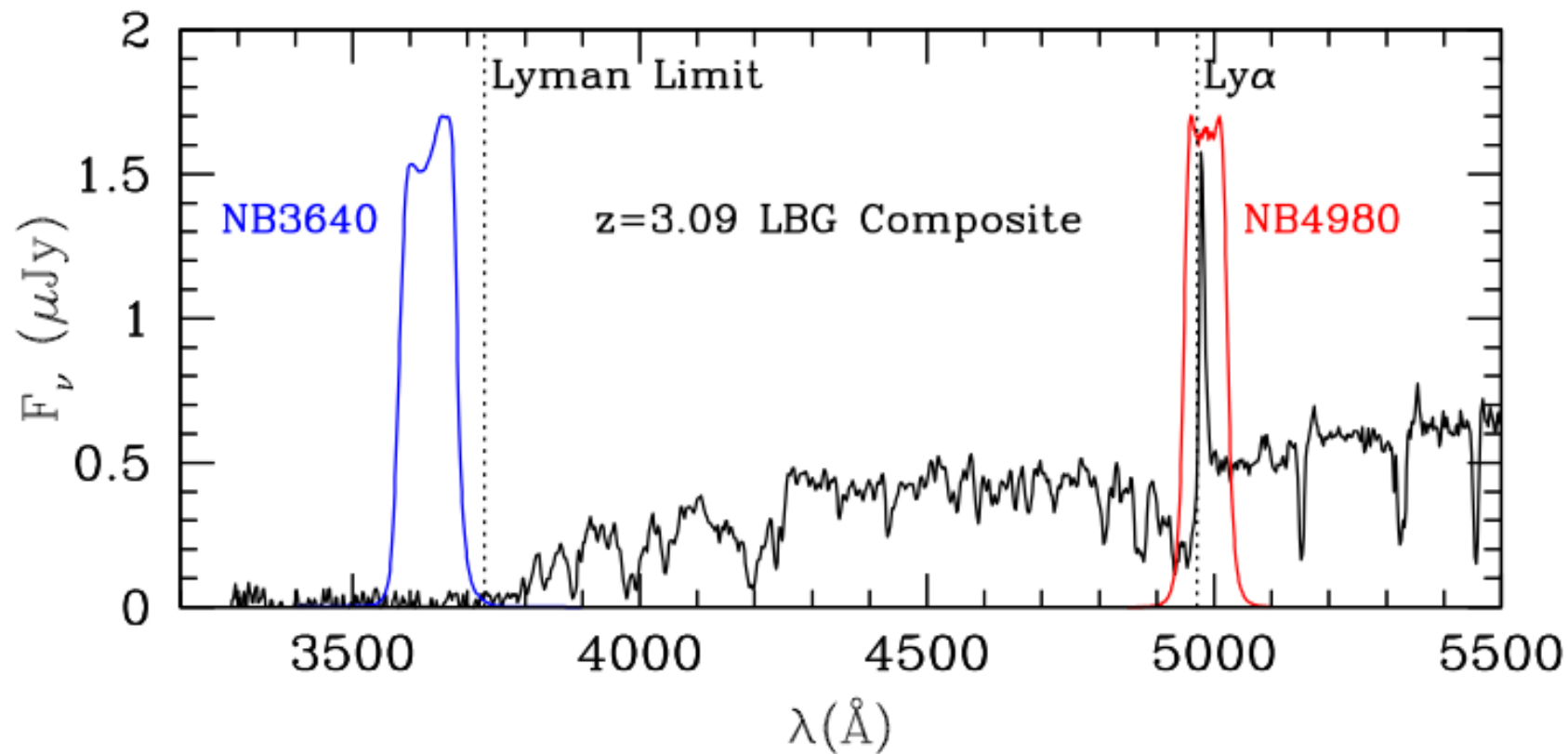
# *Ionizing Photon Escape Fraction*

Ion1 : J033216.64-274253.3, redshift 3.795

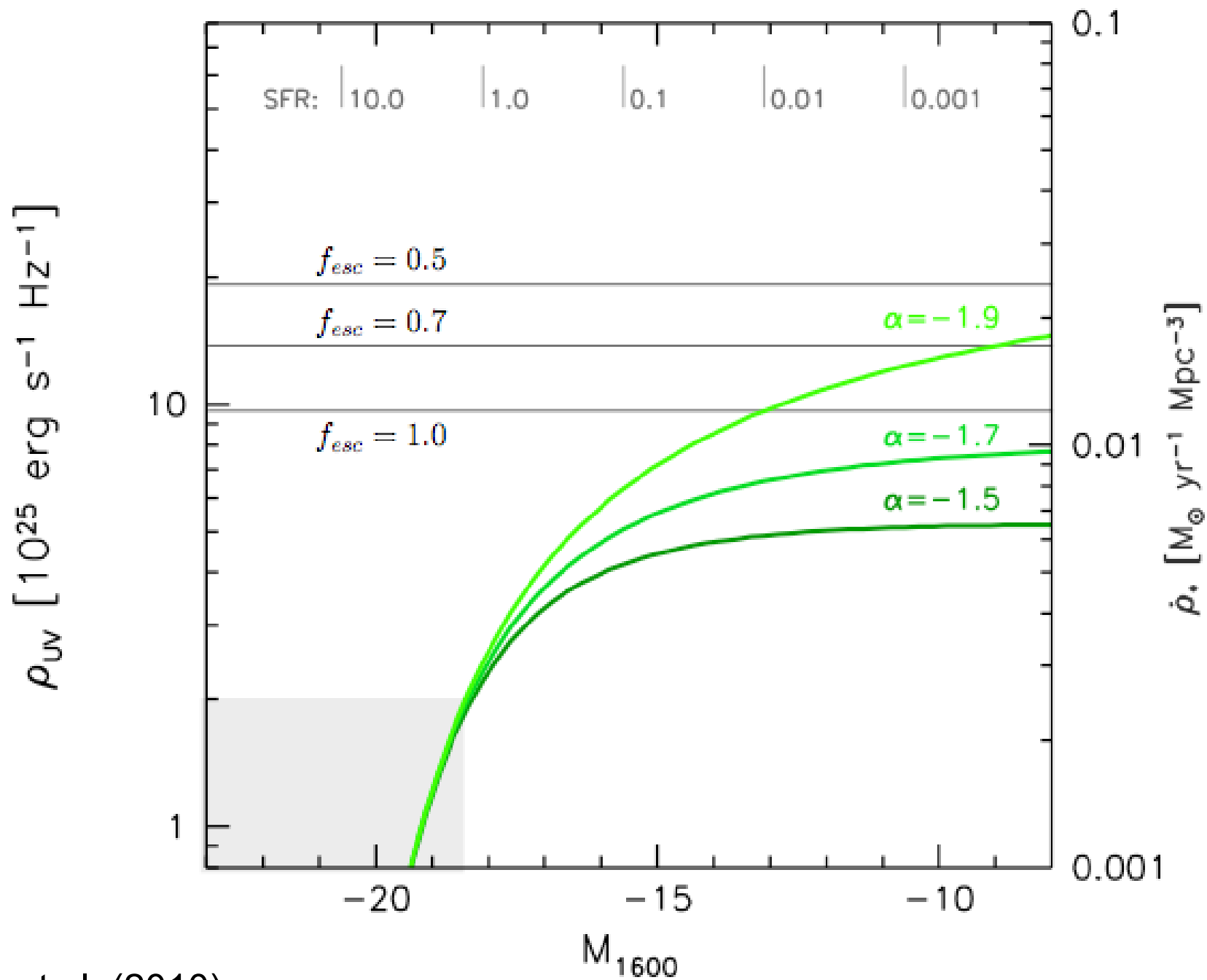


We are interested in photons with wavelength  $<912\text{\AA}$  (which can ionize hydrogen), but have to infer these from brightness at  $>1216\text{\AA}$  (not absorbed by Ly-alpha forest)

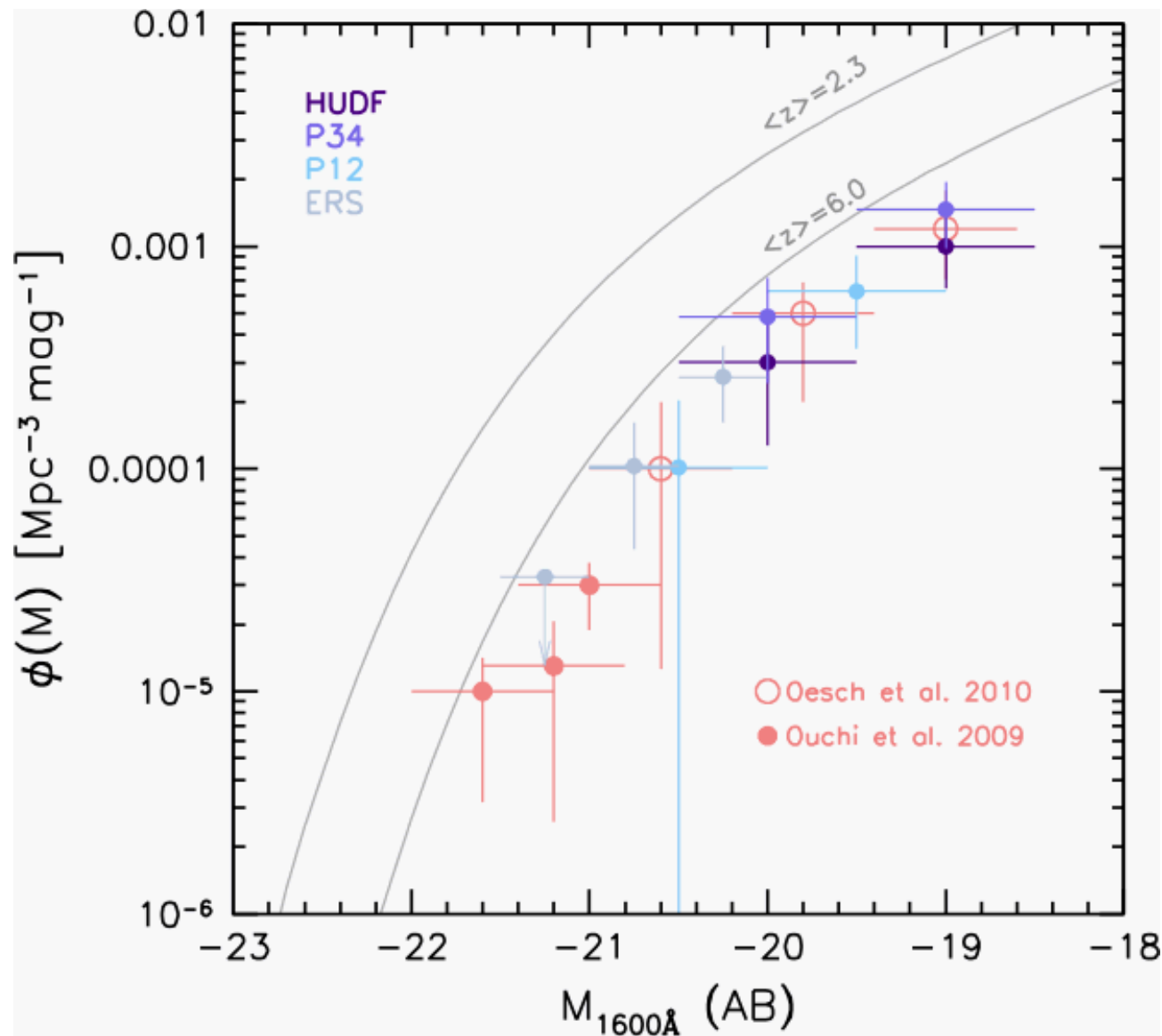
Indications are at  $z\sim 3$  that escape fraction is very small (Vanzella et al. 2012, Nestor et al. 2011, Siana et al. 2007, Shapley et al. 2006, Iwata et al. 2008)



(Nestor et al. 2011)



Wilkins et al. (2010)



Wilkins et al.  
(2010) MNRAS  
The Luminosity  
Function at  $z \sim 7$

An increasing problem for reionization: requires steep faint-end slope ( $\alpha < -1.7$ ), large contribution from unobserved faint galaxies, high escape fraction ( $f_{\text{esc}} > 0.5$ ) and very smooth IGM (low clumping,  $C \sim 5$ )

# *Ways out of the Puzzle*

- Cosmic variance
- Star formation at even earlier epochs to reionize Universe ( $z \gg 6$ )?
- Change the physics: different recipe for star formation (Initial mass function)?
- Even fainter galaxies than we can reach with the UDF?

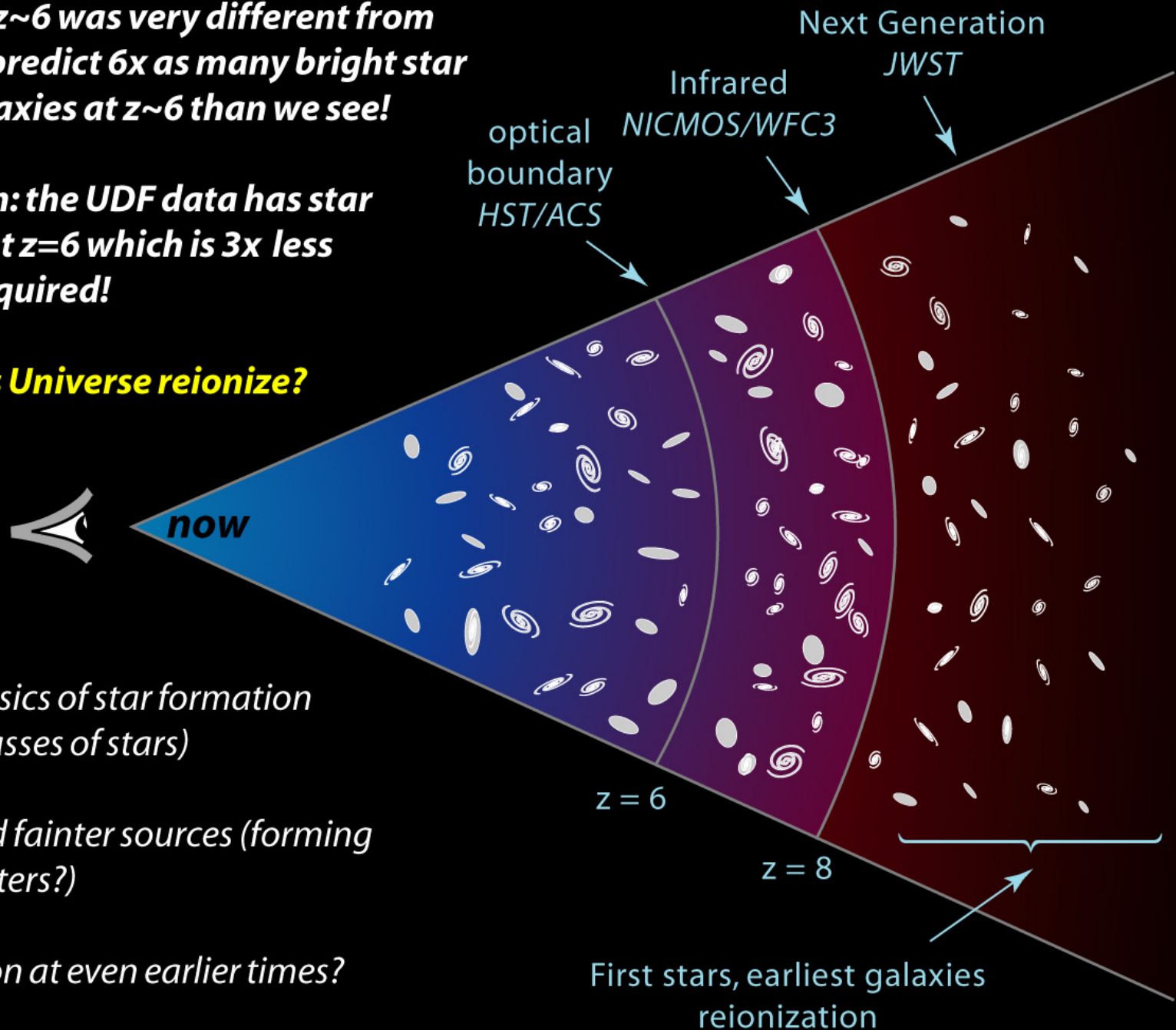
# Probing the dark ages

## reionization and distant galaxies

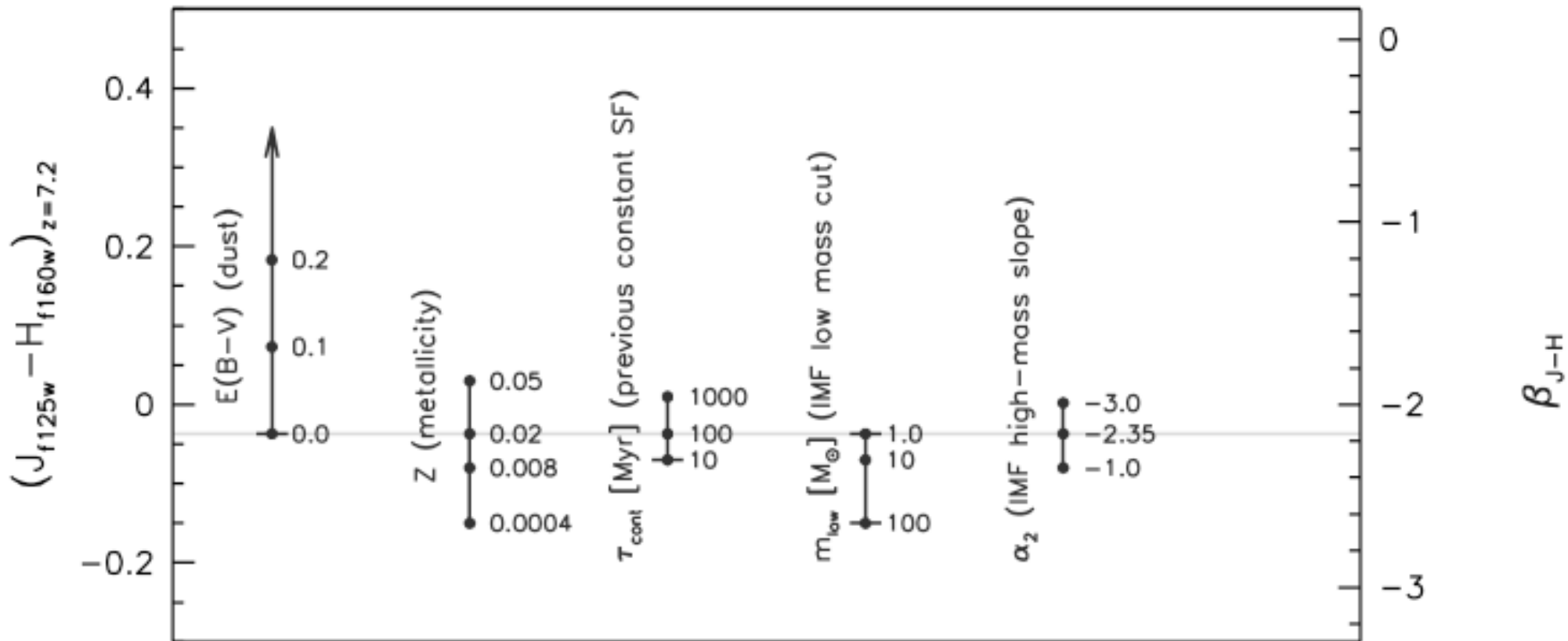
- Universe at  $z \sim 6$  was very different from  $z \sim 3$ : would predict 6x as many bright star forming galaxies at  $z \sim 6$  than we see!
- Reionization: the UDF data has star formation at  $z=6$  which is 3x less than that required!

### So how does Universe reionize?

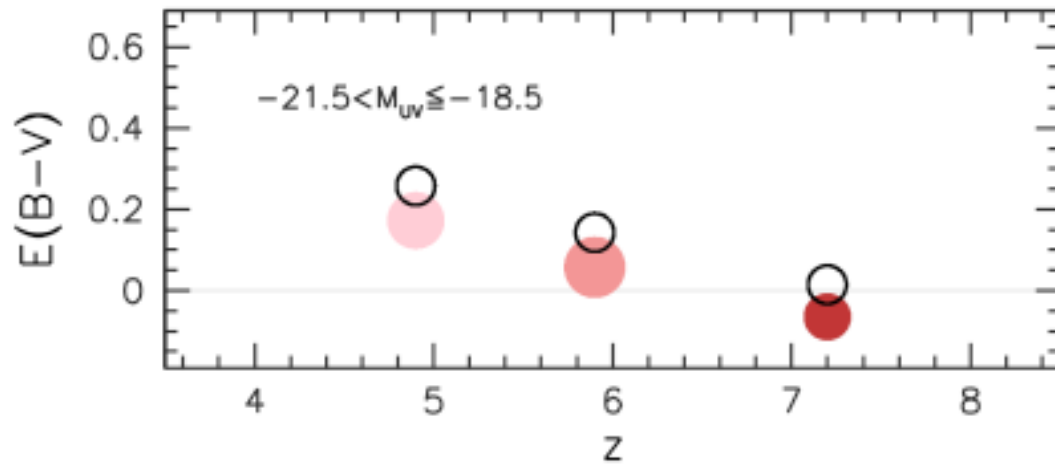
- Different physics of star formation early on? (masses of stars)
- Undiscovered fainter sources (forming globular clusters?)
- Star formation at even earlier times?



# UV Spectral Slopes at $z > 6$ : $f_\lambda \propto \lambda^{-\beta}$



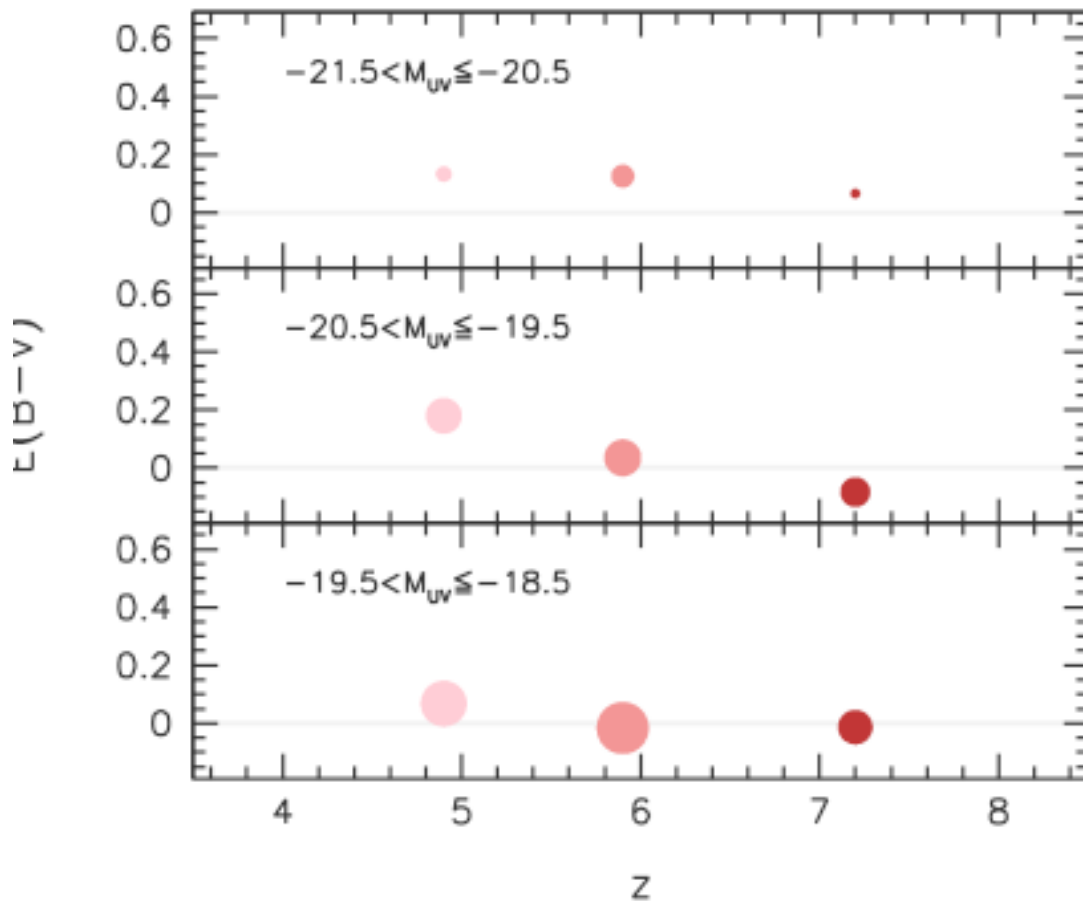
Stanway, McMahon & Bunker (2005) - found very blue colours for i-drops in NICMOS UDF  
 Also now seen in z-drops with WFC3 (Bouwens et al. 2011, Dunlop et al. 2011, Wilkins et al. 2011)

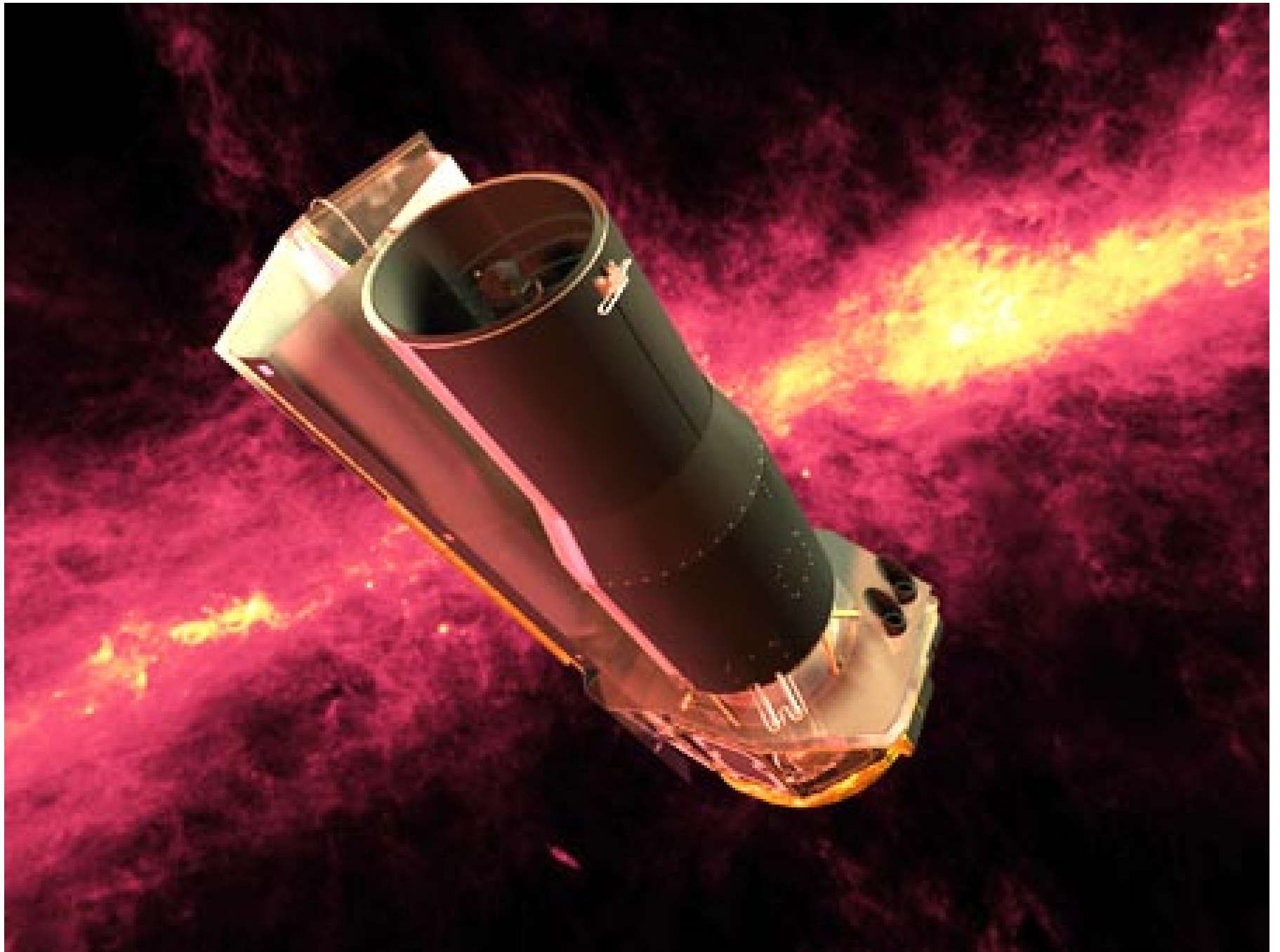


From Wilkins et al. (2011)  
MNRAS

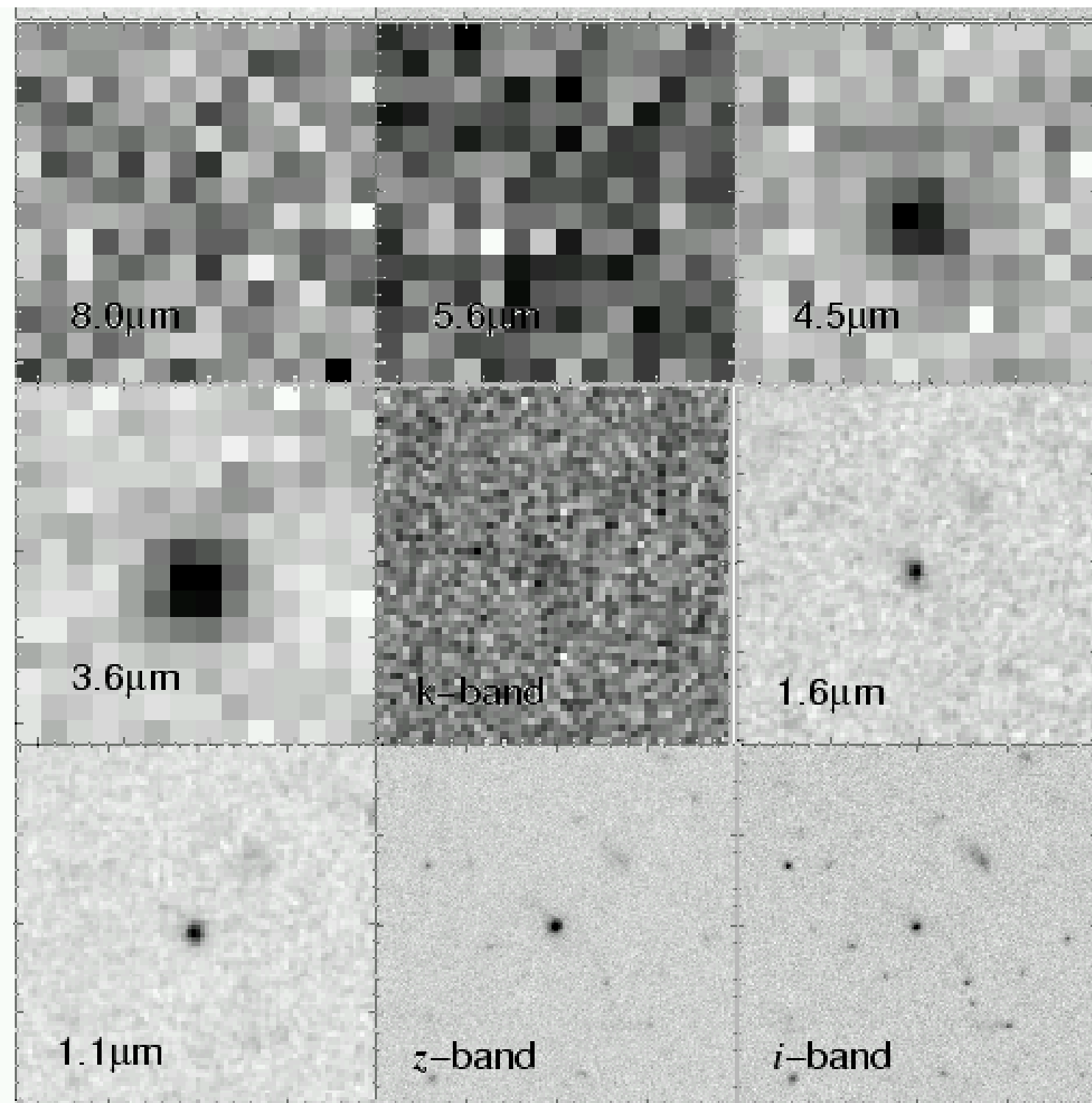
Weak dependence of beta  
evolution on luminosity

Careful on filters - the  
Lyman-alpha break will  
reddden intrinsic colours

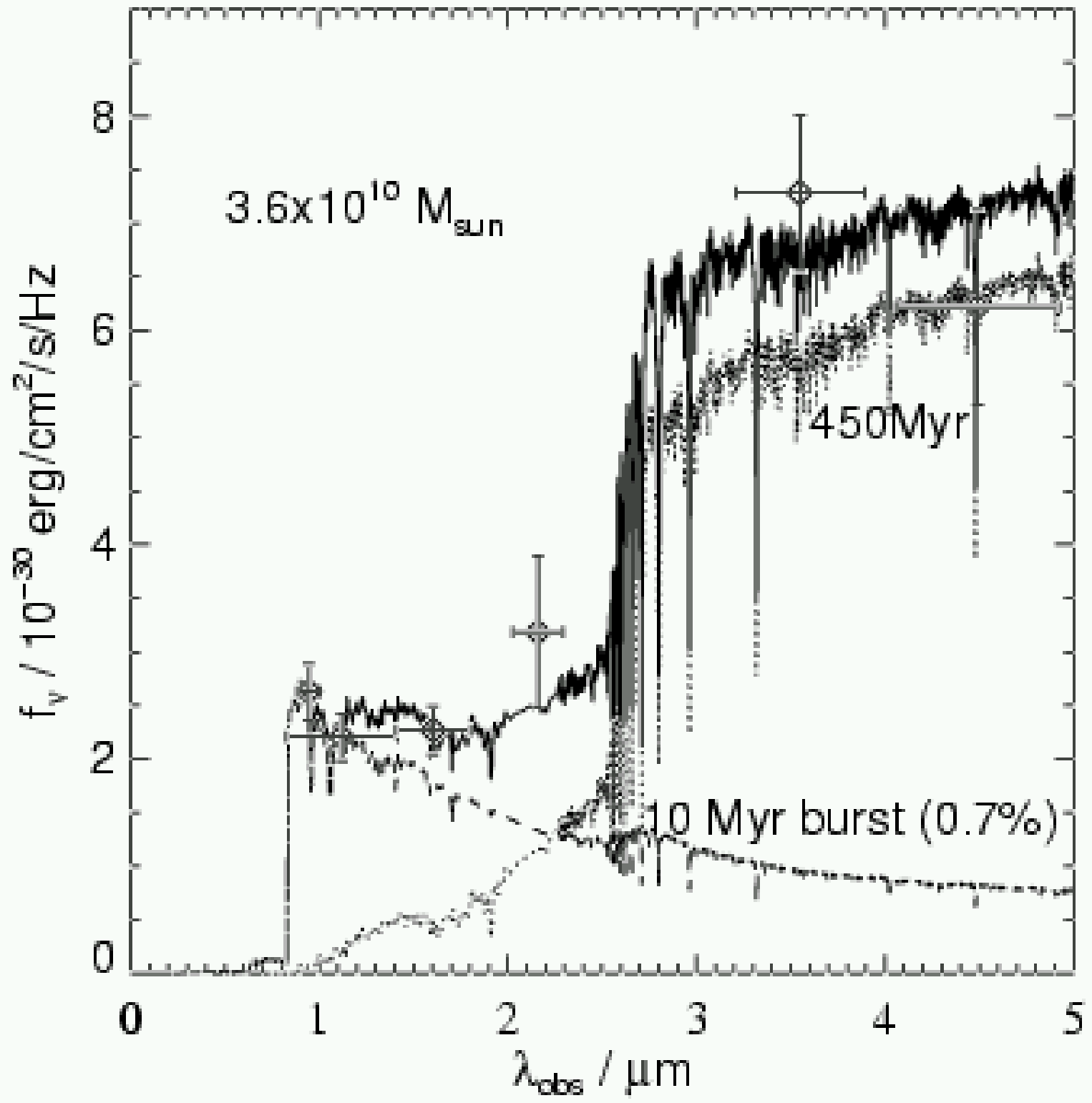




***Spitzer – IRAC (3.6-8.0 microns)***

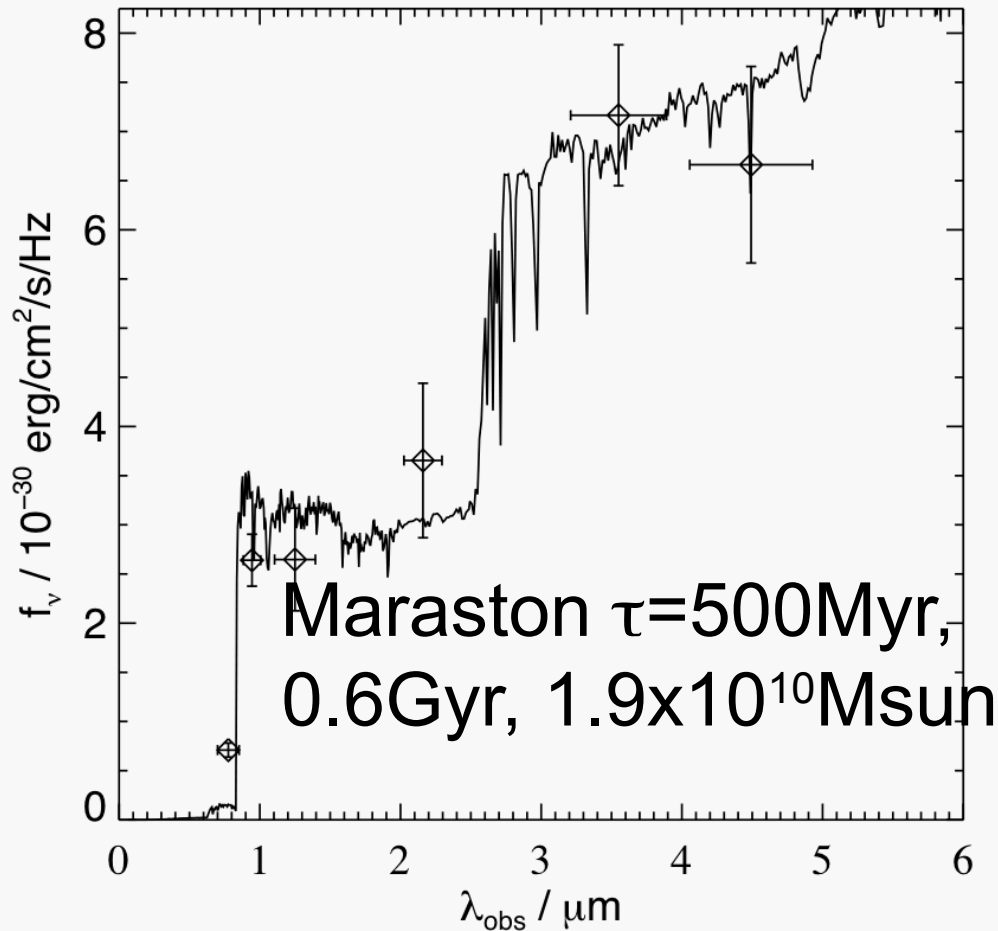


-  $z=5.83$  galaxy  
#1 from  
Stanway,  
Bunker &  
McMahon 2003  
(spec conf from  
Stanway et al.  
2004,  
Dickinson et al.  
2004).  
Detected in  
GOODS IRAC  
3-4  $\mu\text{m}$ : Eyles,  
Bunker,  
Stanway et al.

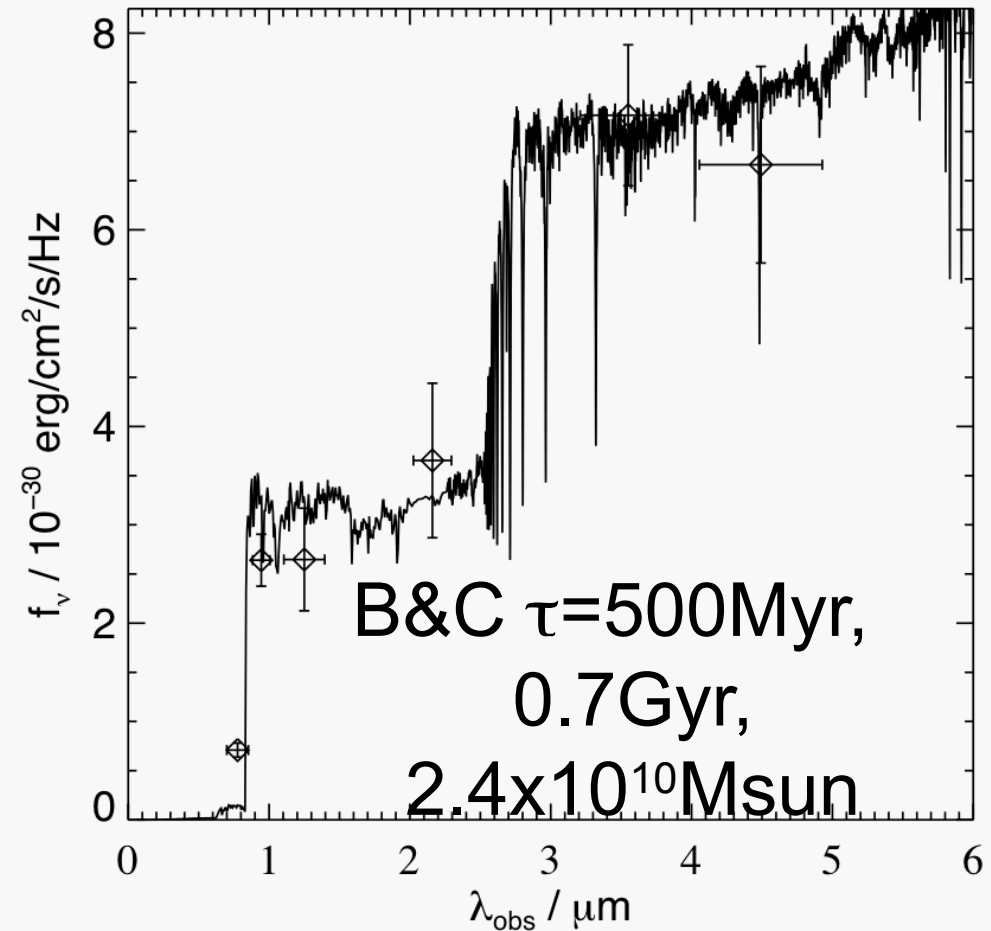


# Other Population Synthesis Models

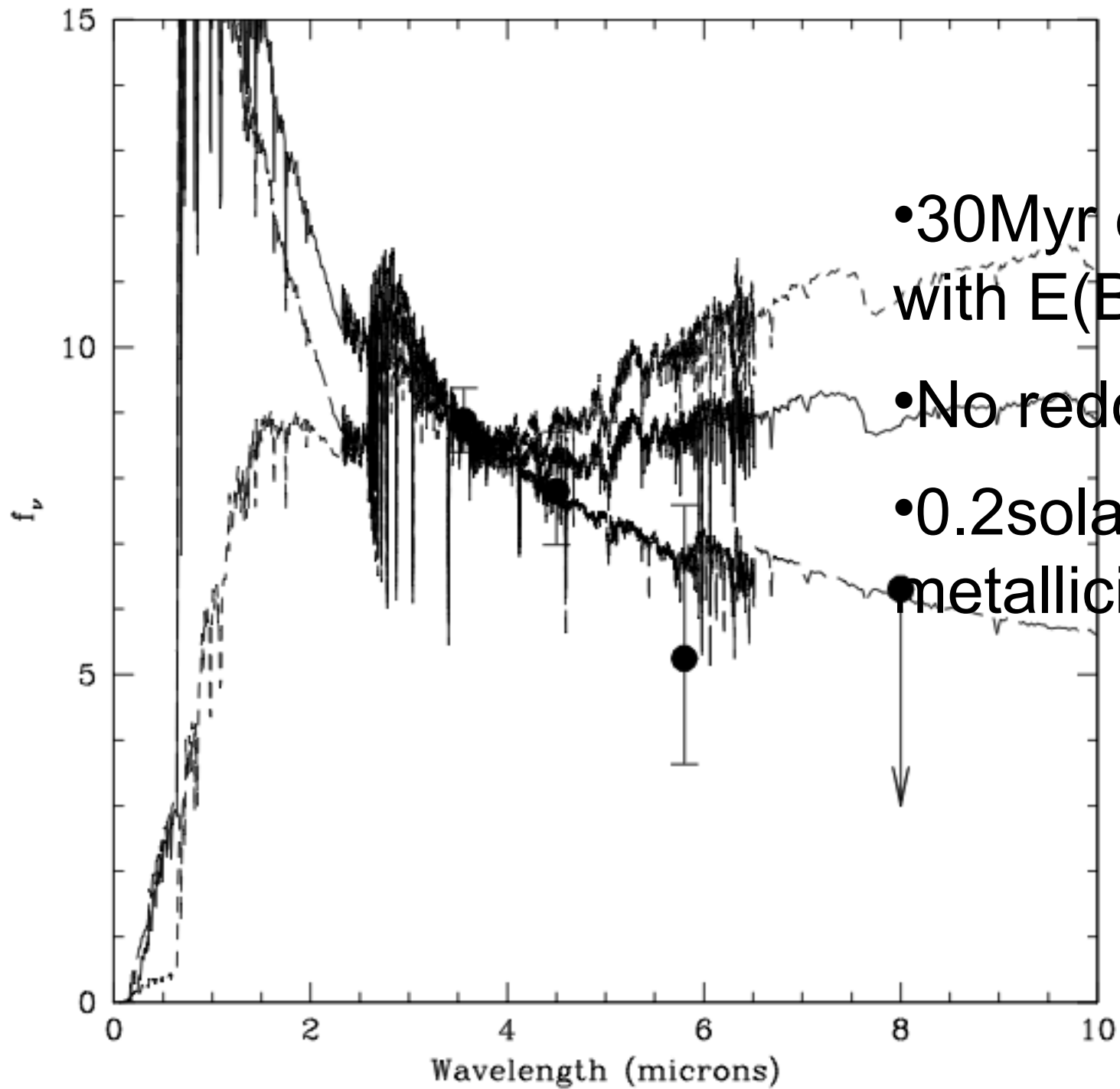
23\_6714



23\_6714



Maraston vs. Bruzual & Charlot

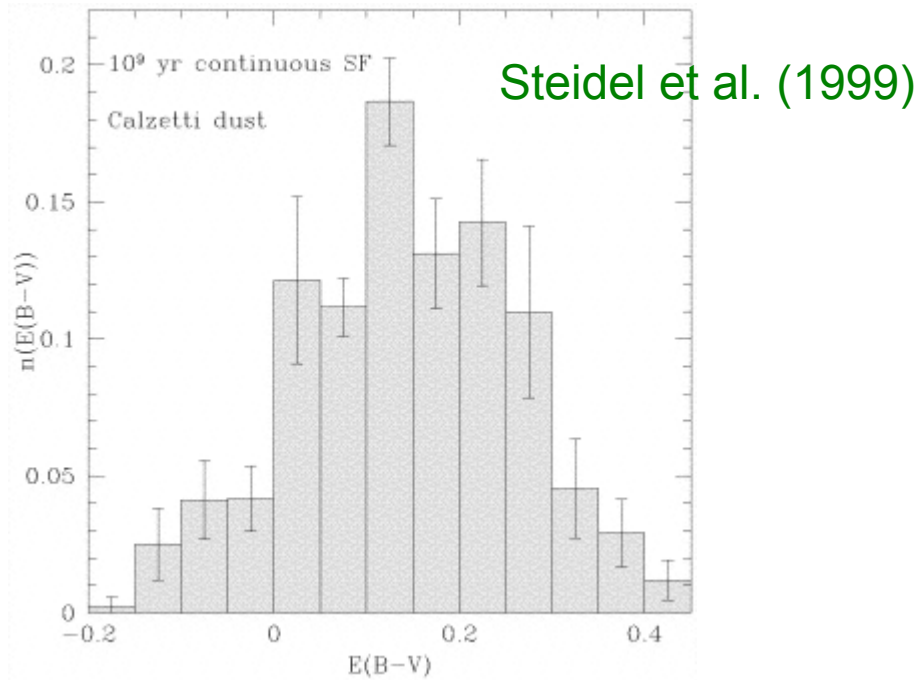


- 30 Myr const SFR with  $E(B-V)=0.1$

- No reddening

- 0.2 solar metallicity

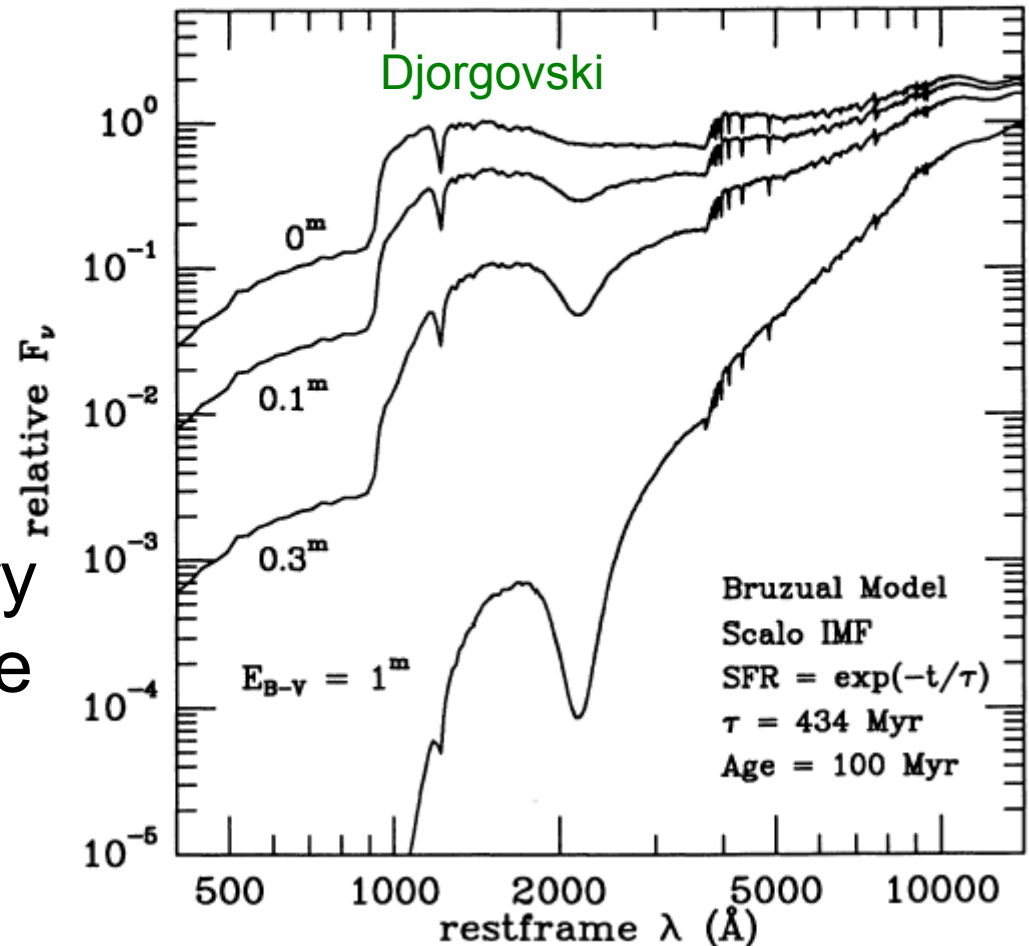
# Obscuration of Distant Galaxies

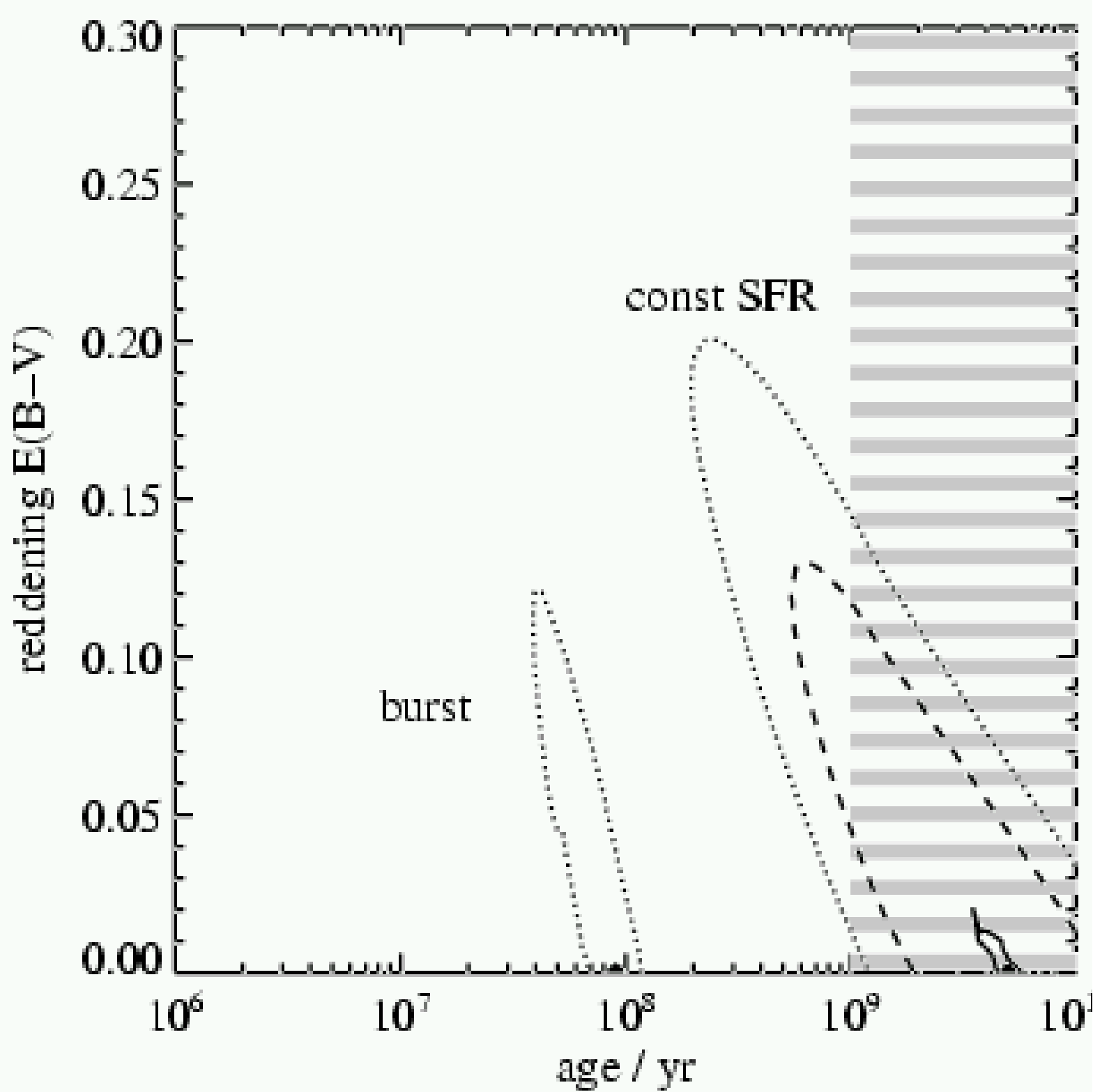


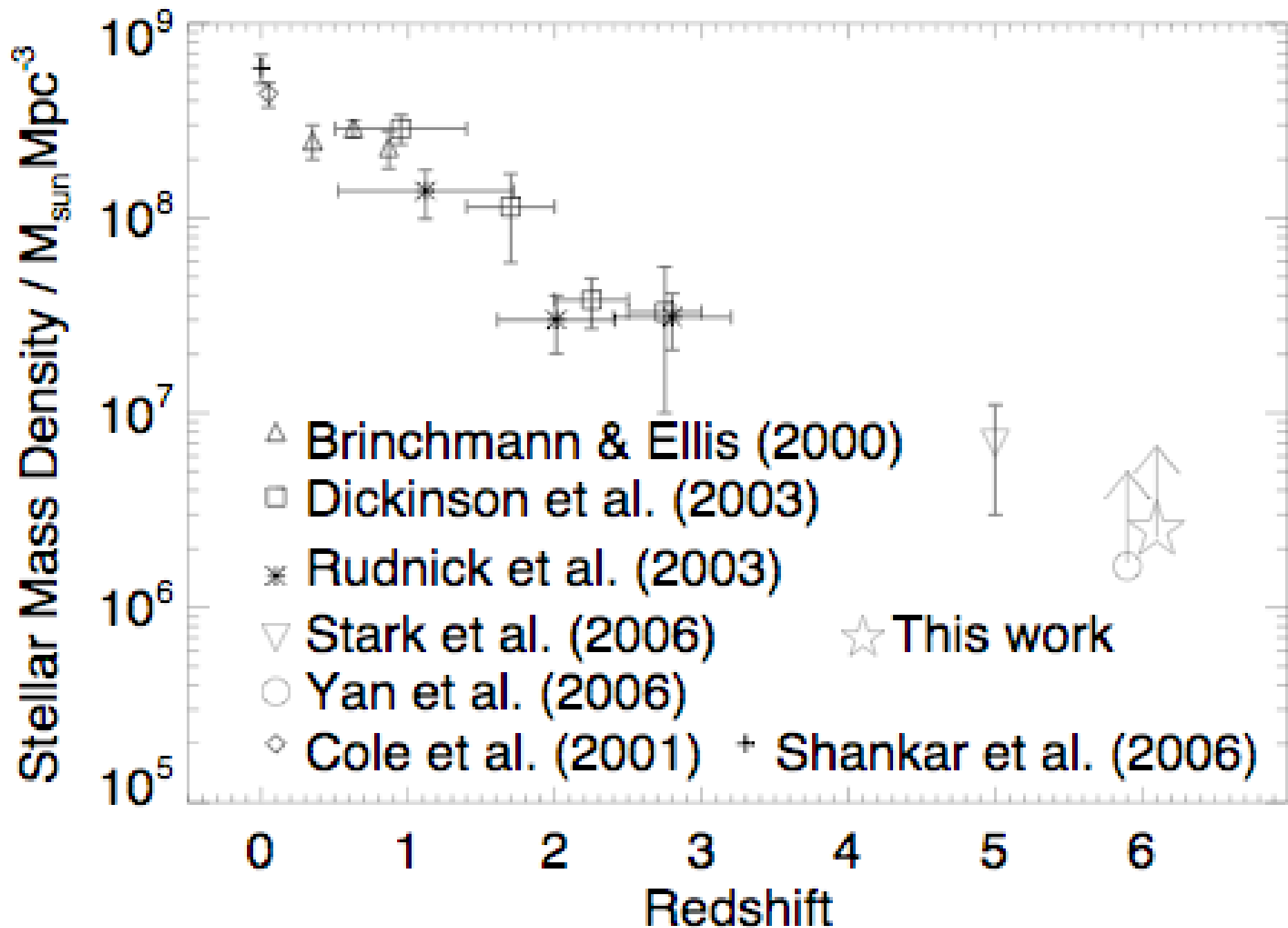
Dust can severely extinguish galaxies. The Lyman-break objects do not seem to be very dusty (but were selected in the rest-UV...). Might be a large obscured star-forming population out to high-z

bandpass at

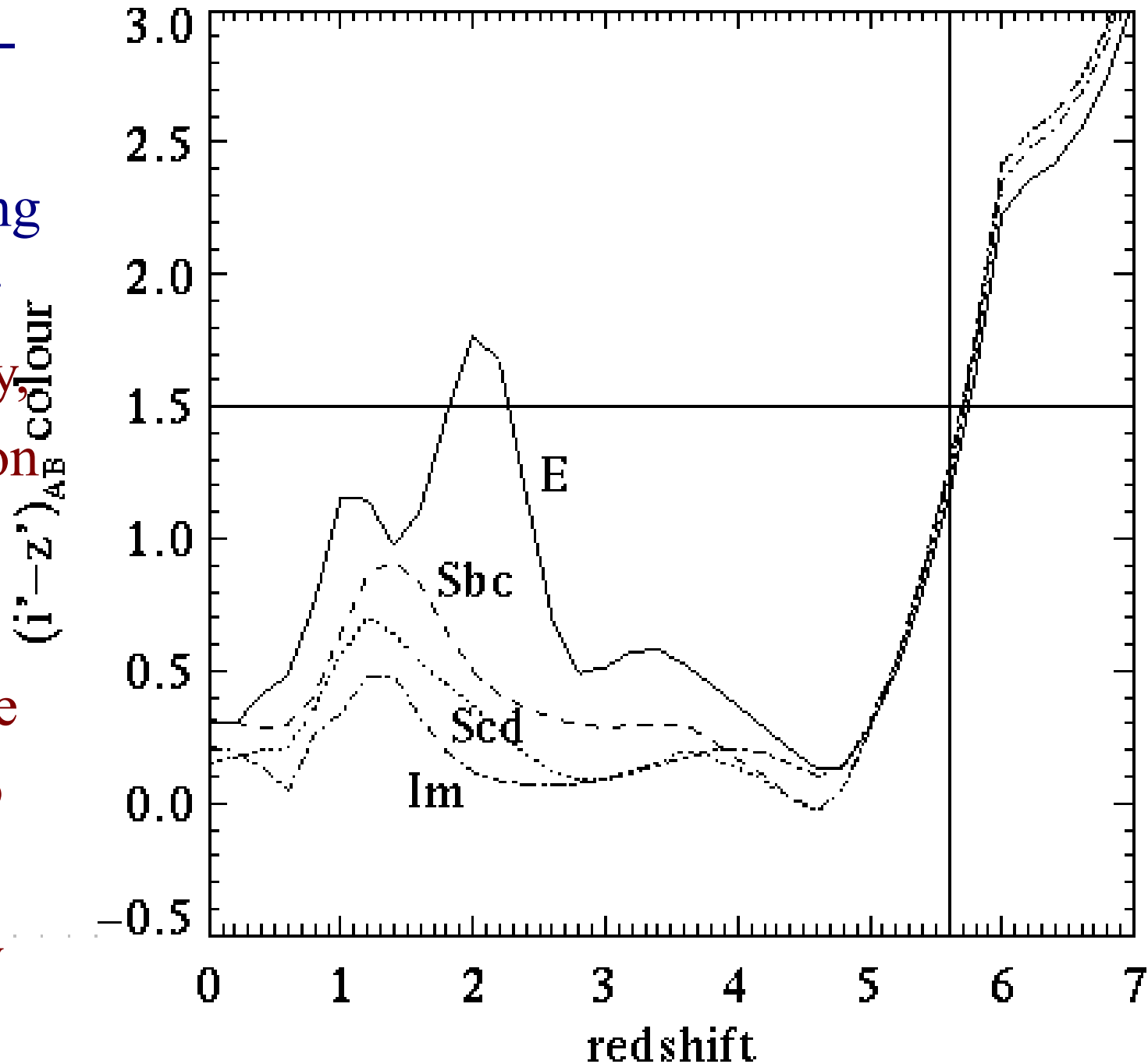
z = 3 : U B V R I J H K L M  
z = 5 : U B V R I J H K L M  
z = 10 : R I J H K L M N





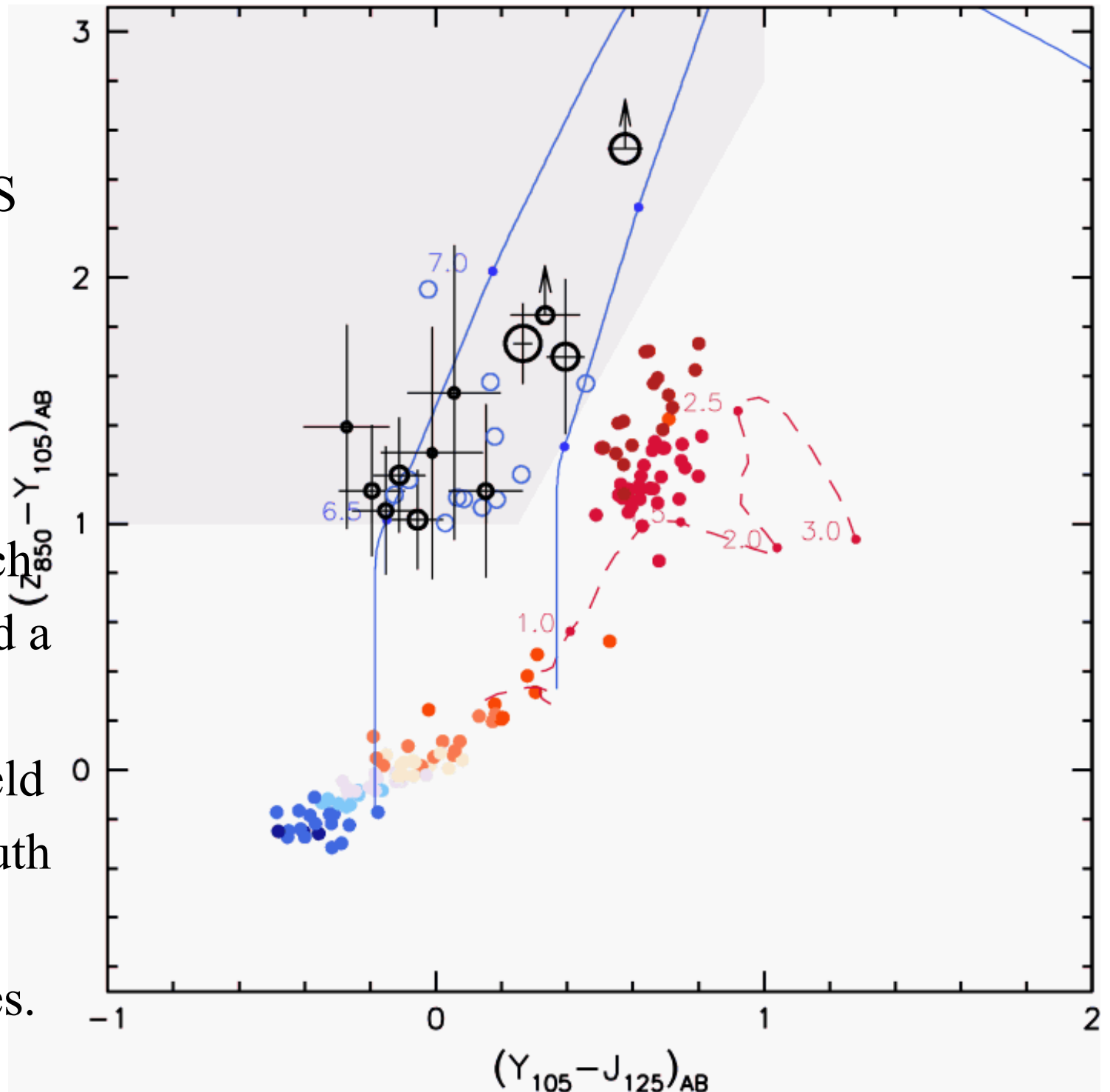


By selecting on rest-frame UV, get inventory of ionizing photons from star formation. Stanway, Bunker & McMahon (2003 MNRAS) selected  $z$ -drops  $5.6 < z < 7$  - but large luminosity bias to lower  $z$ . Contamination by stars and low- $z$  ellipticals.



Latest results:  
Wilkins et al.  
(2010) MNRAS  
ArXiv:  
1002.4866

We studied 3  
deep fields (each  
5sq.arcmin) and a  
larger  
40sq.arcmin field  
in GOODS-South  
to search for  
 $7 < z < 10$  galaxies.  
Found 44



# ESO VLTs



# 10-m Kecks



# GEMINI-NORTH



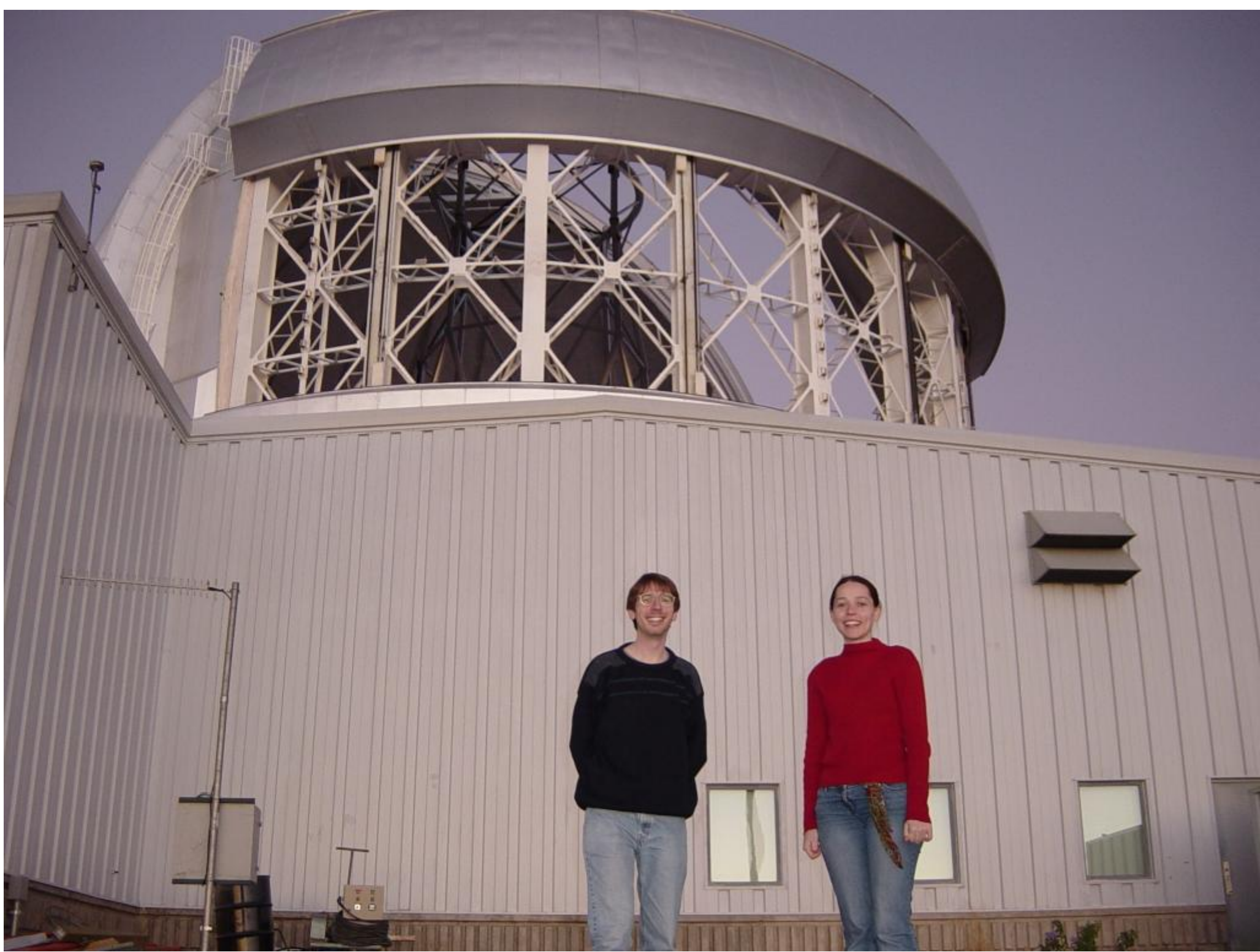
# SOUTH-GEMINI



10-m Kecks - largest  
telescopes in the  
world.

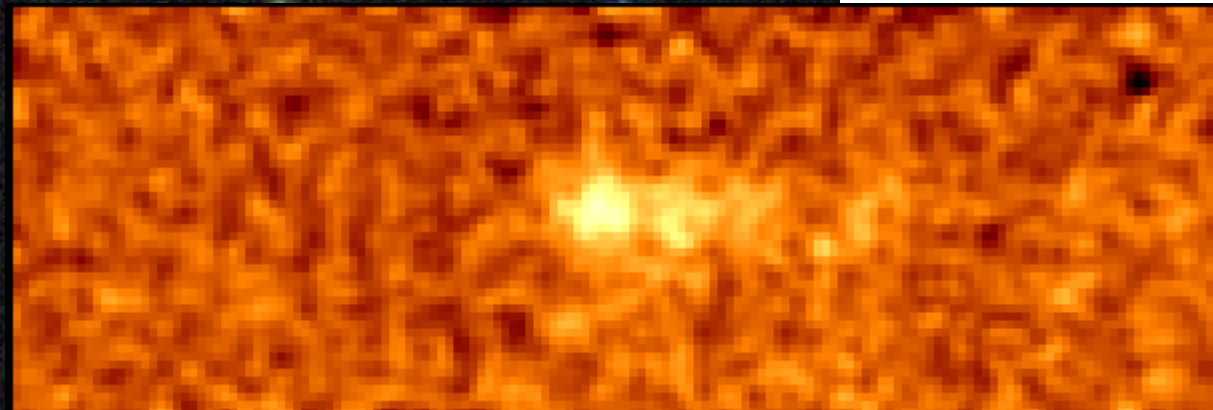
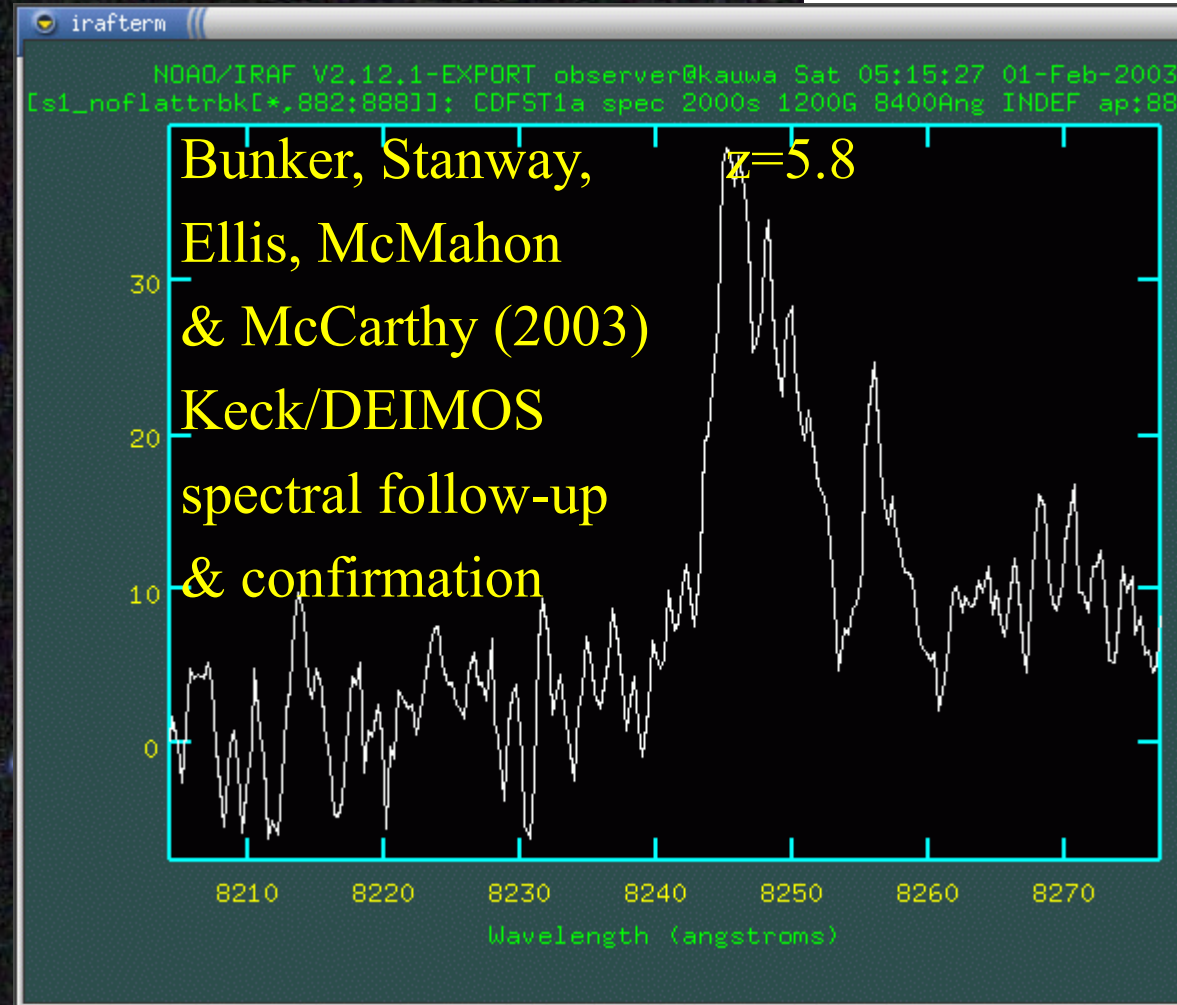
Summit of Mauna Kea,  
14000ft, Hawaii





# The Star Formation History of the Universe

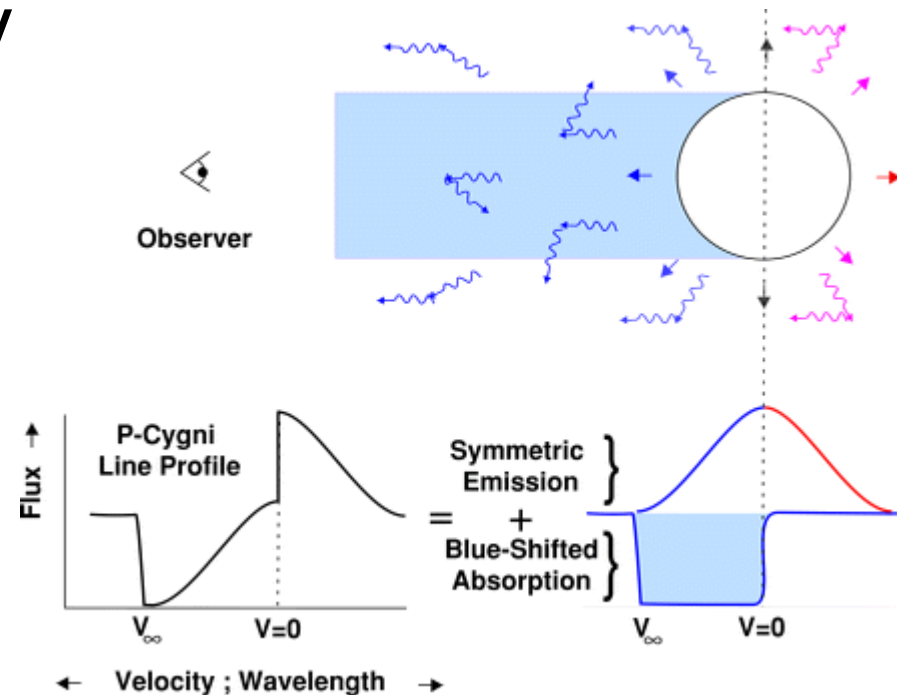
I-drops in the Chandra Deep  
Field South with HST/ACS  
Elizabeth Stanway, Andrew  
Bunker, Richard McMahon  
2003 (MNRAS)



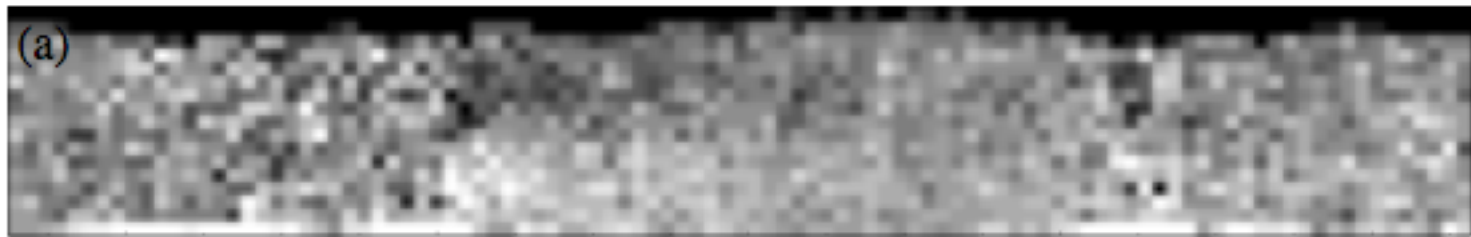
# Line Profiles

Lines can be broadened by galaxy kinematics, and stellar physics (surface gravity, pressure,...). Need moderately high spectral resolution to study.

P-Cygni profile - signature of stellar outflow. Strong in hot, young, massive stars - characteristic red-wing emission and blue-wing absorption. Velocity spread increases with stellar mass. Seen in high-redshift galaxies.



Owocki (2000)



8280

8300

8320

8340

8360



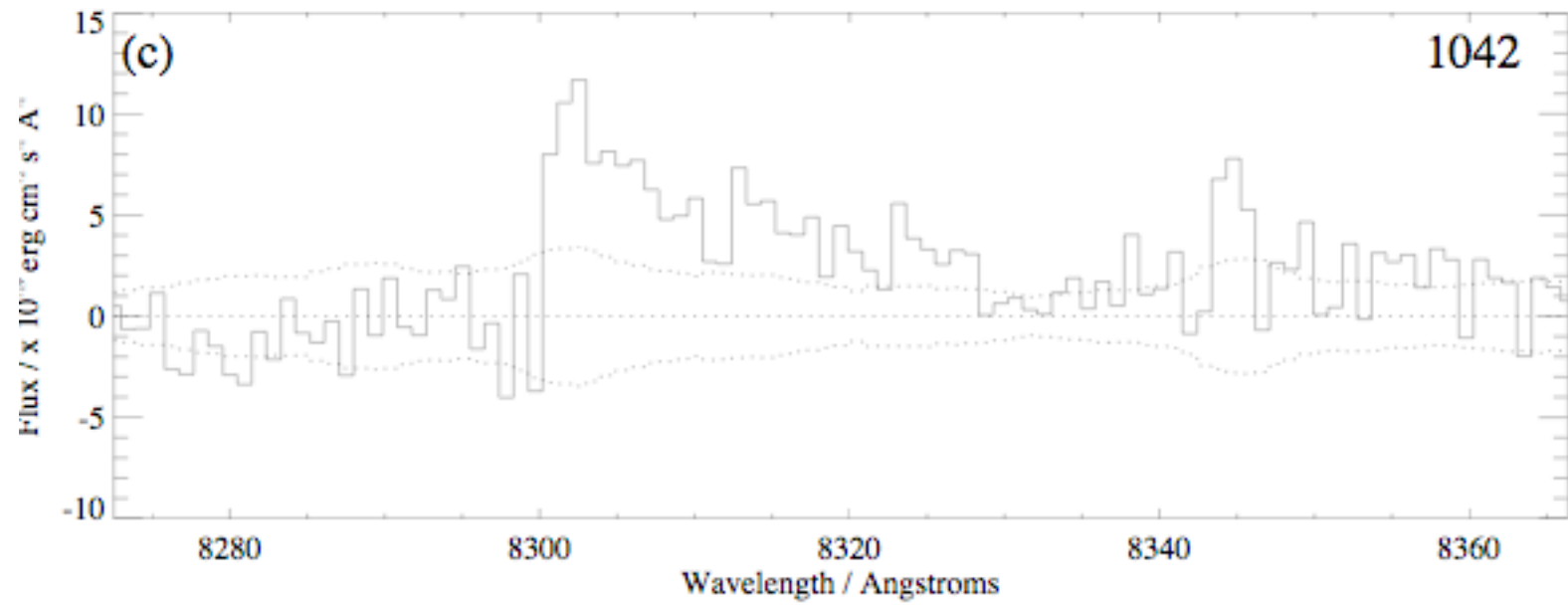
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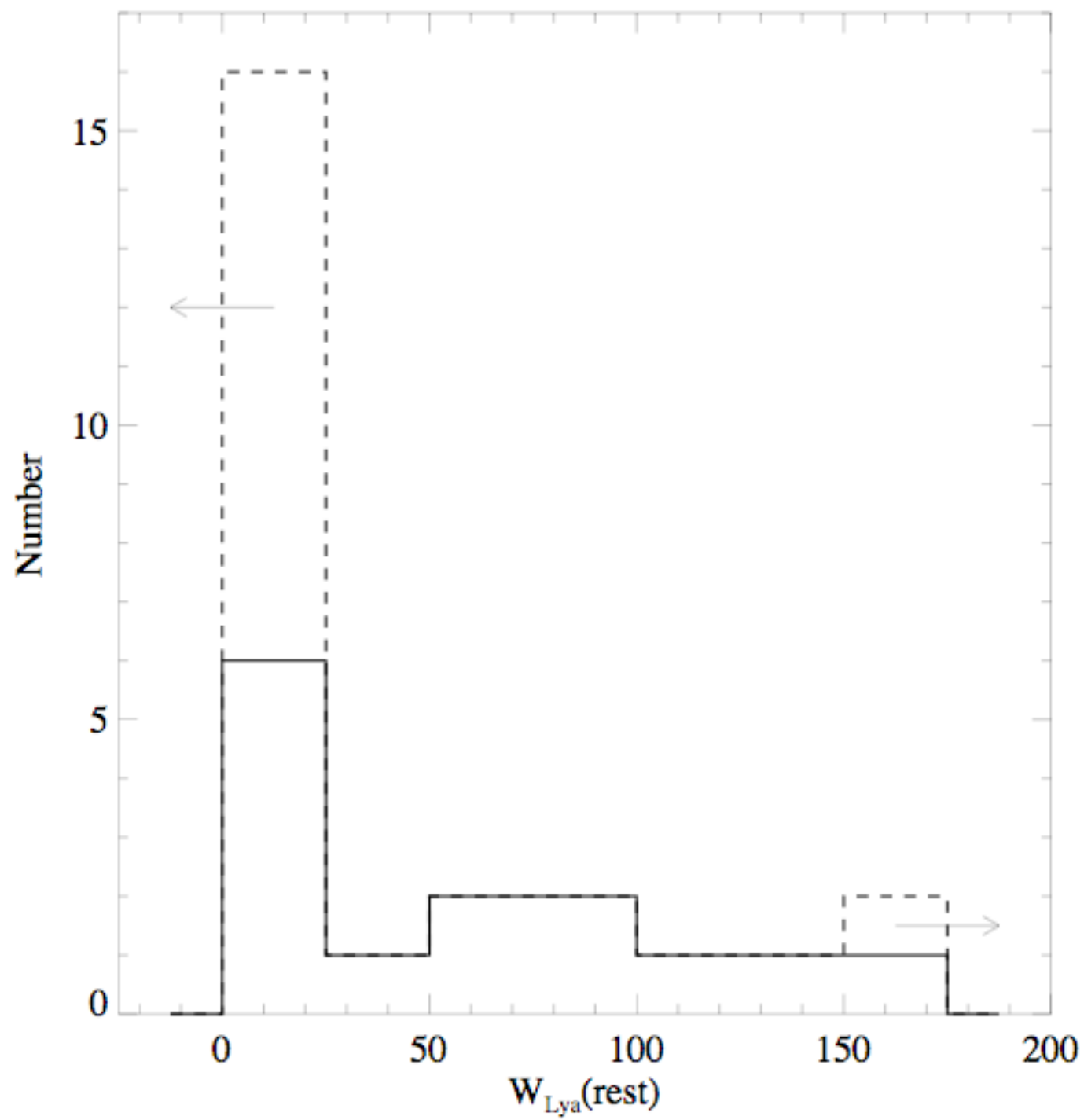
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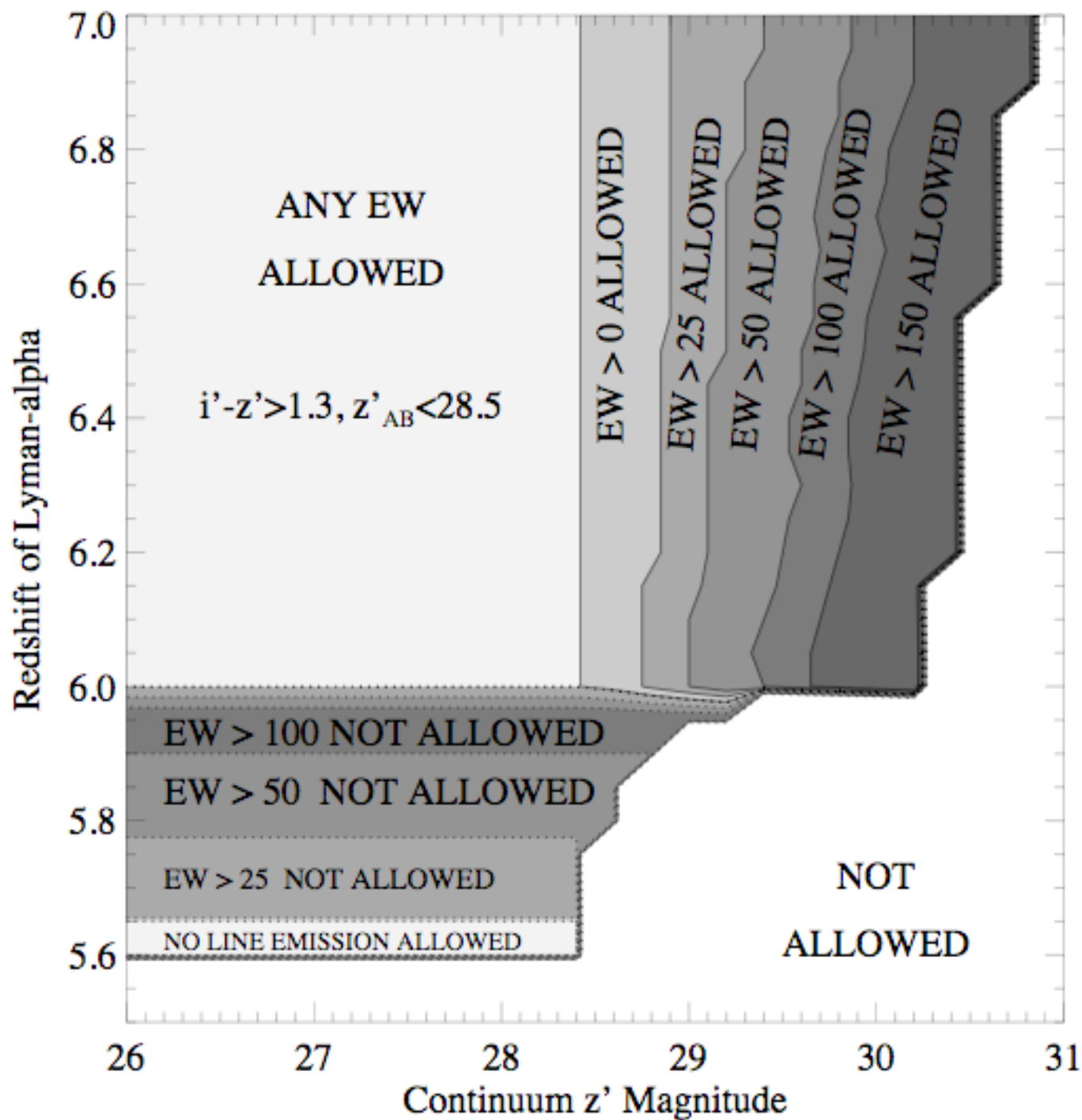
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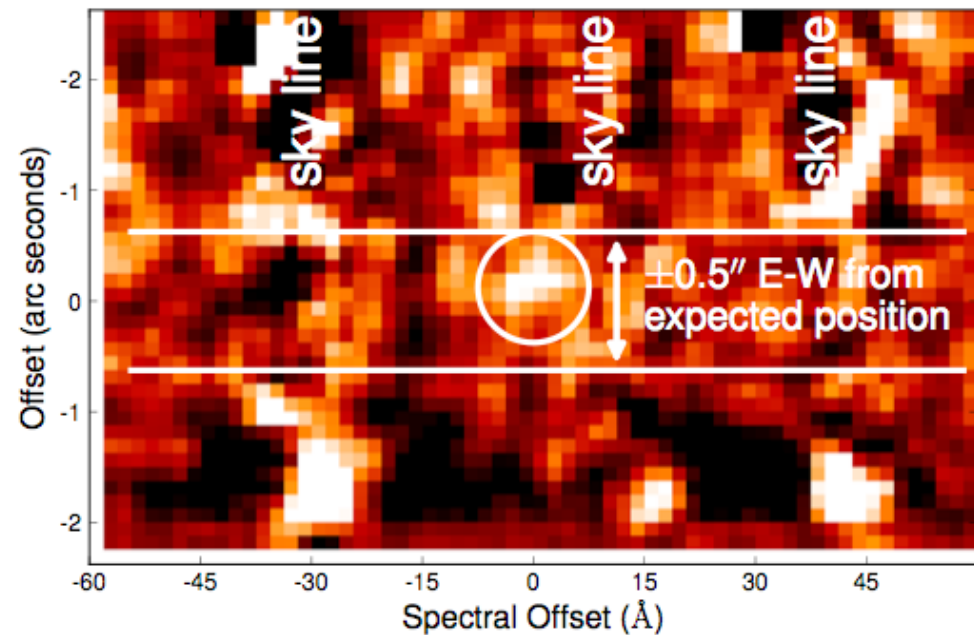
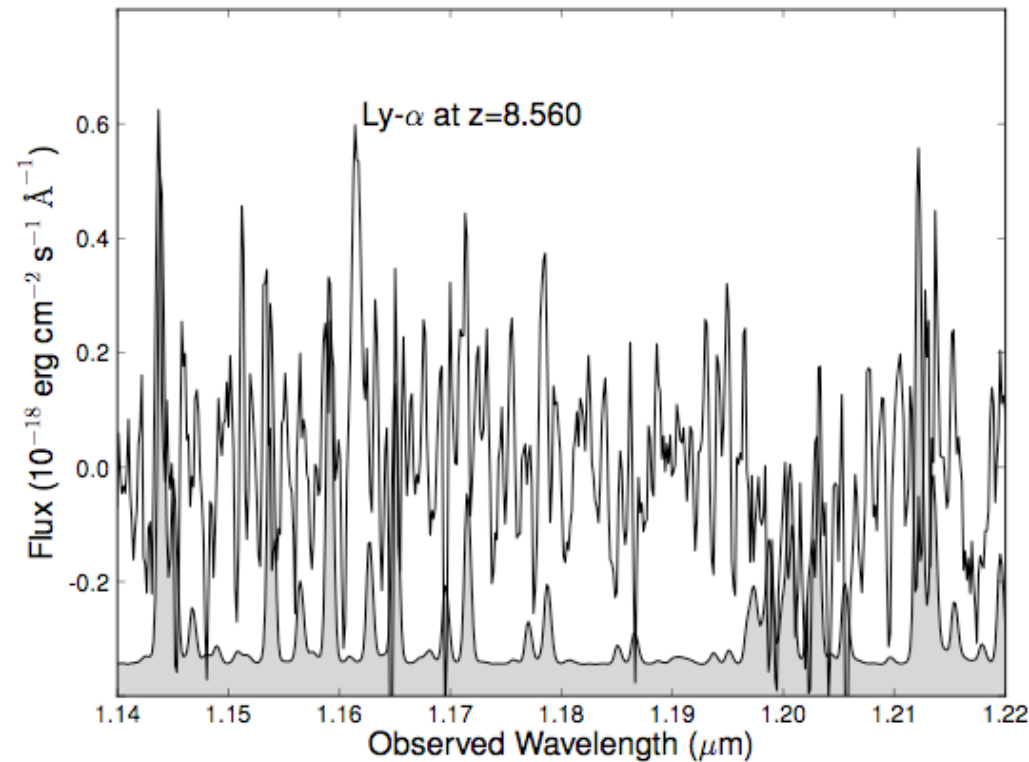


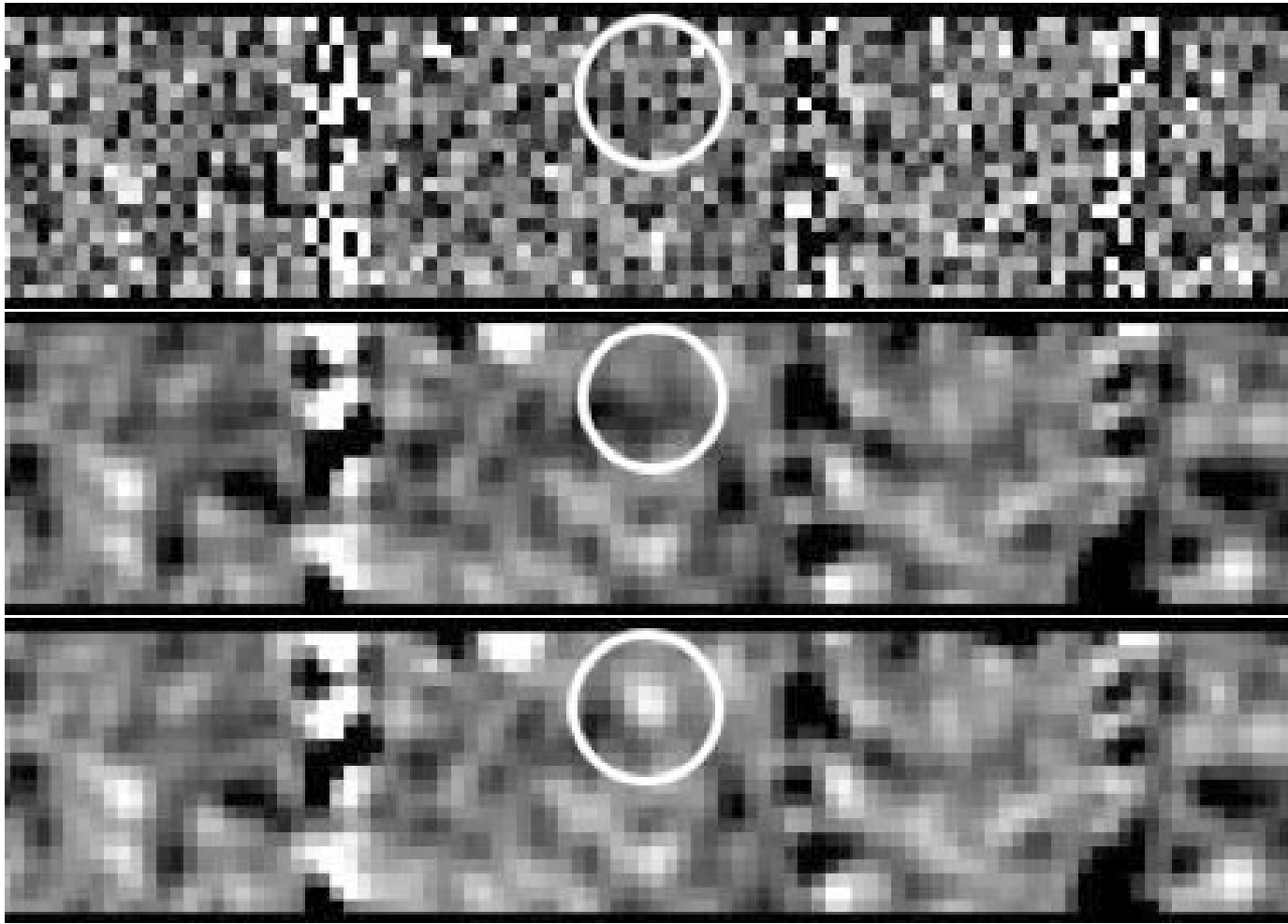




~~Brightest HUDF Y-drop  
Found in Sept 2009:  
YD3 in Bunker et al  
UDFy-31835539 in  
Bouwens et al.;  
#1721 in McLure et al.~~

~~n late 2009 Nature paper  
Lehnert et al. claiming  
spectroscopic  
confirmation  
of Ly-alpha at z=8.55  
with SINFONI-IFU on VLT~~





No evidence of Ly-alpha at  $z=8.55$  in 5-hour VLT/XSHOOTER  
And 11-hour Subaru/MOIRCS spectrum.

Also, the deep HST/WFC3 Y-band encompasses Ly-alpha, should be detected  
at  $\sim 4\sigma$  but is undetected

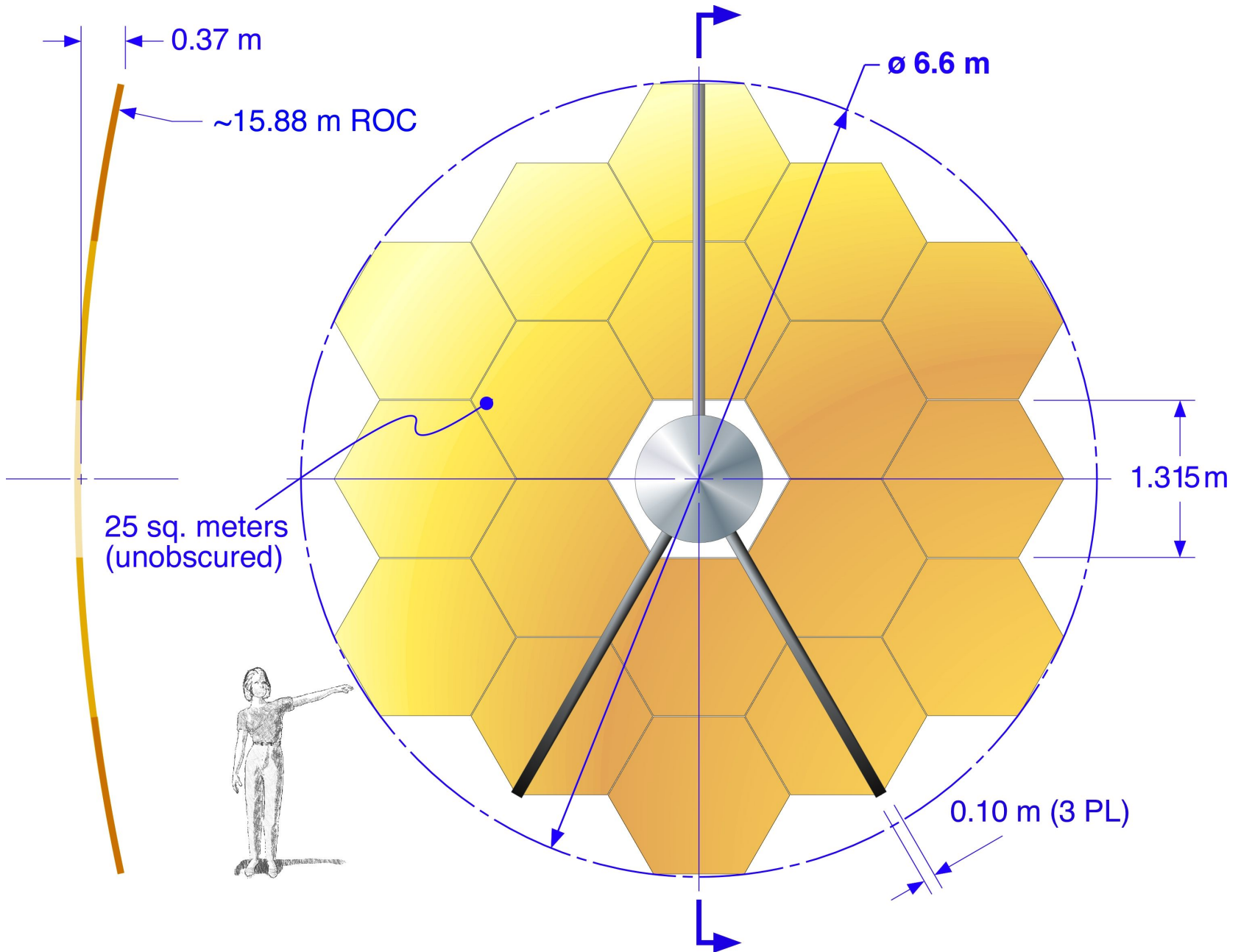
# JAMES WEBB SPACE TELESCOPE –

successor to Hubble (~~2018+~~)

**2018**



# James Webb Space Telescope



# Who Was James Webb?



Edwin Hubble



James E. Webb  
Second NASA  
administrator, during  
Apollo moon missions

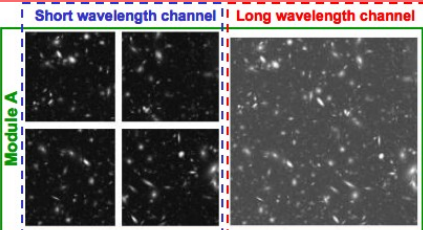
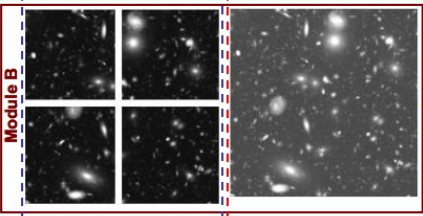
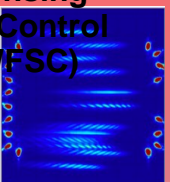

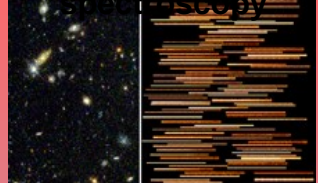
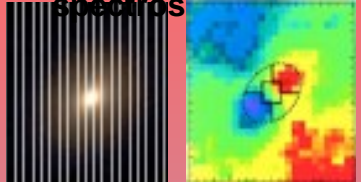
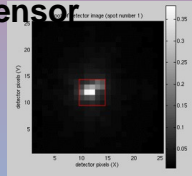

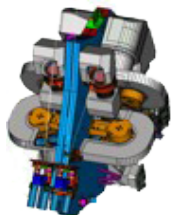
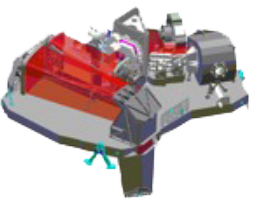
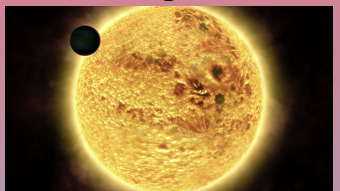
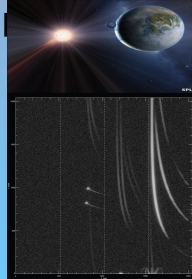
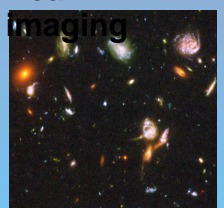
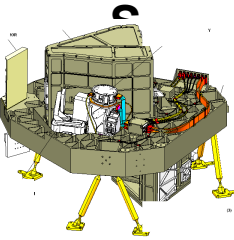
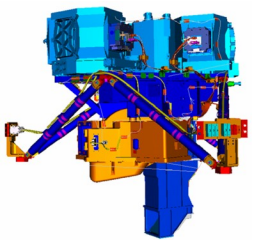

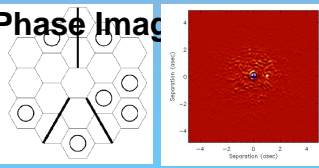
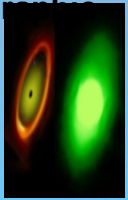
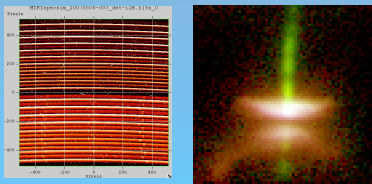
# What is JWST?

- 6.55 m deployable primary
- Diffraction-limited at 2  $\mu\text{m}$
- Wavelength range 0.6-28  $\mu\text{m}$
- Passively cooled to <50 K
- Zodiacal-limited below 10  $\mu\text{m}$
- Sun-Earth L2 orbit
- 4 instruments
  - 0.6-5  $\mu\text{m}$  wide field camera (NIRCam)
  - 1-5  $\mu\text{m}$  multiobject spectrometer (NIRSpec)
  - 5-28  $\mu\text{m}$  camera/spectrometer (MIRI)
  - 0.8-5  $\mu\text{m}$  guider camera (FGS/TF)
- 5 year lifetime, 10 year goal
- 2018 launch

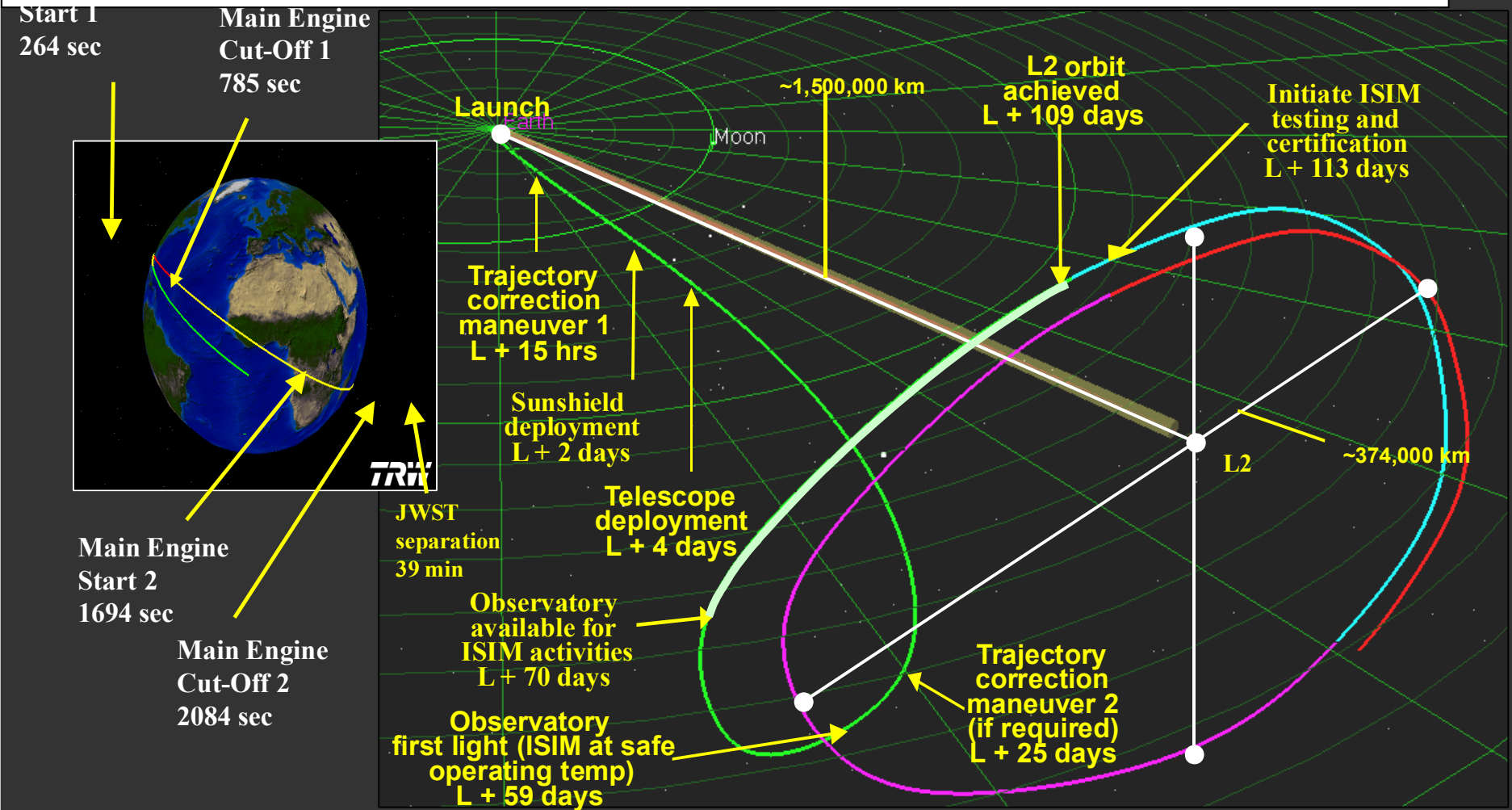
QuickTime® and a  
TIFF (Uncompressed) decompressor  
are needed to see this picture.



# JWST Science Instruments

<p>Short wavelength channel   Long wavelength channel</p> <p>Module A</p>  <p>Module B</p>  <p>Deep, wide field broadband imaging</p>	<p>Wavefront Sensing &amp; Control (WFSC)</p> 	<p>Coronagraphic Imaging</p> 	<p>Multi-Object, IR spectroscopy</p> 	<p>IFU spectroscopy</p> 
<p>Fine Guidance Sensor</p>  <p>Moving Target Support</p> 	<p>NIRCam</p> 	<p>NIRSpec</p> 	<p>Long Slit</p> 	
<p>Slitless Spectroscopy</p>  <p>Near-IR imaging</p> 	<p>FGS/NIRIS</p> 	<p>MIRI</p> 	<p>Mid-IR, wide-field Imaging</p> 	
	<p>High Contrast Closure Phase Imaging</p> 	<p>Mid-IR Coronagraphic Imaging</p> 		<p>IFU spectroscopy</p> 

# Orbit



**...scientific objectives and requirements of the James Webb Space Telescope (JWST) Project.**

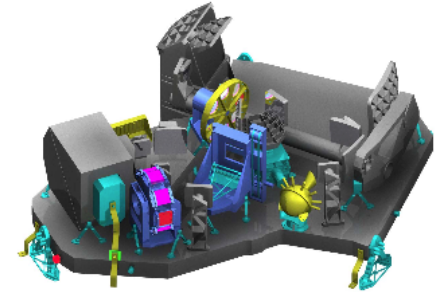
**JWST will be a large, cold, infrared-optimized space telescope designed to enable fundamental breakthroughs in our understanding of the formation and evolution of galaxies, stars, and planetary systems.**

1. The End of the Dark Ages: First Light and Reionization
2. The Assembly of Galaxies
3. The Birth of Stars and Protoplanetary Systems
4. Planetary Systems and the Origins of Life

# European Space Agency (ESA) Contributions to JWST

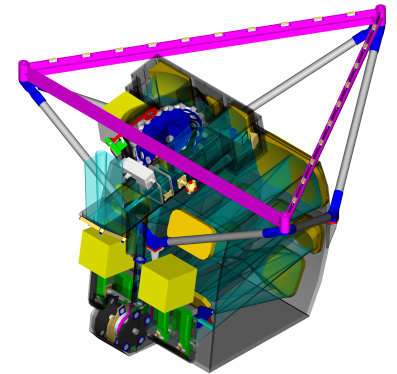
NIRSpec spectrograph 0.8-5  $\mu\text{m}$

- Multi-object; ESA-build
- Detector & Arrays from NASA



MIRI (long wavelength, 5-30 $\mu\text{m}$ )

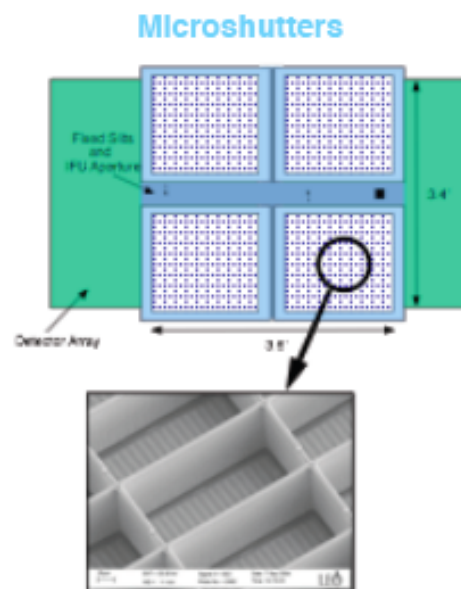
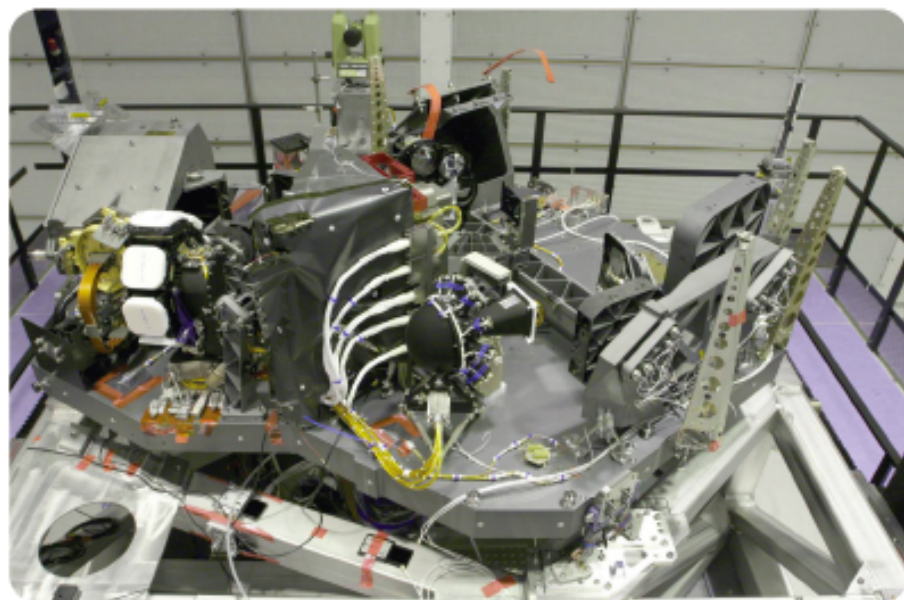
- ESA Member States & NASA
- Detector & Cooler/Cryostat from NASA



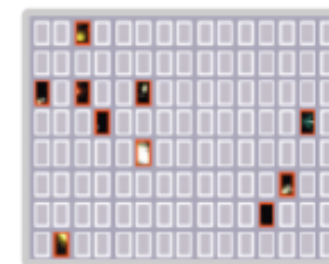
Ariane V Launcher

# NIRSpec

- Developed by the European Space Agency with Astrium GmbH and GSFC
  - Operating wavelength: 0.6 – 5.0  $\mu\text{m}$
  - Spectral resolution: 100, 1000, 3000
  - Field of view: 3.4 x 3.4 arc minutes
  - Aperture control: programmable micro-shutters, 250,000 pixels
  - Angular resolution: shutter open area 203 x 463 mas, pitch 267 x 528 mas
  - Detector type: HgCdTe, 2048 x 2048 pixel, 2 detectors,  $T_{\text{op}} = 37\text{K}$  (passive)
  - Reflective optics, SiC structure and optics



Multiple Objects  
 $\leq 100$  objects



# NIRSpec IST



See the full story of  
NIRSpec IST

Near Infrared Spectrograph  
(NIRSpec) Mockup  
Hit the hardware!  
Please don't touch!  
© 2010 NASA/JPL-Caltech  
"Close to the Edge" 10/10

# NIRSpec

- 3 x 3 arcmin FOV
- 1-5  $\mu\text{m}$  coverage
- $R \sim 1000$ ,  $R \sim 100$  multiplexed
- $>100$  sources simultaneously
- Configurable slit width/length
- MEMS array - “build your own slitmask in space”



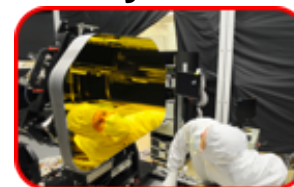
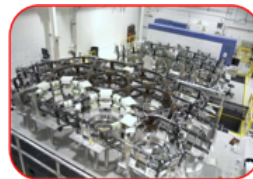
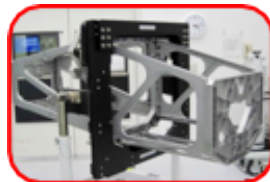




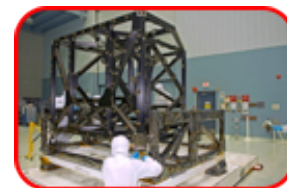
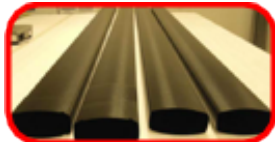
# JWST: Under Construction



Primary Mirror Segments    Mirror Electronics    Asst Optics System    PM Flight Backplane    Tertiary Mirror    Fine Steering Mirror



SMSS Flight Struts



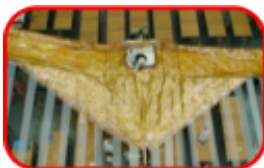
ISIM Flight Bench



SM Hexapod



SM Segment



Membrane Mgmt



Pathfinder Membrane



DTA tube



IC&DH unit ETU



HGA



NIRCam



Mid-boom Test



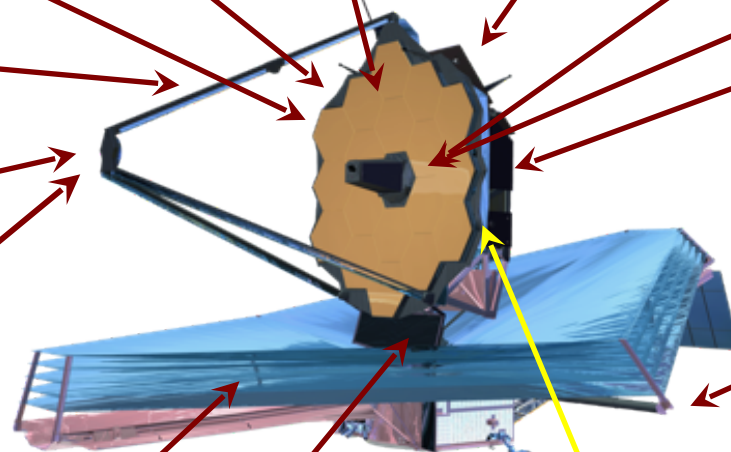
NIRSpec



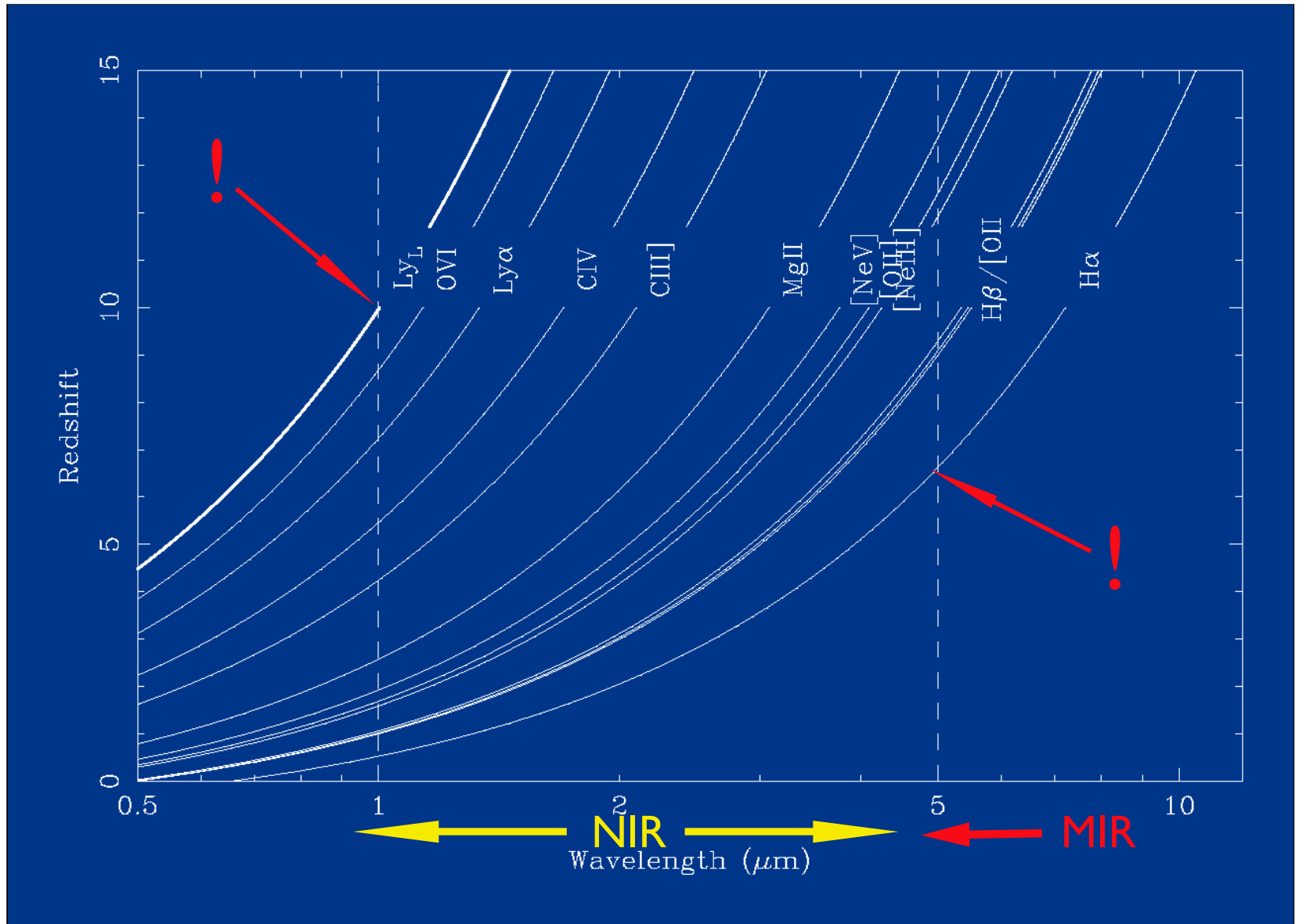
MIRI



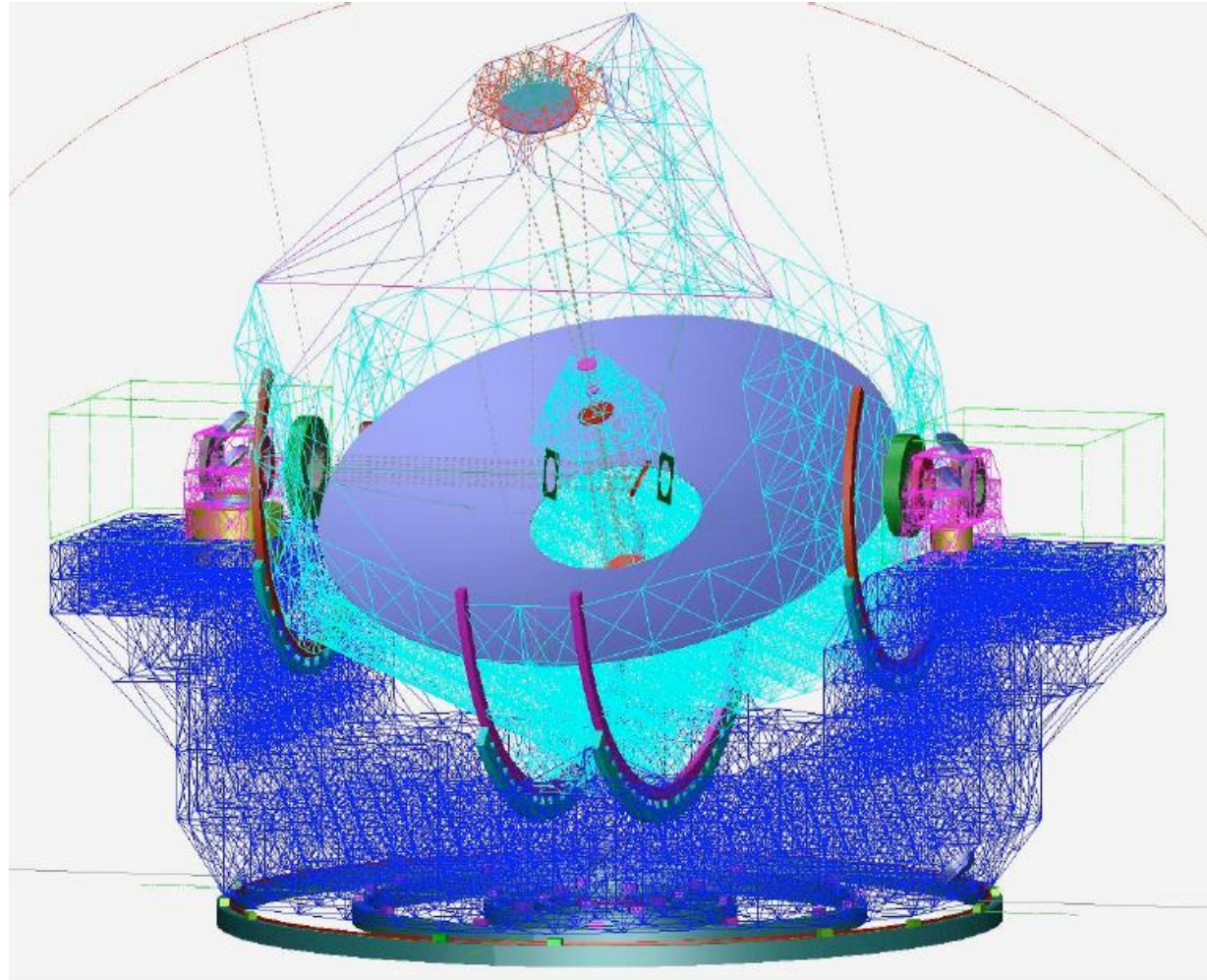
FGS/TFI



# You Can't Fight Redshift



# ***E-ELT (also TMT)***



# Conclusions

- Have found star-forming galaxies at  $z=6-10$  (Lyman breaks), and spectroscopic confirmation at  $z\sim 6$
- However,  $z>7$  number counts from HST/WFC3 imply the newly-discovered galaxies would struggle to reionize
- Many of these have very blue rest-UV spectral slopes
- High escape fraction/Steep faint end slope/low metallicity/smooth IGM?
- JWST spectroscopy should resolve many questions