

# Density waves, protoplanetary disks and planets

J. Papaloizou

Collaborators:

E. Podlowska-Gaca,  
M-K Lin, C. Baruteau

# Sites of planet formation

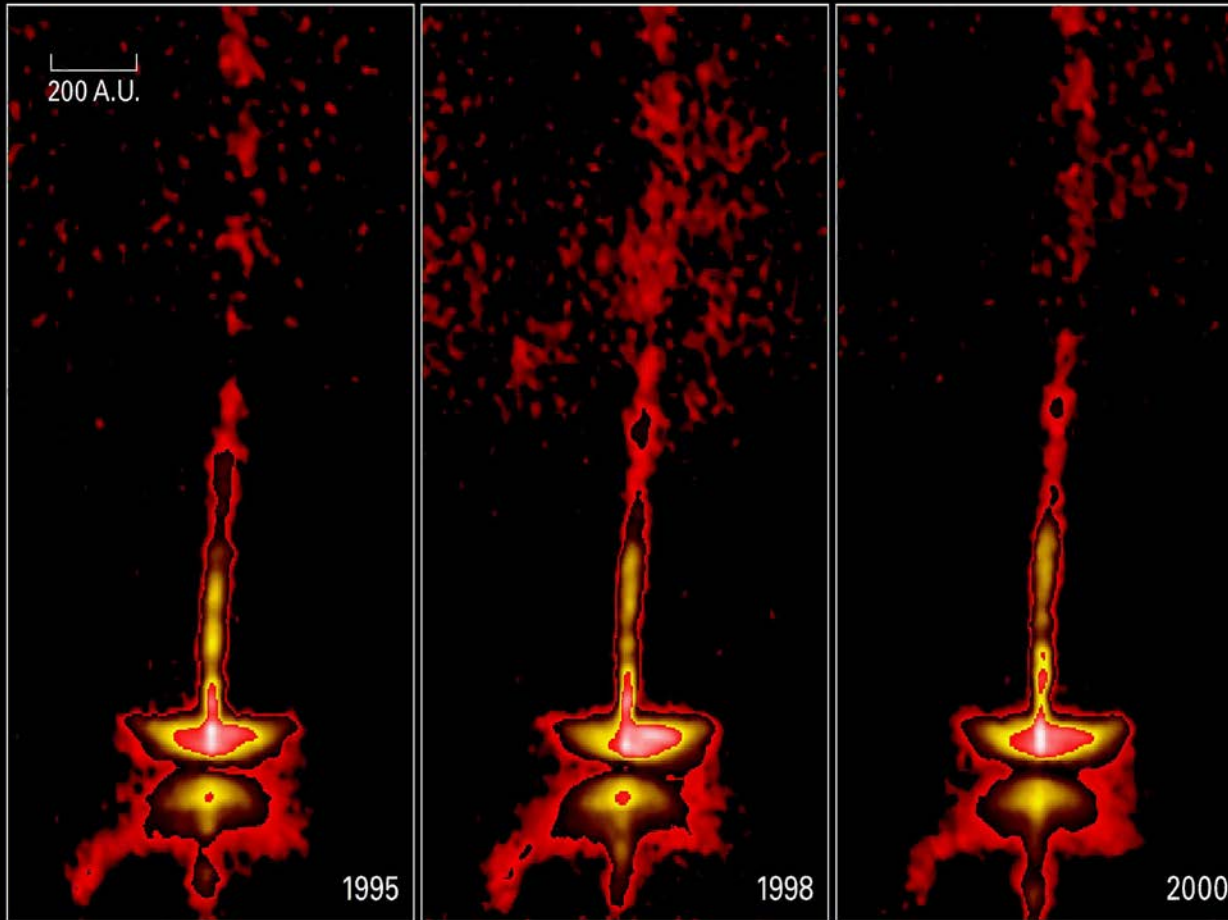
Protoplanetary  
(accretion)  
disks

In Keplerian  
(differential  
rotation)

$$\Omega^2 = GM/R^3$$

Aspect ratio  
 $HR \ll 1$

Hypersonic  
 $c = H \Omega \ll R \Omega$

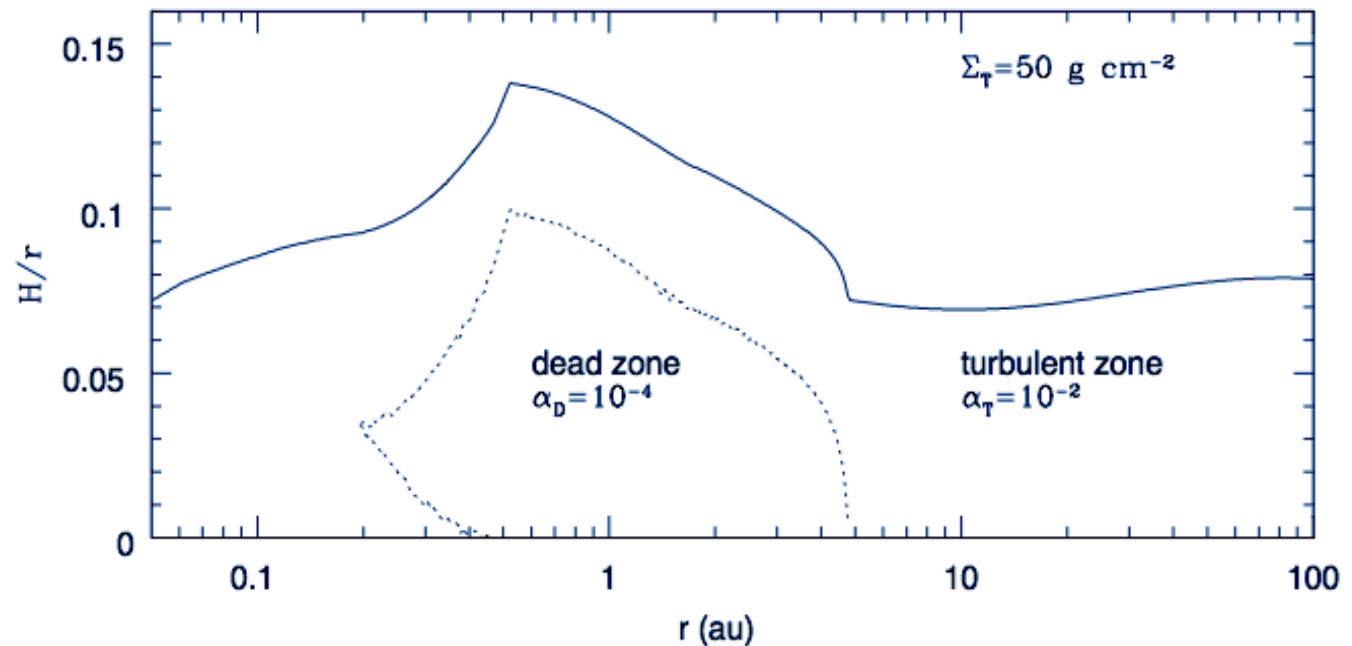
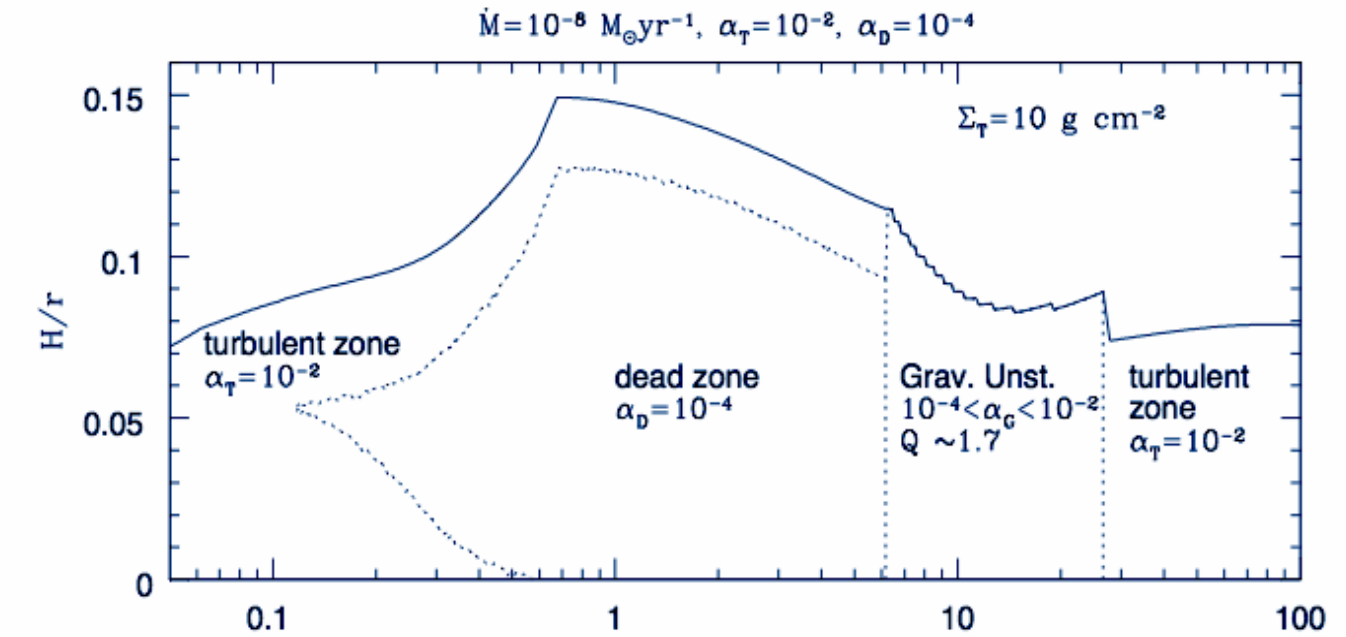


The Dynamic HH 30 Disk and Jet  
Hubble Space Telescope • WFPC2

**Schematic disc models  
(Terquem 2008)**

**Strength of self-gravity measured by Toomre parameter,  $Q$ ,  
 $\sim (M_d/M_*)(R_d/H)$ .**

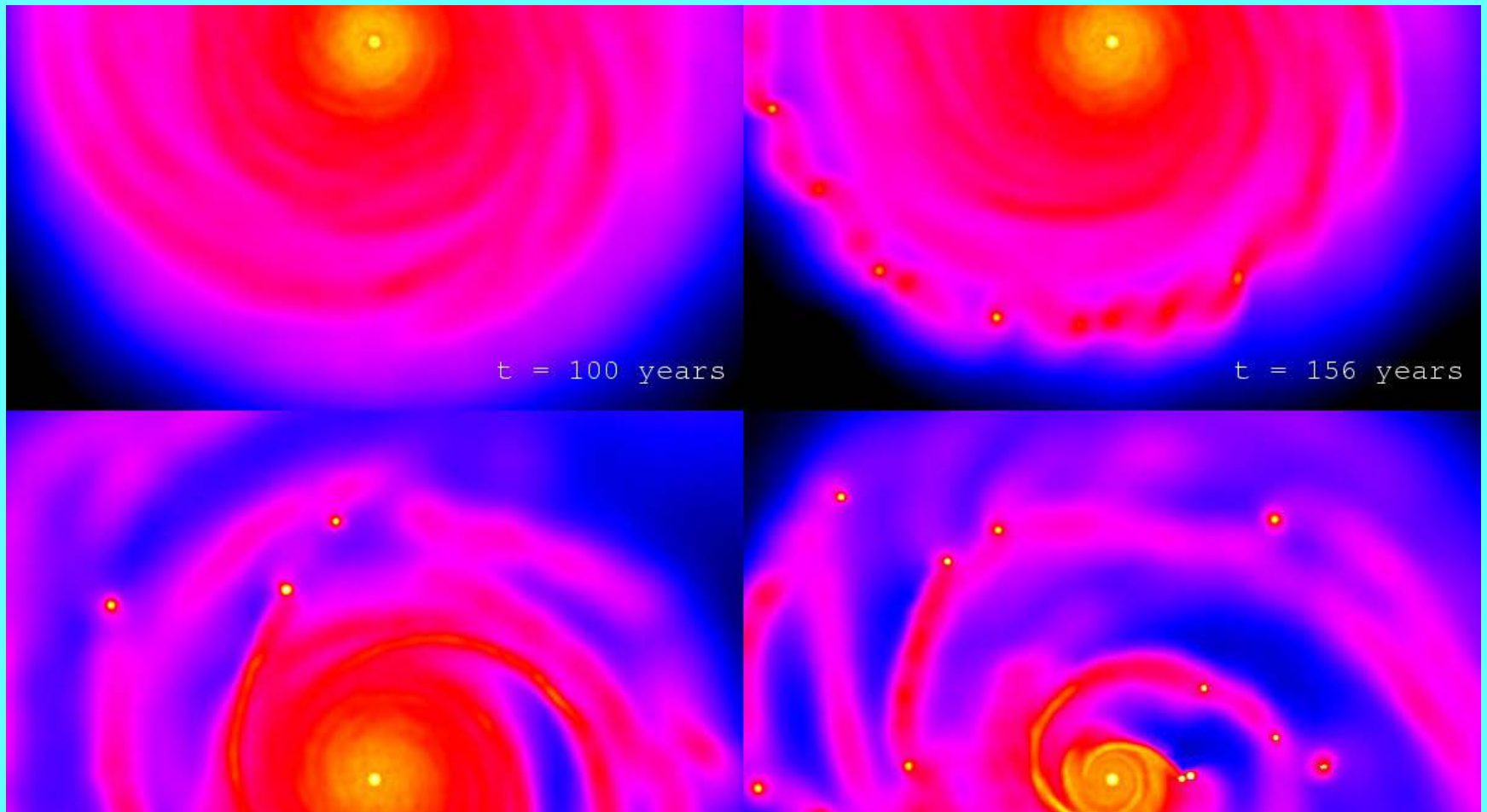
**Anomalous Kinematic viscosity  
 $\nu \sim \alpha H^2 \Omega$ .  
Spreading time  
 $t \sim R^2/\nu$ .**



# Two modes of planet formation

1) Gravitational Instability (top down):  
spiral modes and fragmentation in disks with

$$Q = \Omega c / \pi G \Sigma < \sim 1$$



## **2) Planetary accumulation (bottom up):**

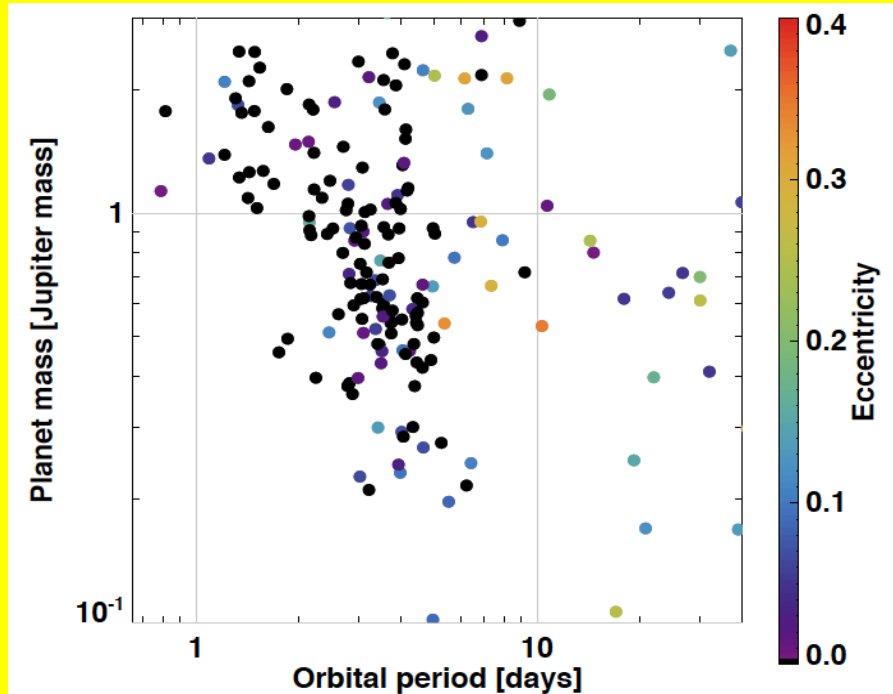
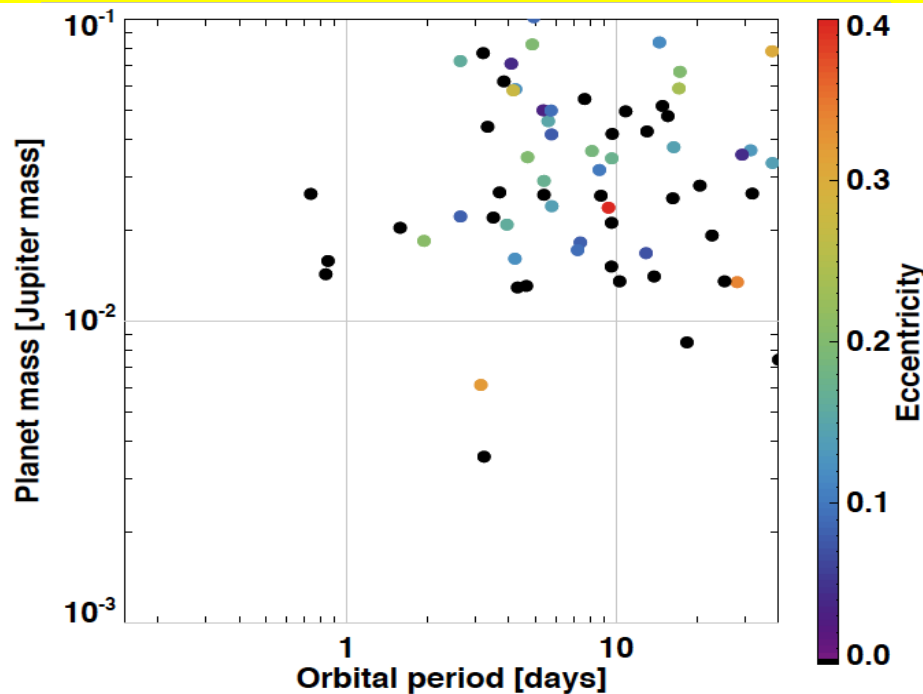
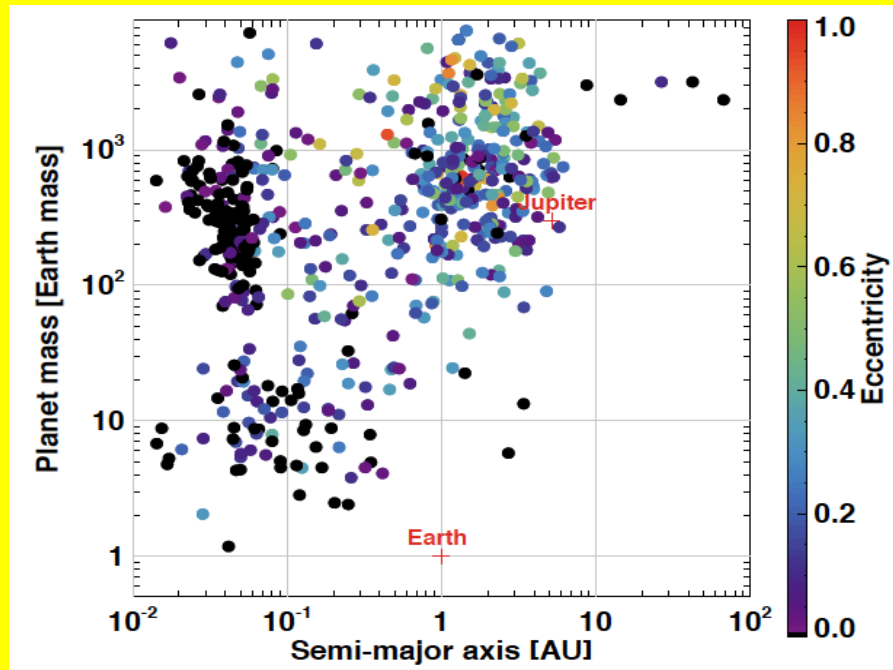
**Many stages starting from dust grains sticking together leading to formation of planetesimals, growth by runaway accretion, followed by oligarchic growth of solid cores to several earth masses that can accrete gas.**

**Long time scale ~ disk lifetimes  $10^6 - 10^7$  yr.  
( for giant planet formation at a few AU).**

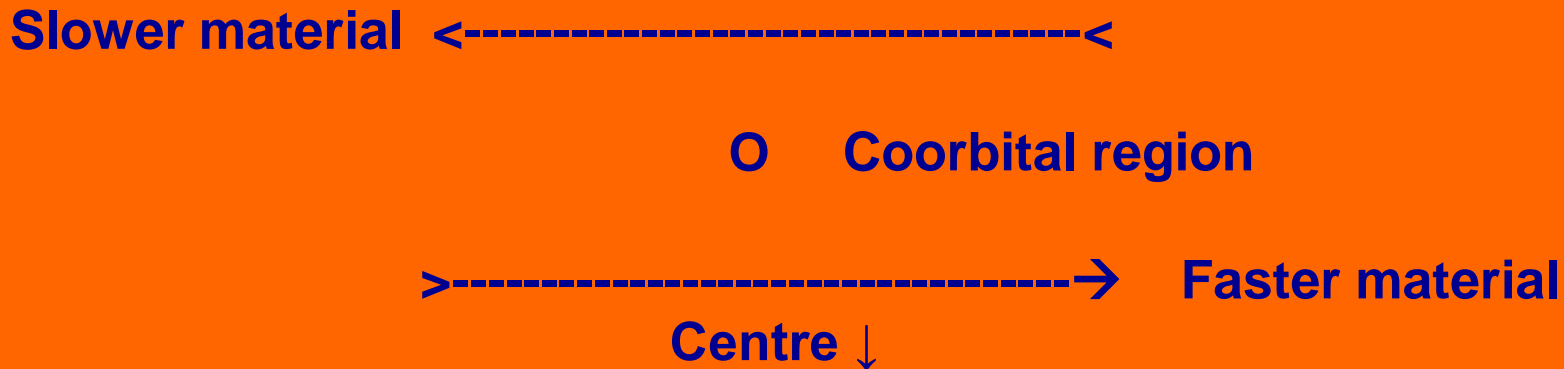
**Gas free accumulation of terrestrial planets can take longer.**

**Difficulties at larger distances....gravitational instability leading to fragmentation favoured?**

Dependence of planet mass on period (with eccentricities) for different mass ranges.  
Orbital migration?



Tidal Interaction of a protoplanet with a protoplanetary disc and orbital migration (Lindblad torques):



Density waves are excited and propagate into the surrounding disk.

The outer slower material drags the planet backward and the inner and faster material accelerates it. This frictional interaction causes orbital circularization and migration.

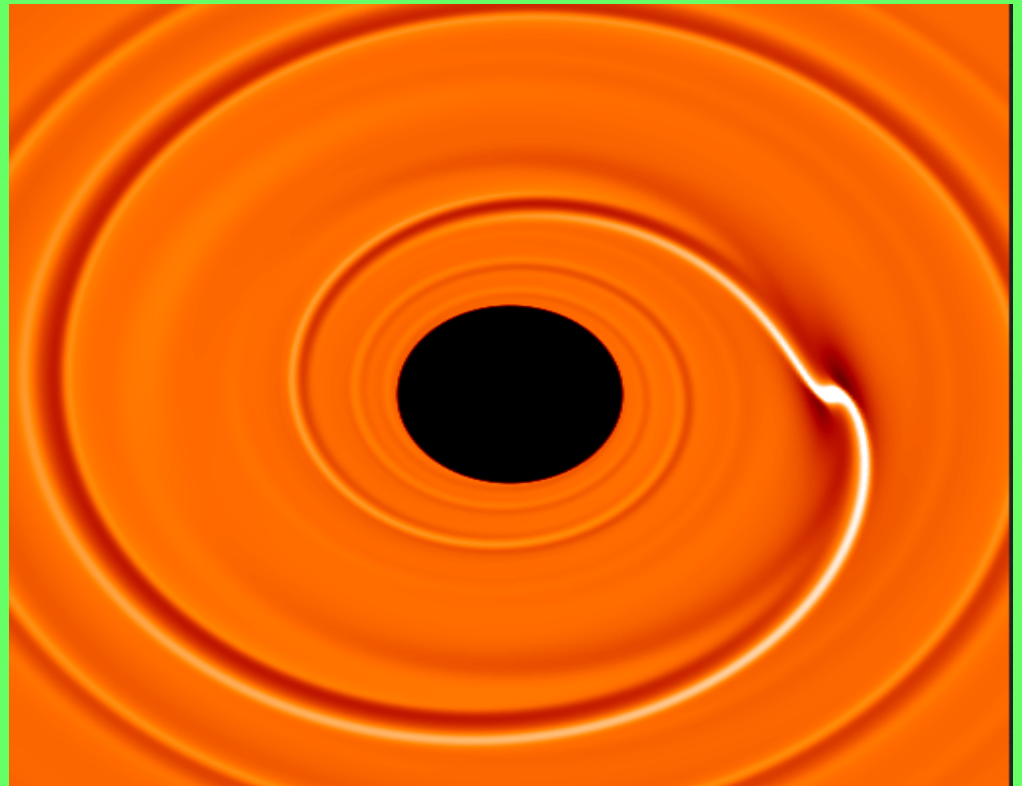
If the coorbital region is unimportant, the direction is controlled by which material has the stronger interaction. In particular if there is an inner cavity the outer and slower material wins leading to inward migration.

# Type I migration of low mass protoplanet

Linear response comprising of density waves:  
Outer wake is stronger than inner wake leading  
to inward migration for

(locally) isothermal disks with  $\Sigma \propto r^{-3/2}$ .

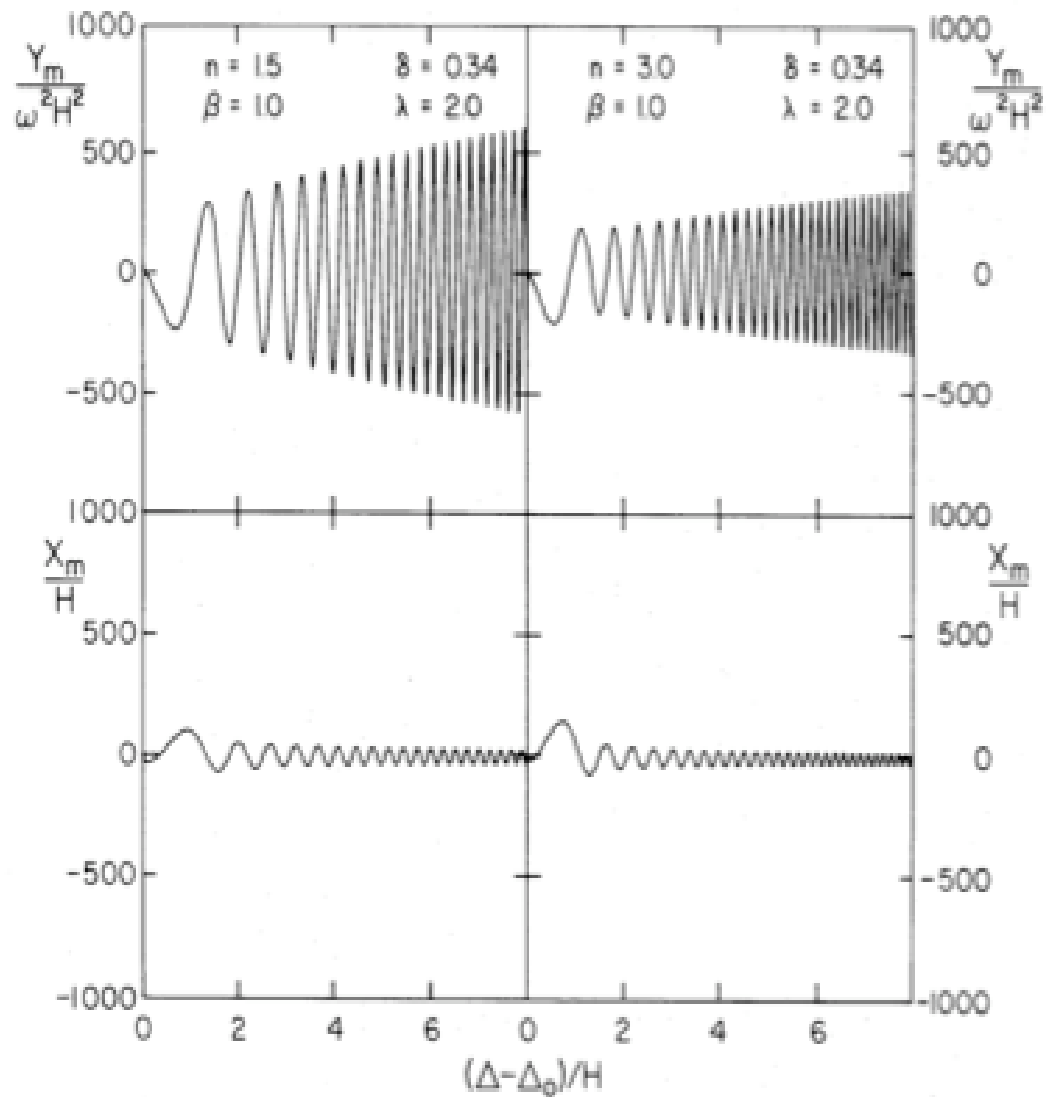
**Mass distribution  
similar to  
minimum mass  
solar nebula with  
mass  $\propto r^{1/2}$  with  
 $2 M_J$  within 5 AU.  
Total mass  $\sim 0.02$   
solar masses.**



# Gap Formation

Linear response problem for disk forced by a protoplanet:

Calculate torque for disk edge a distance  $\sim H$  (one scale height) from the protoplanet by summing contributions from different  $m$ .



**Gives torque**

$$\dot{H}_1 = \text{sign}(\Delta_0) f \left( \frac{M_p}{M} \right)^2 \sum_n R_p^4 \omega^2 \left( \frac{R_p}{H_\omega} \right)^3,$$

# CONDITIONS FOR GAP FORMATION

**Viscous condition for gap formation**

**(mass ratio  $> 40/\text{Reynolds number}$ )**

$$\frac{M_p}{M_\star} > \frac{40\nu}{R^2\omega}$$

**Thermal condition for gap formation**

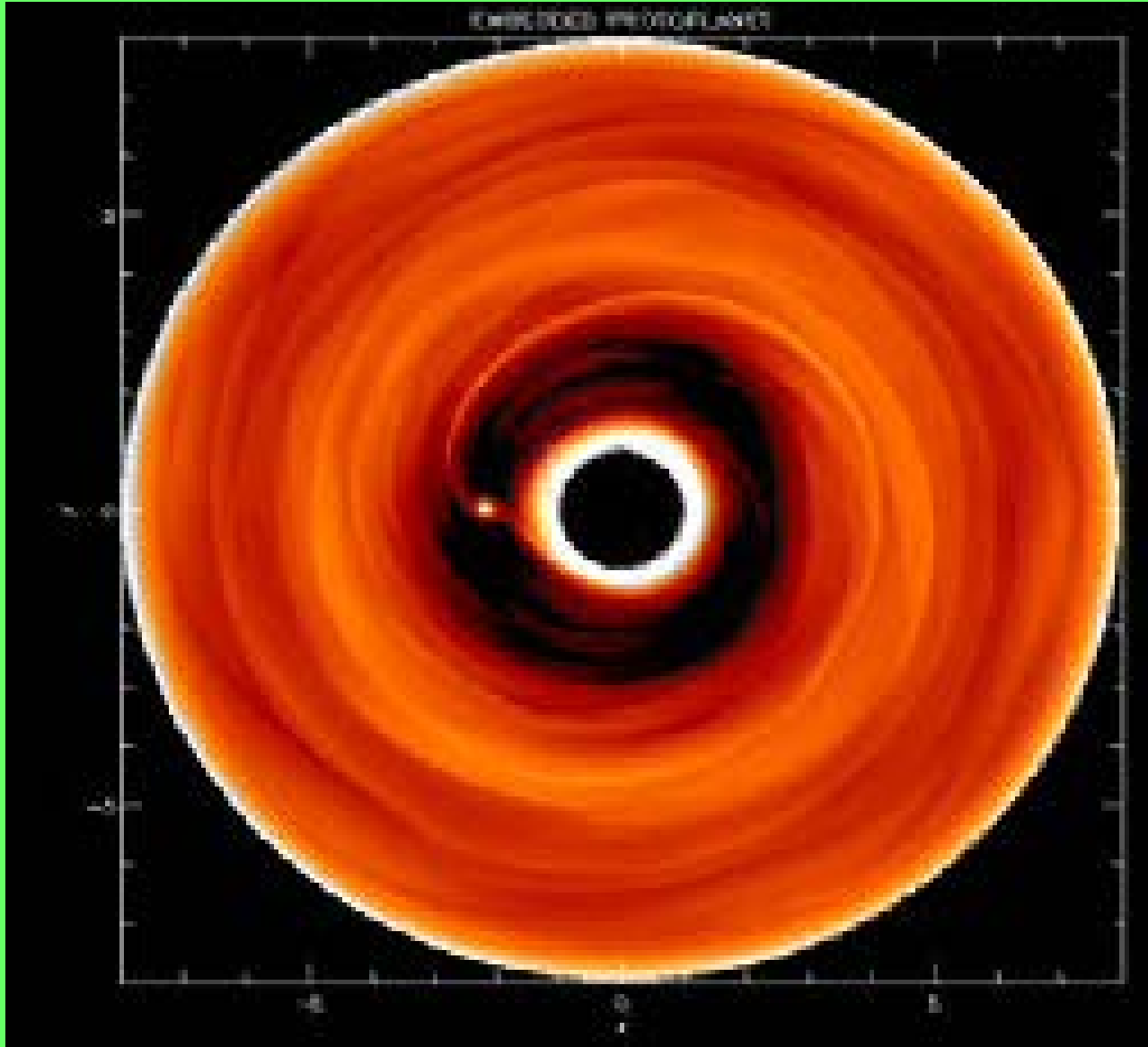
**(Hill radius  $>$  disk scale height)**

$$a \left( \frac{M_p}{3M_\star} \right)^{1/3} > H.$$

**More recent study of Crida et al (2006) combines these conditions.... Has same content**

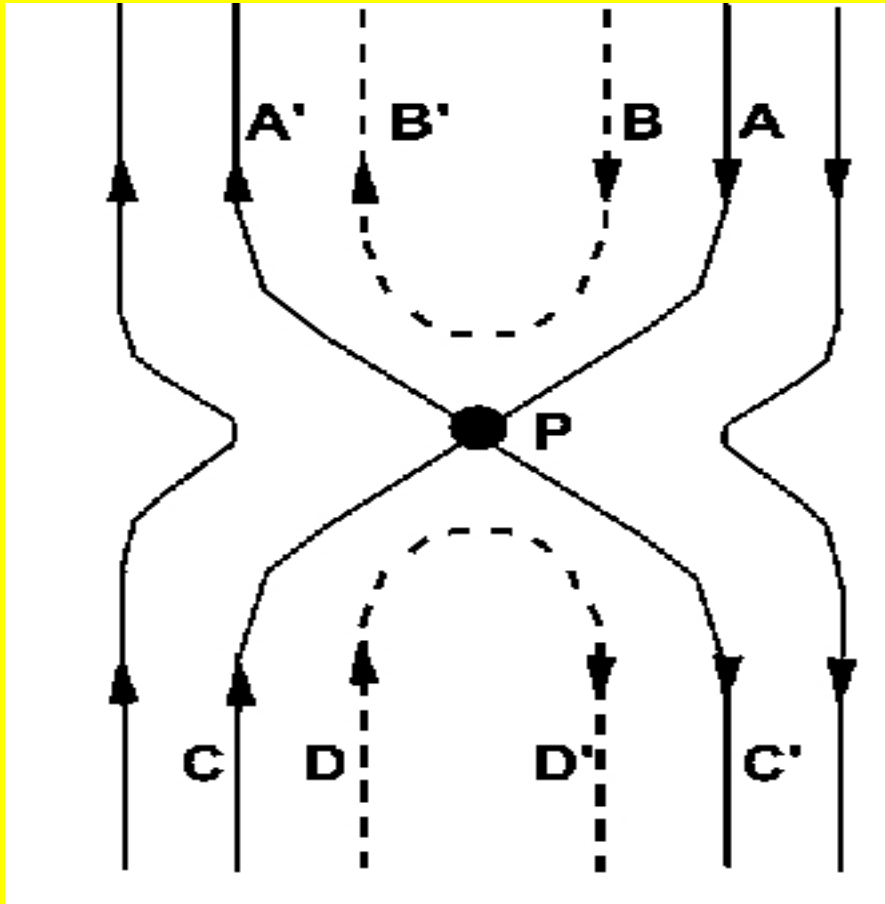
$$\frac{3}{4} \frac{H}{R_H} + \frac{50}{q\mathcal{R}} \lesssim 1$$

# Type II Migration when a gap forms occurs on the evolution time of the disk.



# Coorbital or corotation torques

## Schematic illustration of coorbital flow for a low mass protoplanet



Due to horseshoe turns, if there is an underlying gradient of background state variables (eg. in the surface density or entropy), the surface density at  $A'$  will not be the same as that at  $C$ . Similarly the surface density at  $C'$  will not be same as that at  $A$ .

→ Coorbital torque (called Horseshoe drag).

**Linear corotation torques:**

**For potential proportional to  $\exp(im\phi)$**

$$\Gamma_{c,m} = - \frac{m\pi^2 \Phi_m'^2}{2d\Omega/dr} \frac{d}{dr} \left( \frac{\Sigma}{\omega} \right)$$

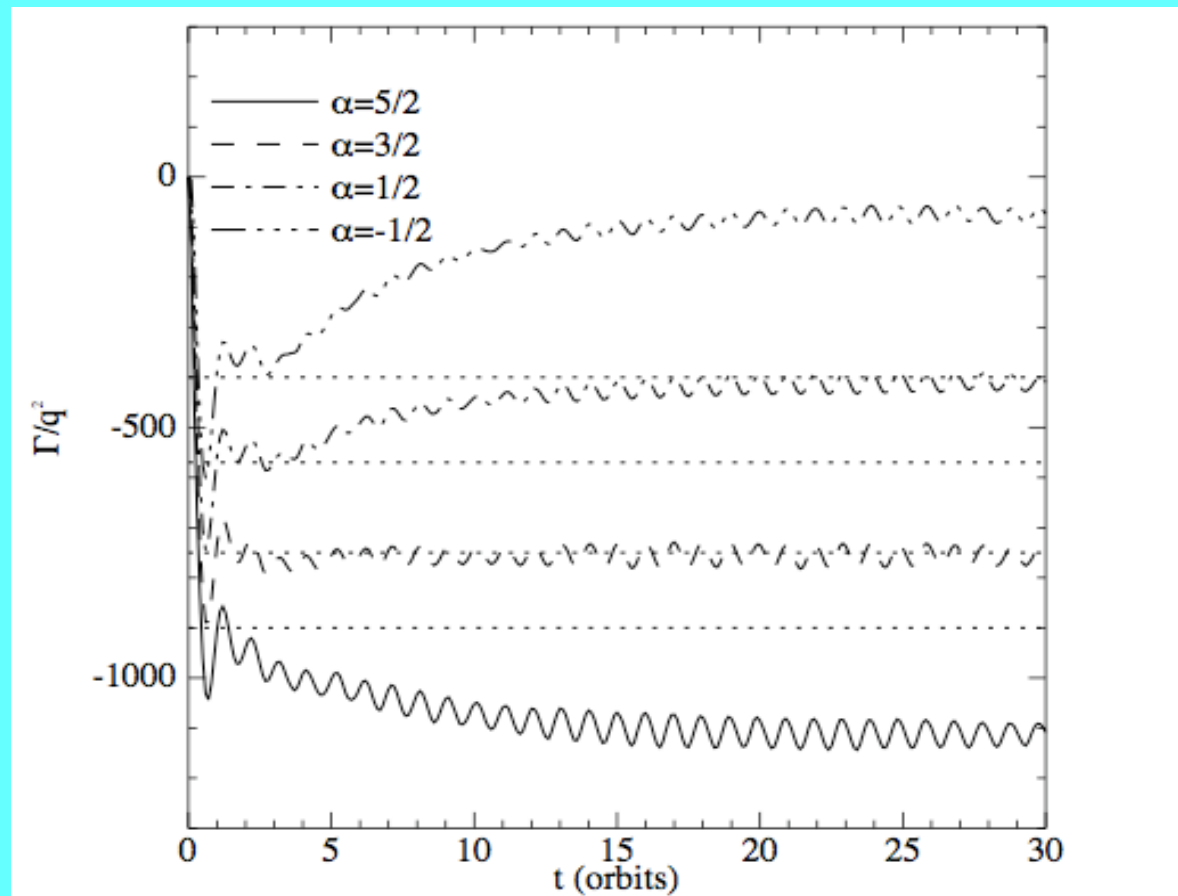
**$\omega$  is vorticity  $\propto r^{-3/2}$**

**Torque established on orbital time scale..  
Not valid if horseshoe turns significant...**

# Three evolutionary phases

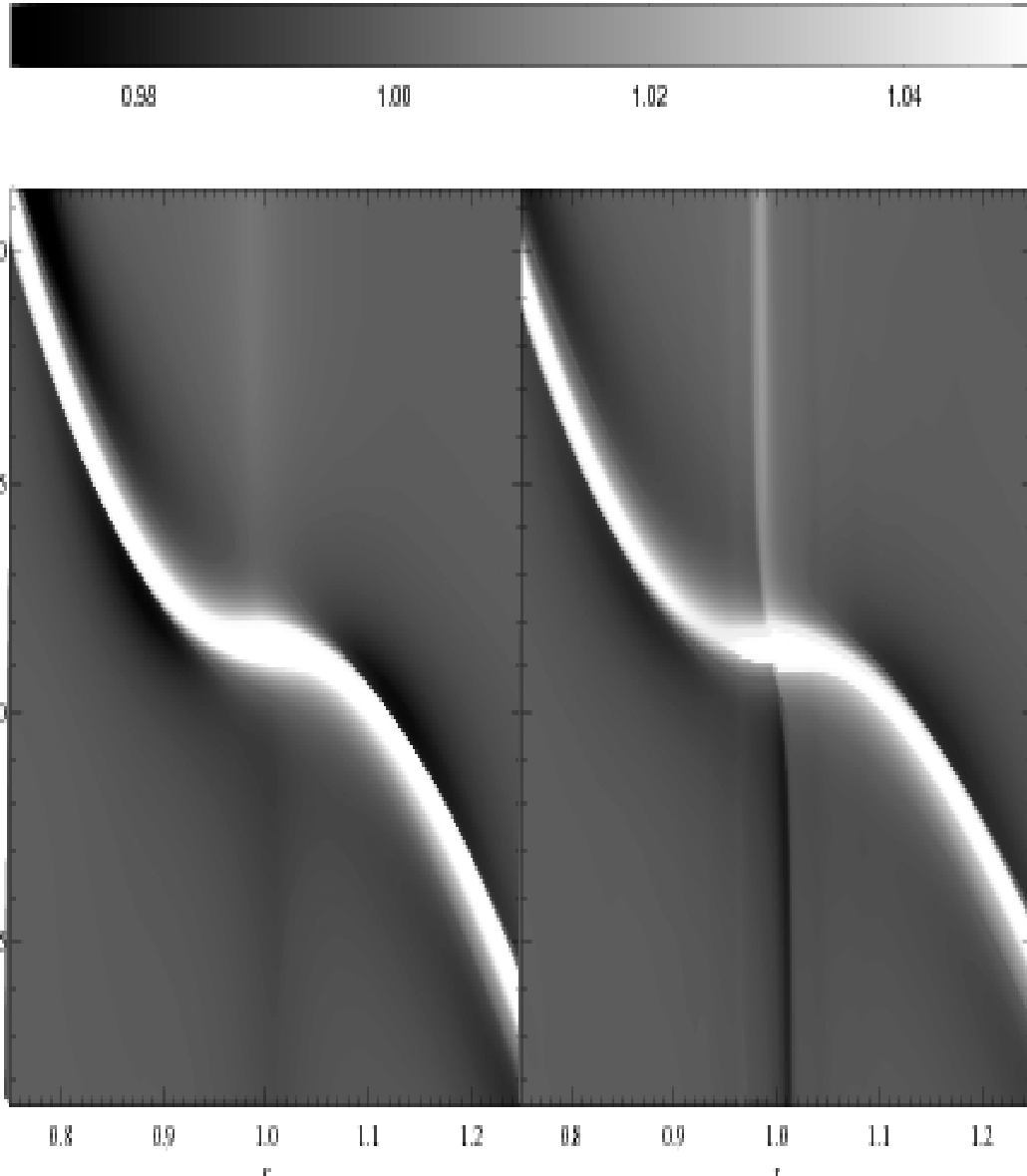
- 1) Linear phase
- 2) Initial horseshoe turns  
establishment of  
horseshoe drag
- 3) Material returns after  
full libration: persistence of torque  
depends on saturation

# Linear corotation torques and horseshoe drag (pre- saturation)for the strictly isothermal (barotropic case) for different surface density profiles ( $\Sigma \propto r^{-\alpha}$ )



# Effect of a non barotropic equation of state

Migration can reverse depending on the entropy gradient



Surface density in the  
orbital region  
for a  $4 M_E$  protoplanet.

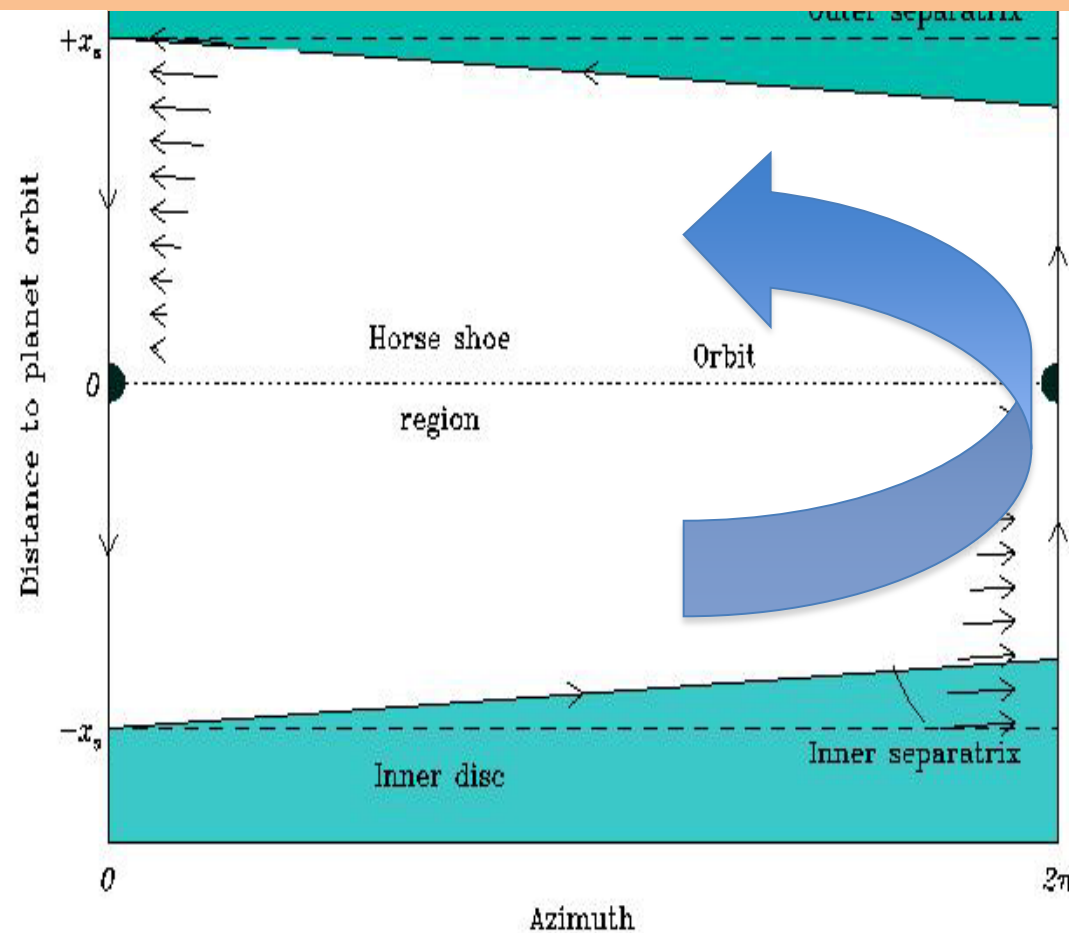
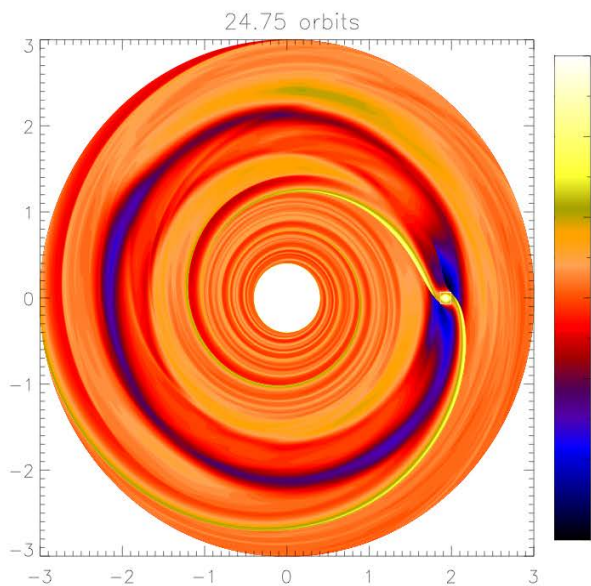
locally isothermal  
case (left)

diabatic case  
with entropy increasing  
outwards (right)

$$\rho_0 \propto r^{-1/2}, T \propto r^{-1}$$

$$(\gamma = 1.4)$$

# Runaway (Type III) migration: Coorbital zone with partial gap

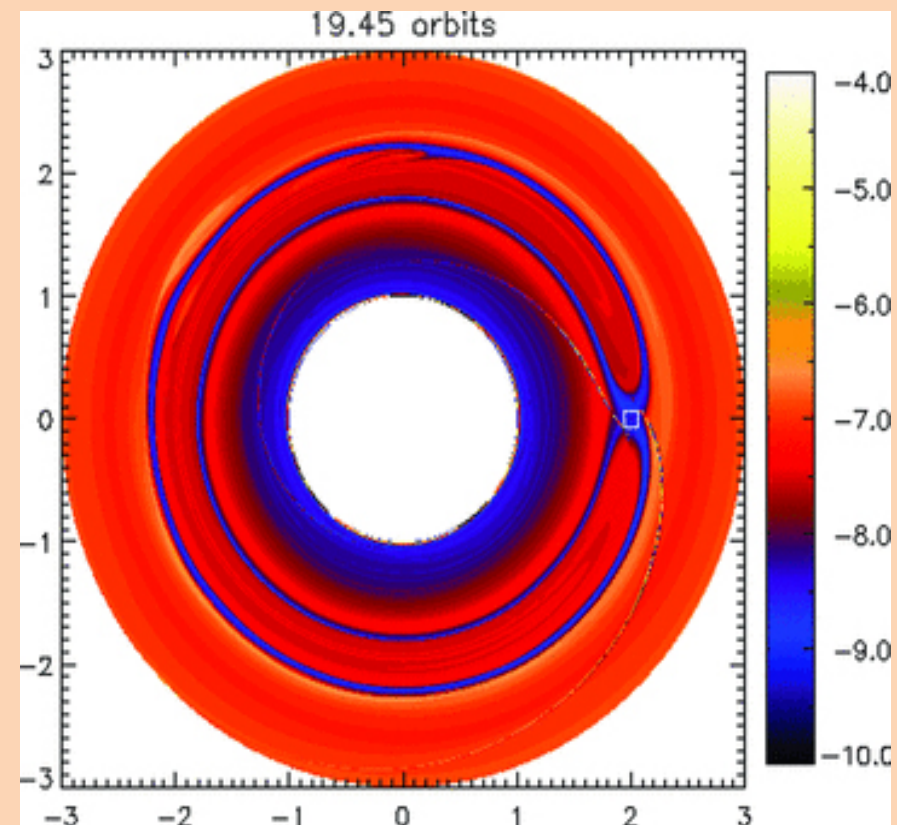
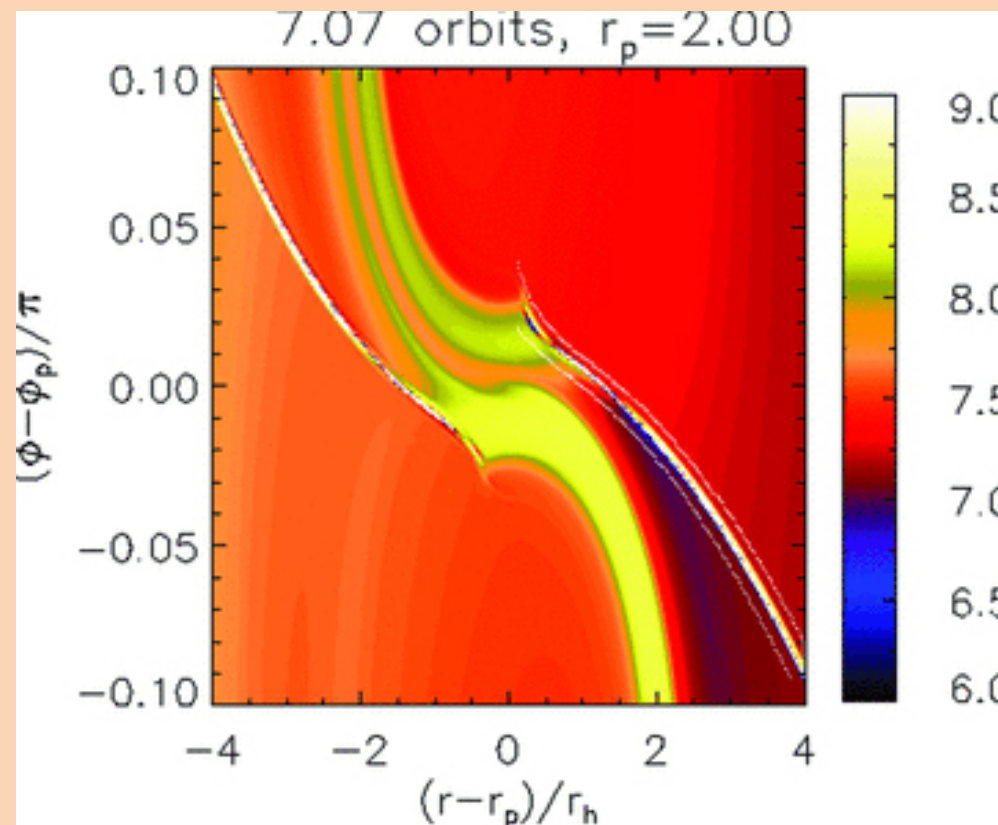


Other aspects:

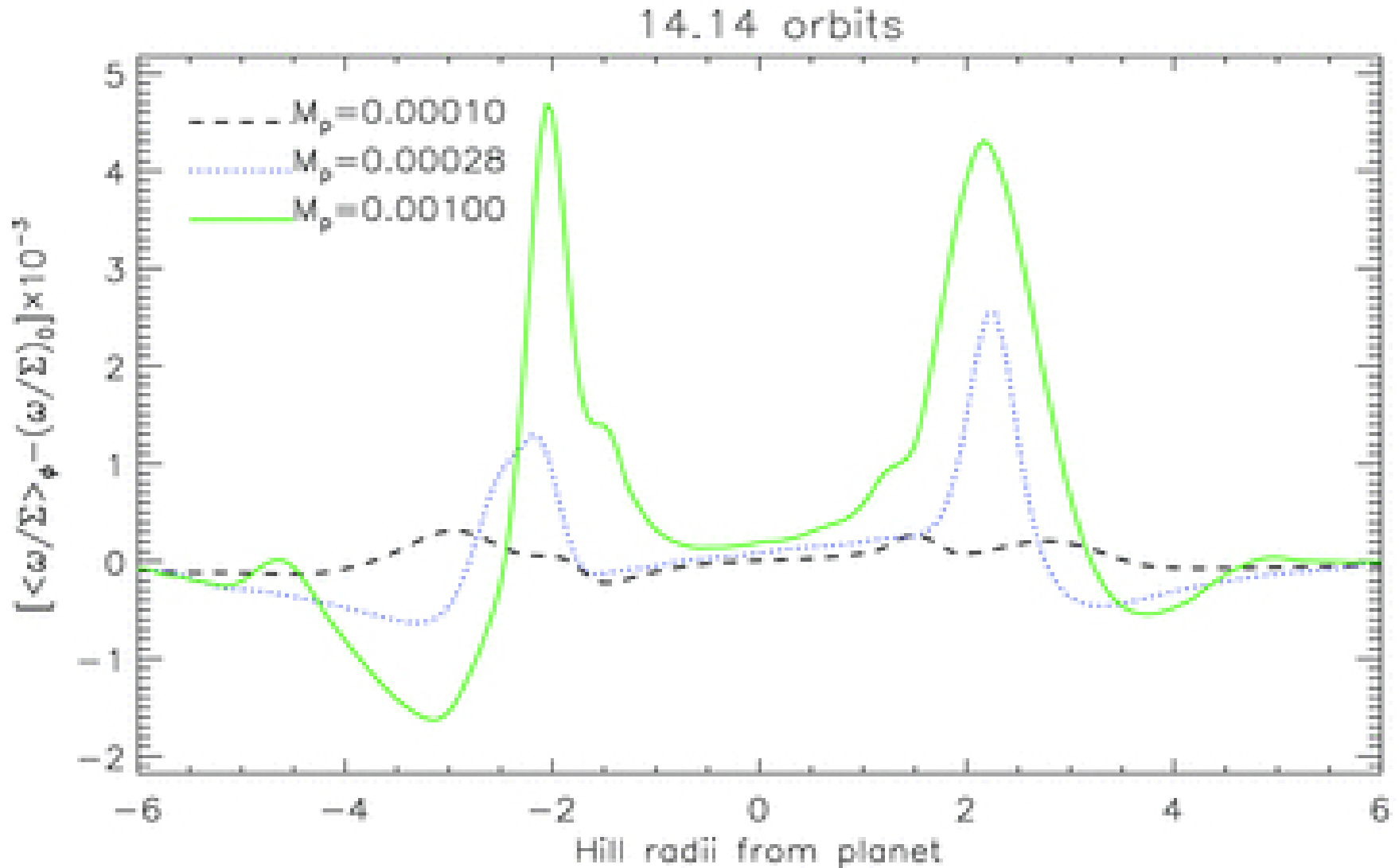
a) Stability of disk gap edges notably in inviscid laminar disks and for giant planets in the Saturn mass range and larger.

b) Effects arising in multiplanet systems

Log vortensity ( $\log \omega/\Sigma$ ) changes induced by shocks produced by a Saturn mass protoplanet in an inviscid disc. The broad high level regions are generated in the coorbital region



# Induced vortensity perturbation for different planet masses showing inner and outer localized extrema (potential sites of instability)

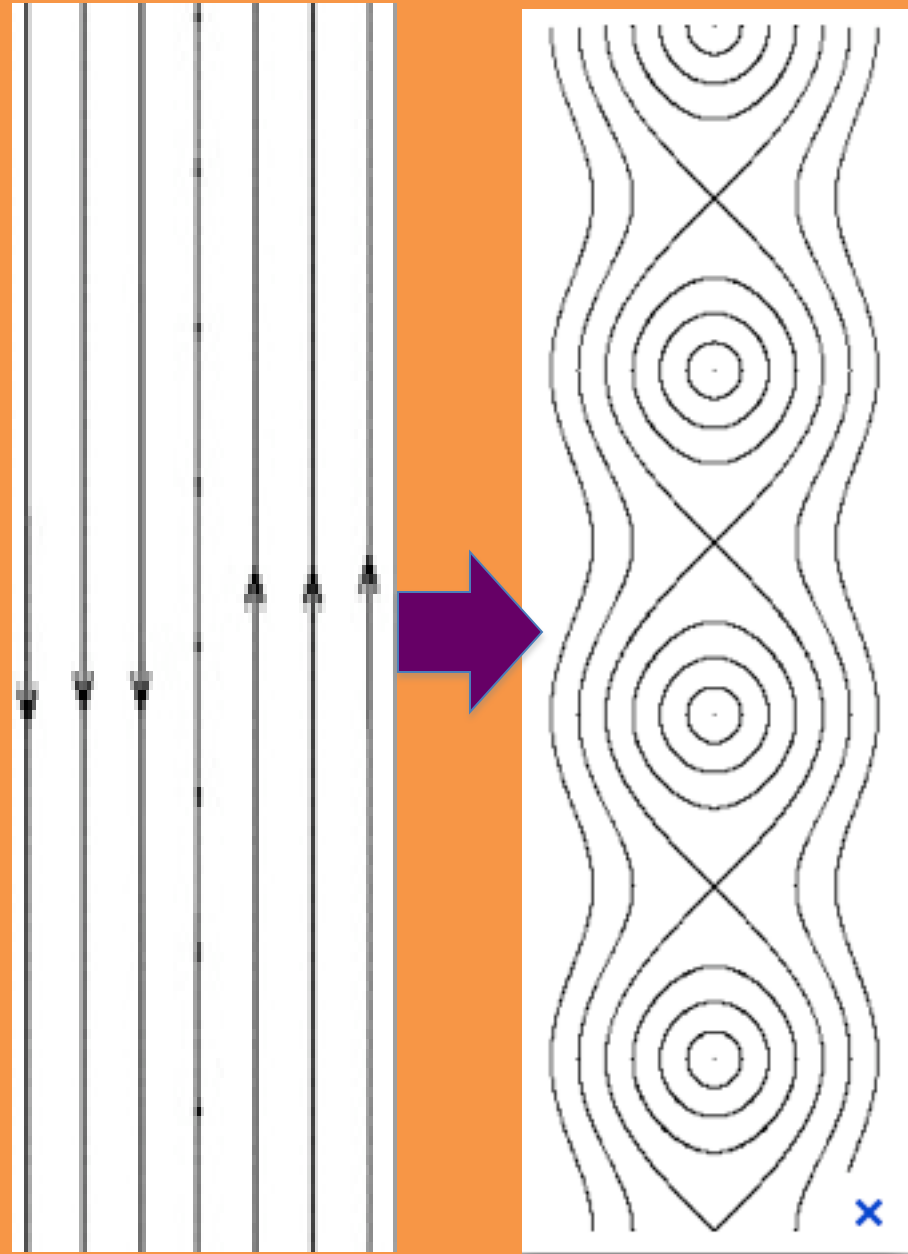


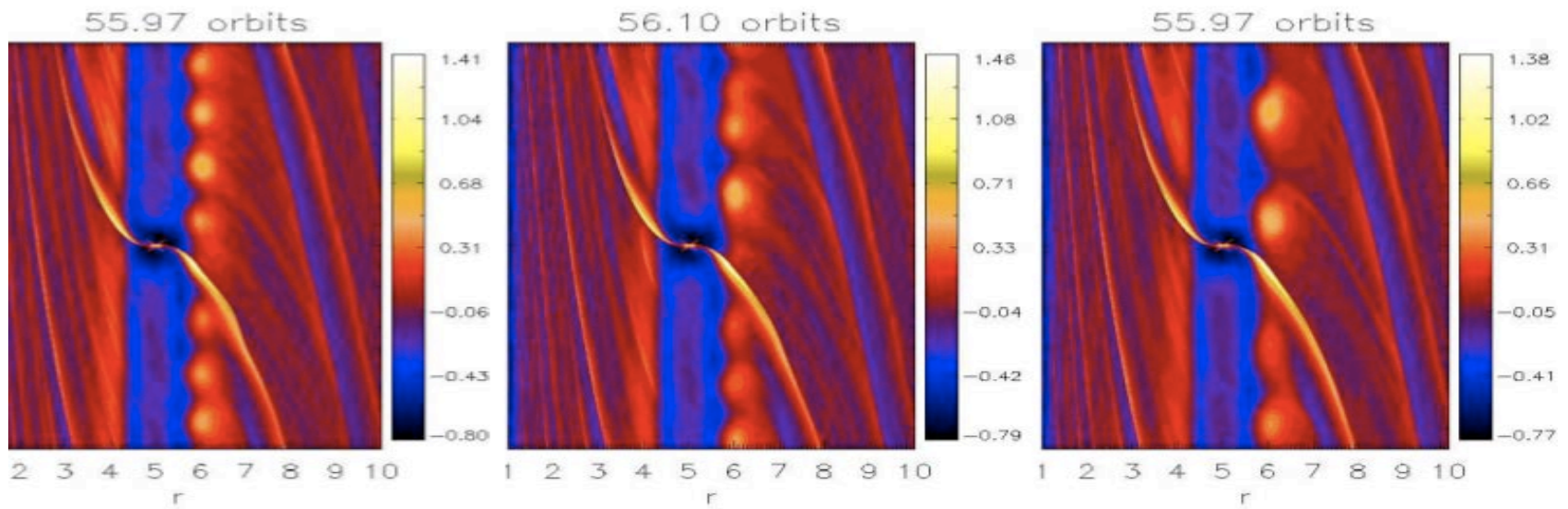
# Condition for instability:

Conservation of specific vorticity

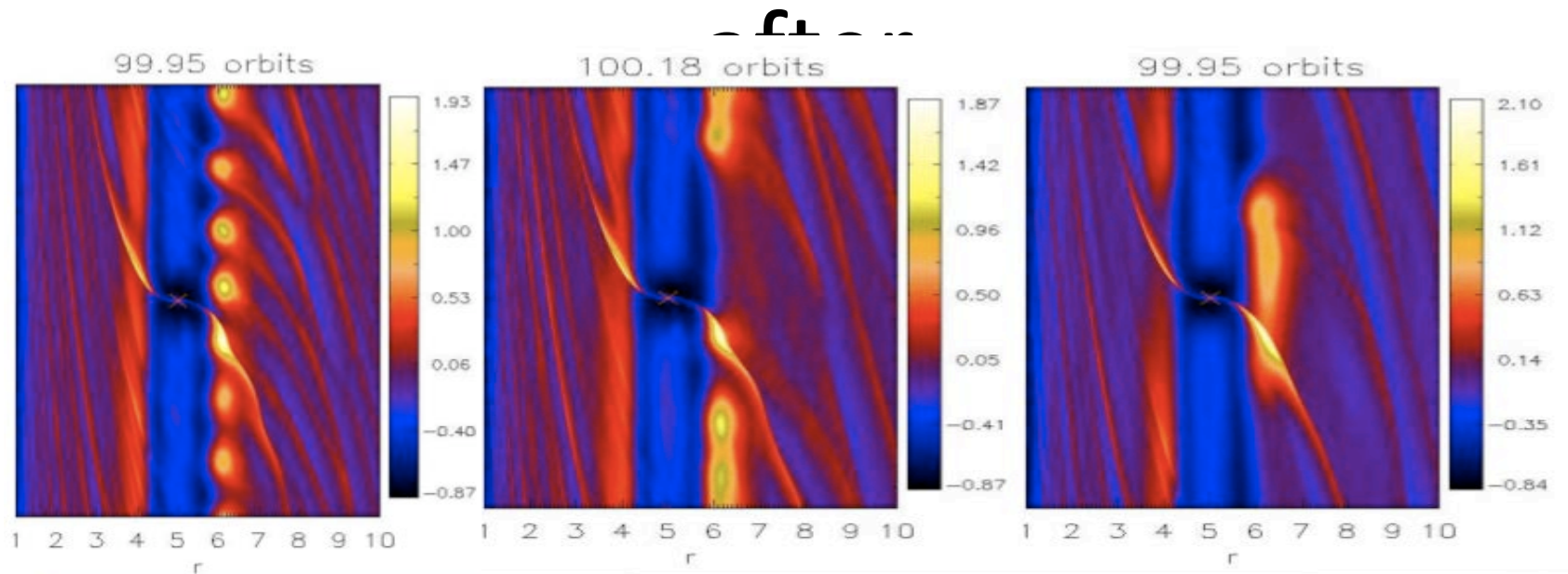
$$\omega/\Sigma$$

on streamlines in 2D requires this to be an extremum for a linear instability (cf. C.C.Lin, 1945)





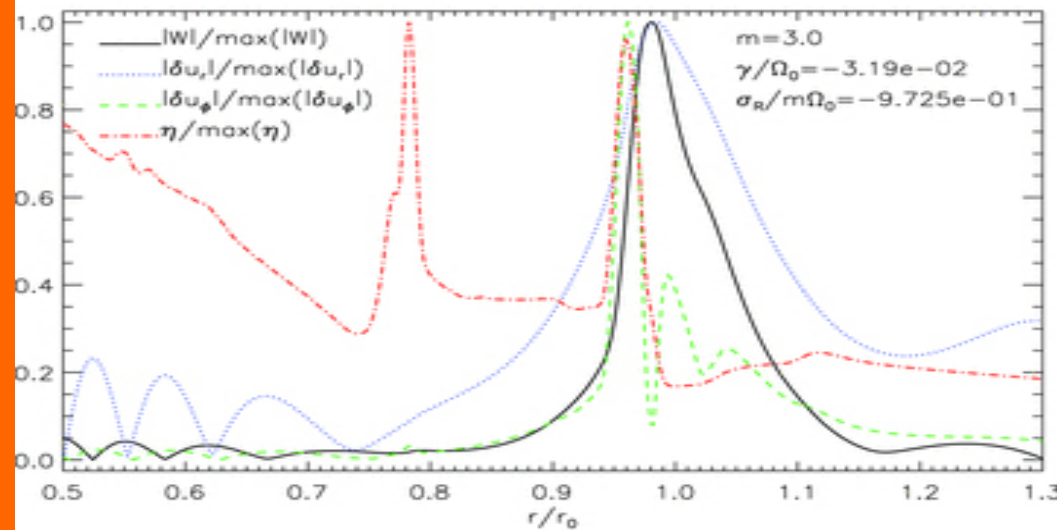
**Surface density for  $Q = 3, 4, 8$ : Top, linear phase, Bottom, in the nonlinear regime.**



**Unstable modes associated with inner and outer rings: Modes with low  $m$  are localised near surface density (or inverse vortensity) maxima and decay away exponentially with some weak wave emission.**



(a) Inner edge



(b) Outer edge

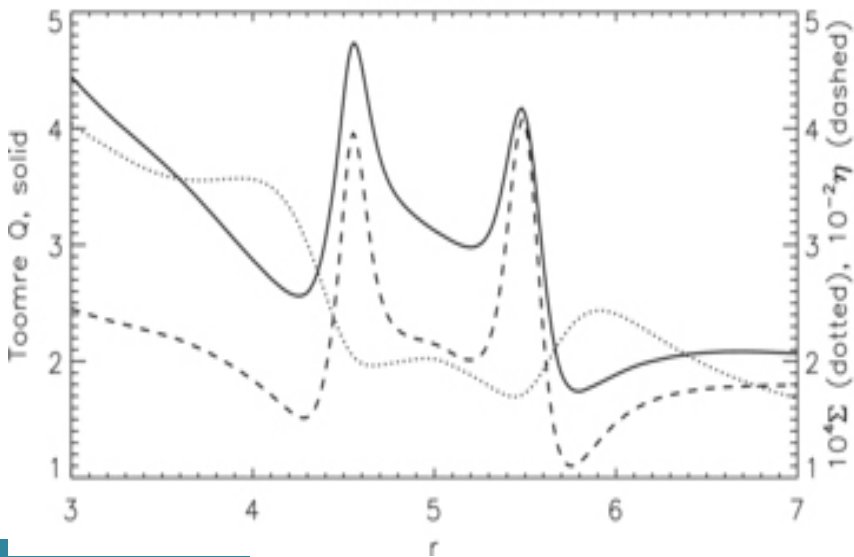
# Effect of Self-gravity

Self-gravity becomes important when the Toomre parameter  $Q = \Omega c / \pi G \Sigma$ , which has to exceed unity to be stable to axisymmetric modes, attains a value approaching unity over a substantial region of the disk.

Vortices associated with vortensity minima then attain smaller azimuthal scales, and are replaced by large scale self-gravity dominated edge modes

Associated with vortensity maxima.

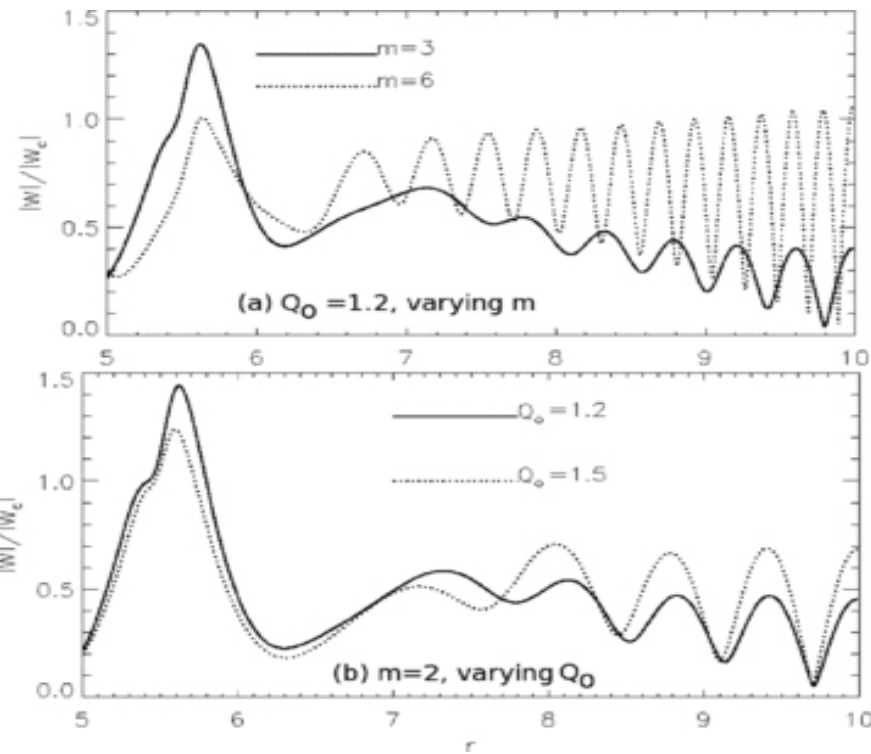
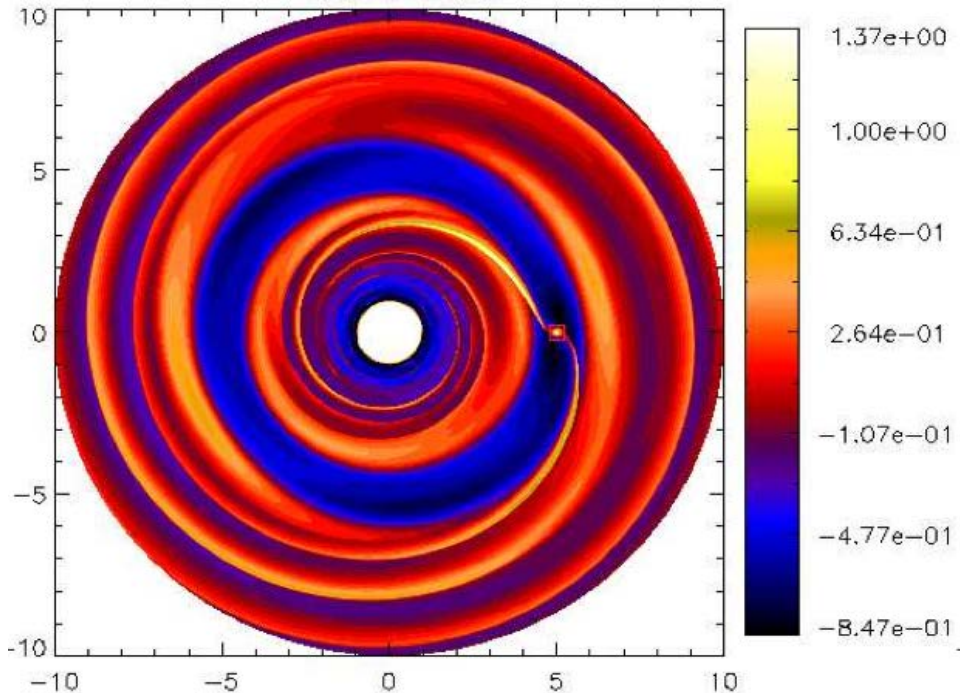
39.98 orbits



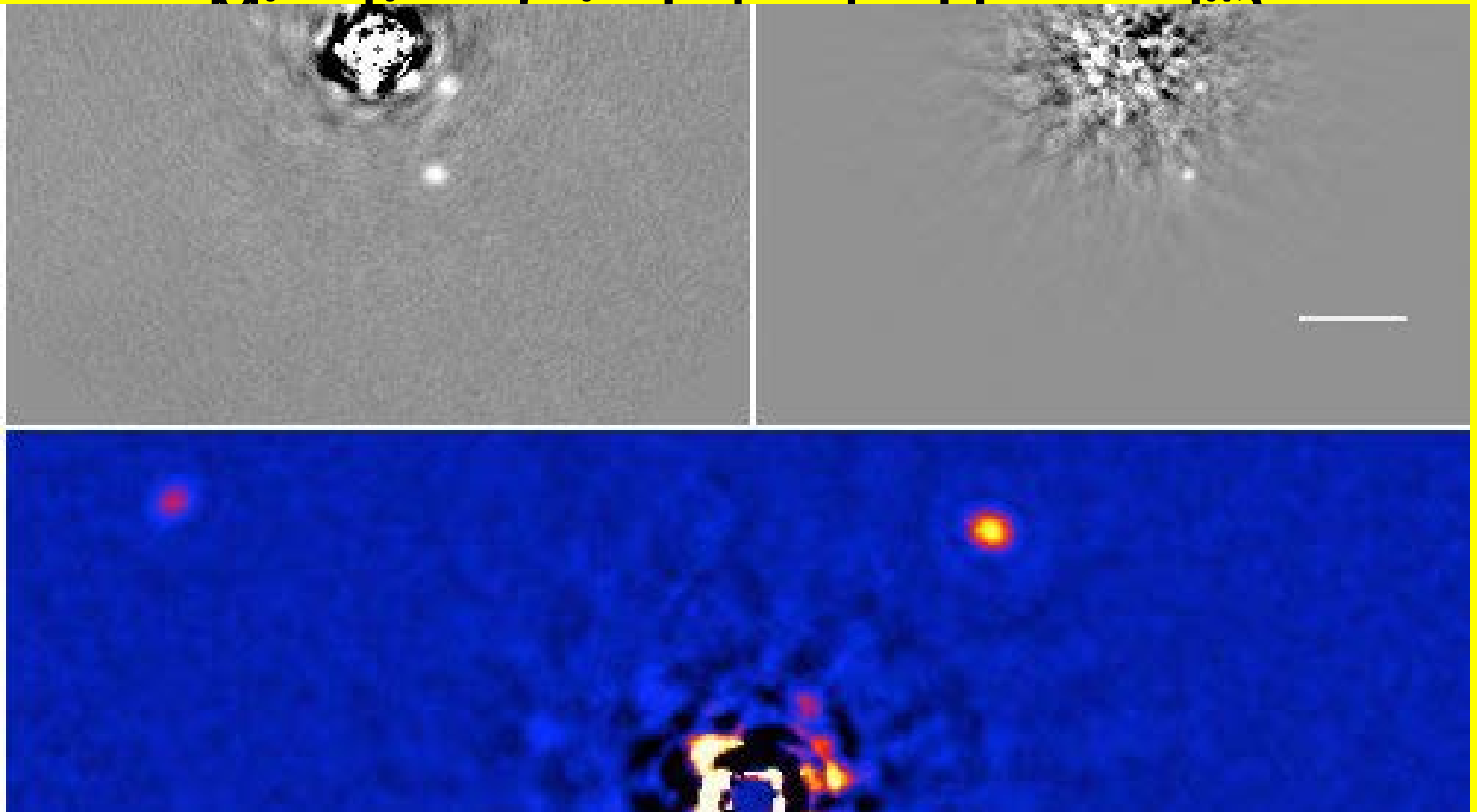
Unstable edge modes peaked at outer vortensity maxima for  $Q=1.2, 1.5$

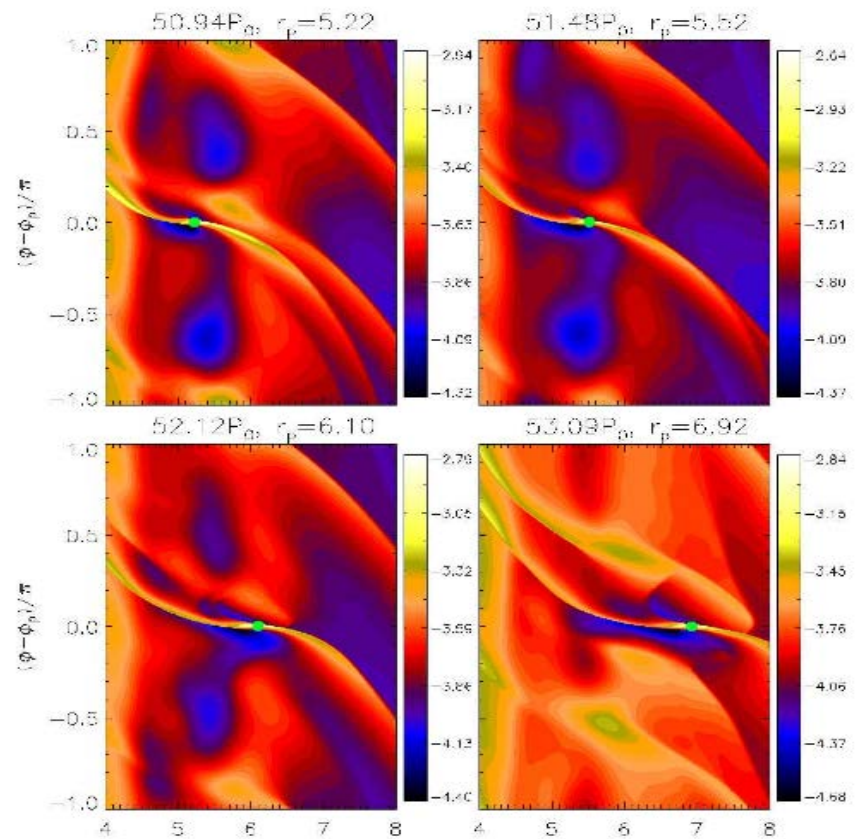
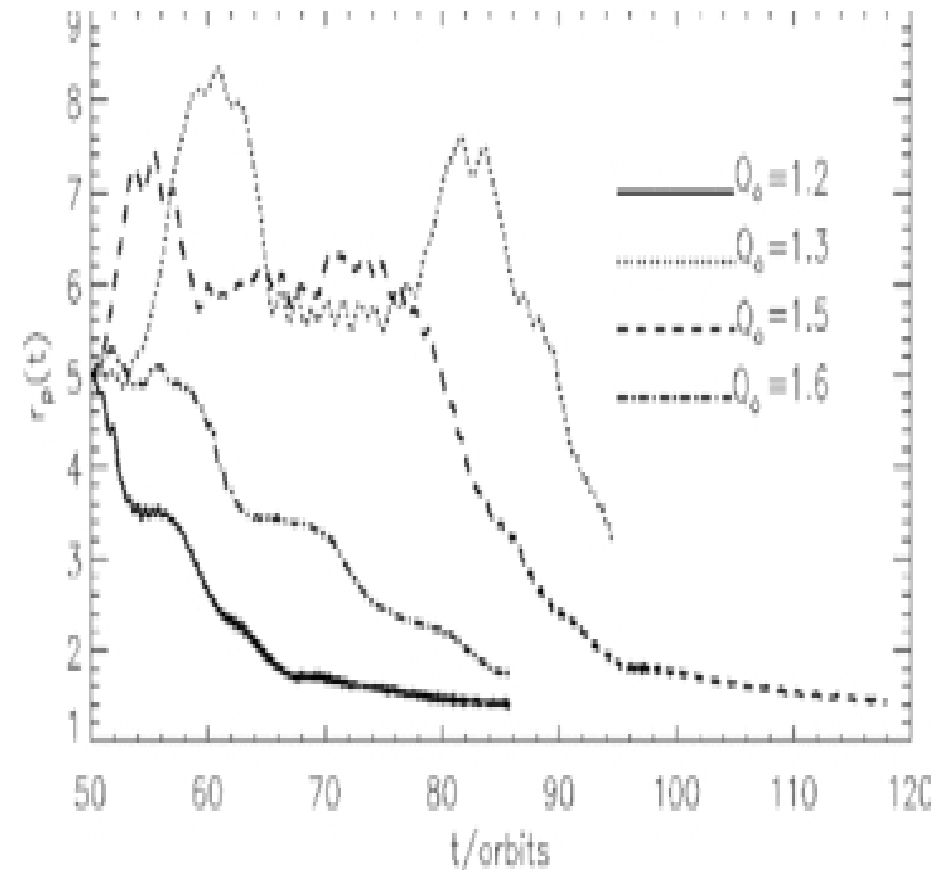
**Q=1.5**

99.95 orbits

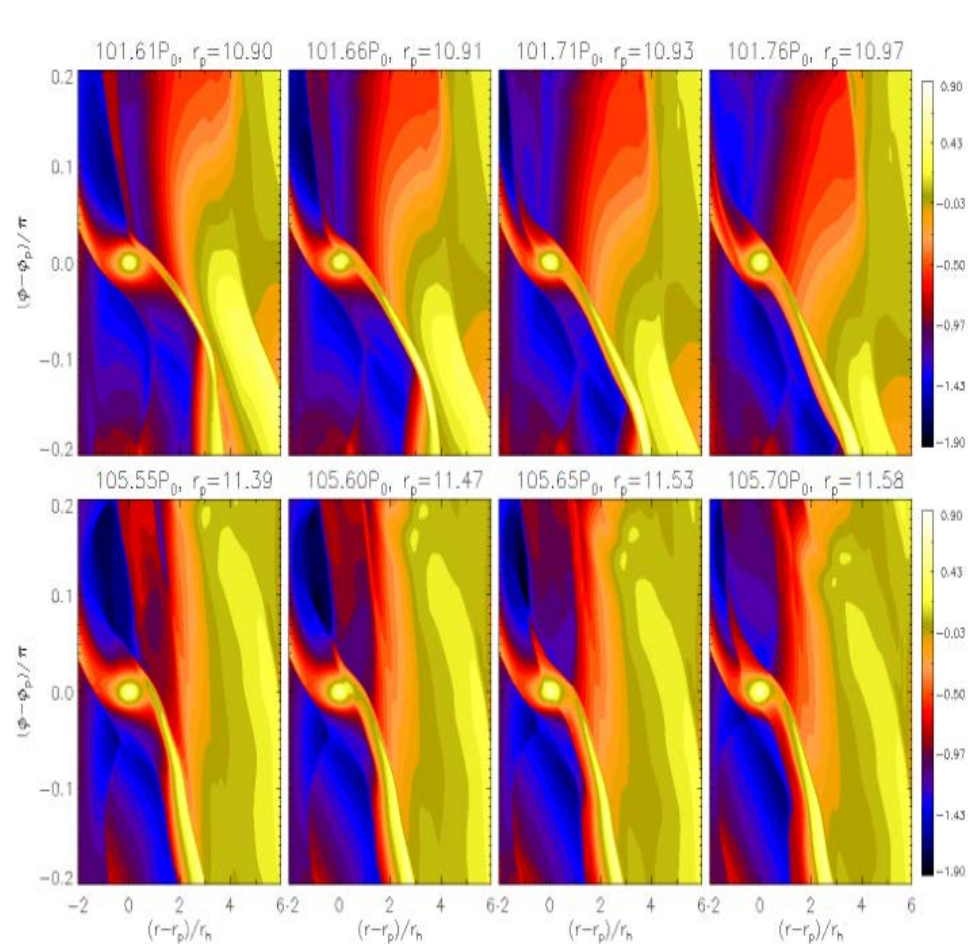
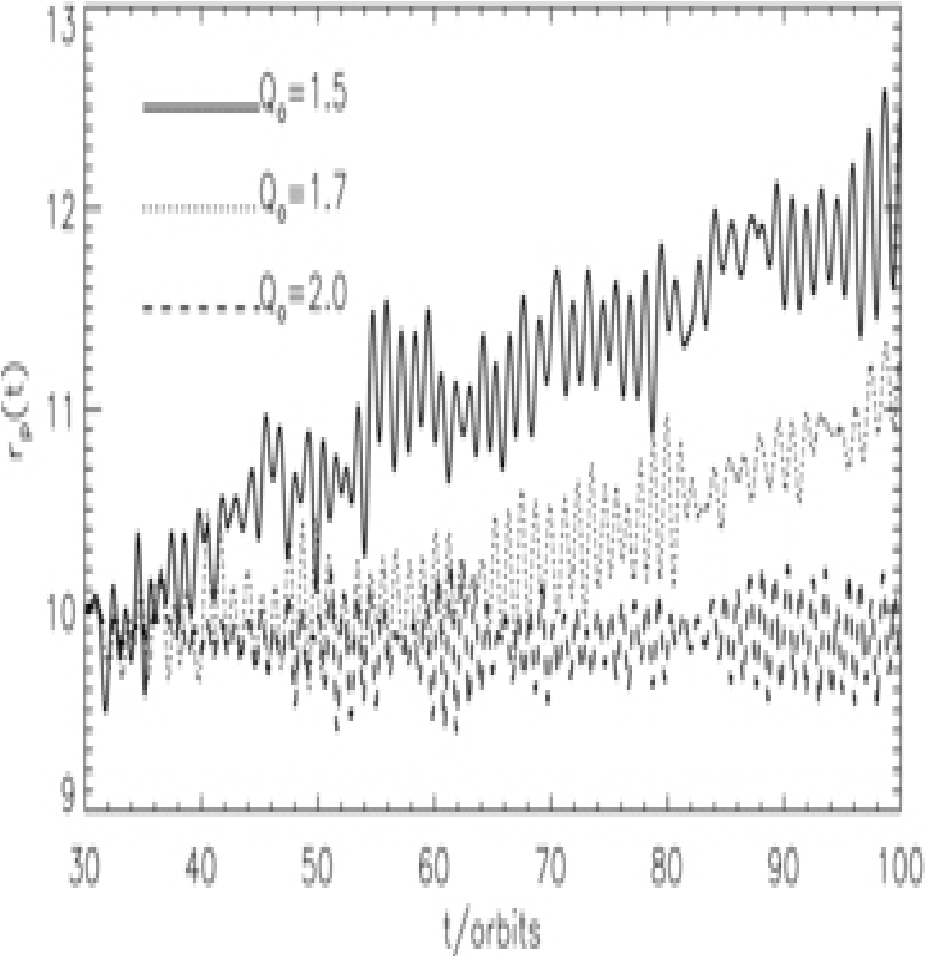


**HR 8799 Four planets ~10 Jupiter masses  
imaged at 14.5 ,24, 38, 68 AU (Marois et al. 2010)  
(inner 3 planets possibly in a 1:2:4 Laplace resonance)**





**Migration of a Saturn mass planet under the influence of outer gap edge modes in disks with  $1.2 < Q < 2$  ( $0.047 < M_d / M_* < 0.079$ ). Material entering the horseshoe region causes the planet to be scattered outwards. (M-K Lin & Papaloizou 2011)**

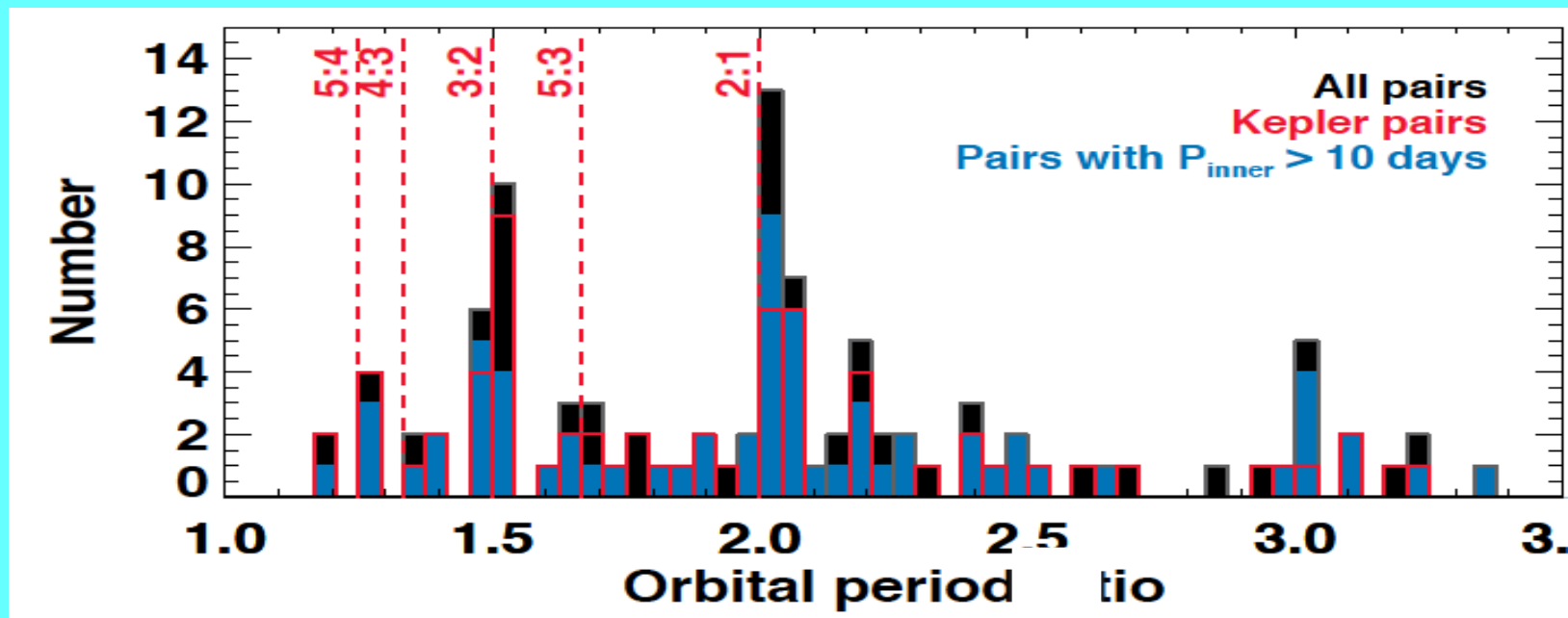


**Migration of a 2 Jupiter mass planet in disks with  $1.5 < Q < 2$ . For  $Q < 1.7$  edge modes occur (outward migration).**

**For larger values vortex modes occur (weak migration). (M-K Lin & Papaloizou 2012)**

# Convergent migration of planet pairs: Formation of commensurabilities

## Period ratios for confirmed planet pairs



# GJ 876 a system with a three planet Laplace Resonance

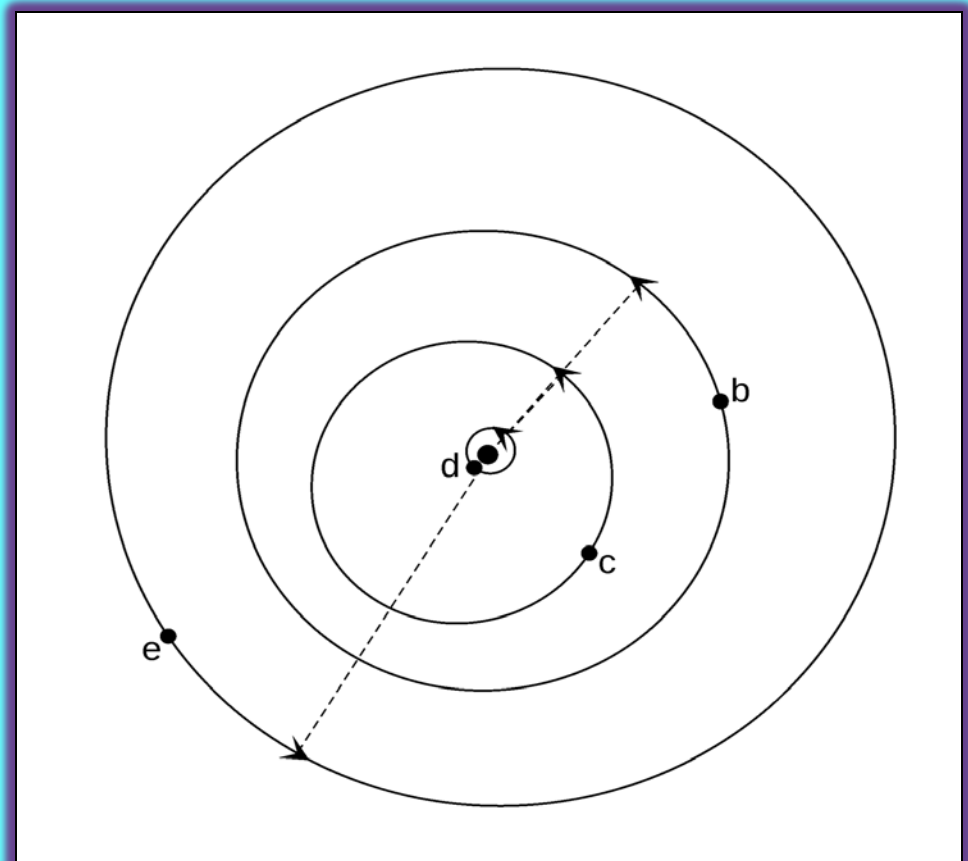
$$2n_2 - n_1 \sim 0,$$

$$2n_3 - n_2 \sim 0,$$

$$2n_3 - 3n_2 + n_1 = 0 .$$

## The Gliese 876 system

	Mass	A (AU)	Period days	Eccentricity
d	6.83 $M_{\oplus}$	0.0208	1.93	0.207
c	0.714 MJ	0.1295	30.00	0.255
b	2.275 MJ	0.208	61.11	0.032
e	14.6 $M_{\oplus}$	0.334	124.26	0.055



# KOI 730

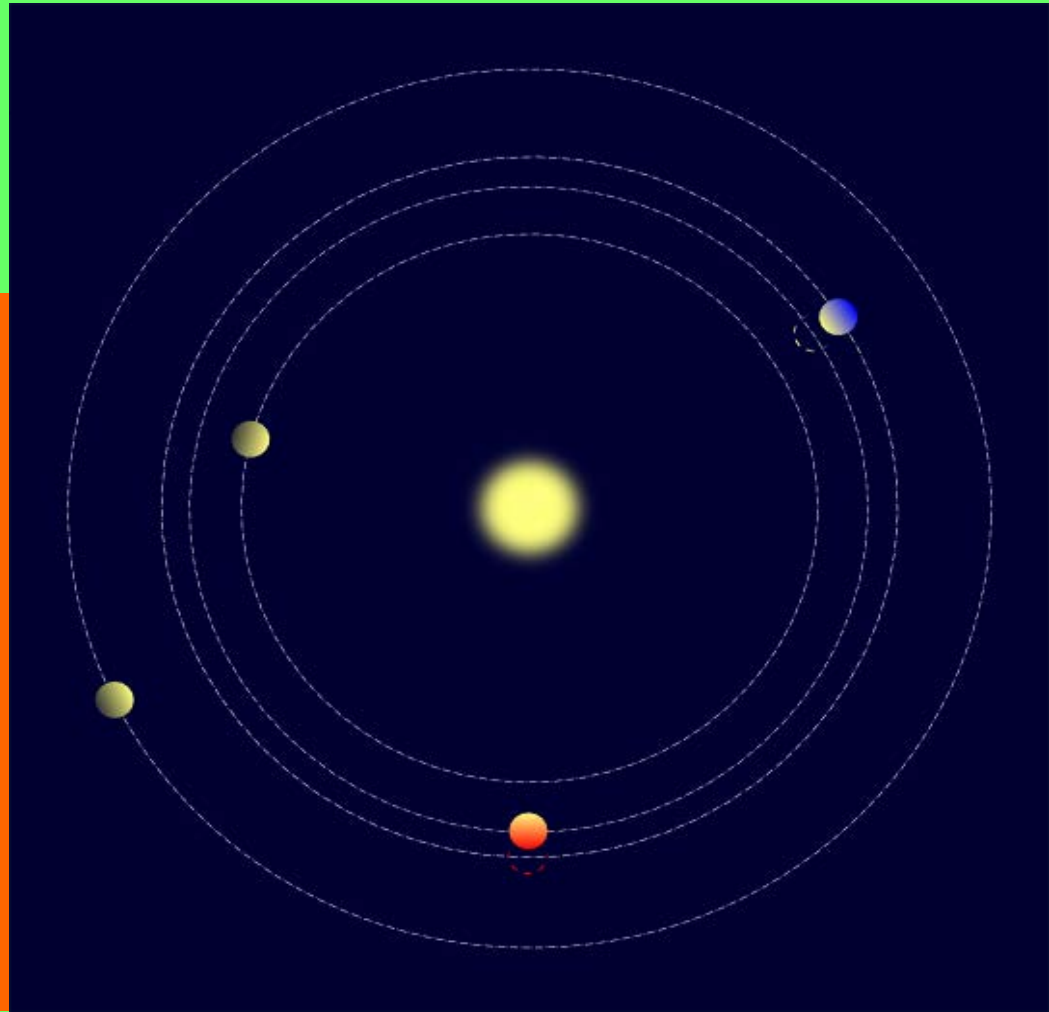
System of 4  
( super-Earth) planets with  
4:3 , 3:2, 4:3 resonances

$$P_1 = 7.3831\text{d.}$$

$$P_2 = 9.8499\text{d.}$$

$$P_3 = 14.7903\text{d.}$$

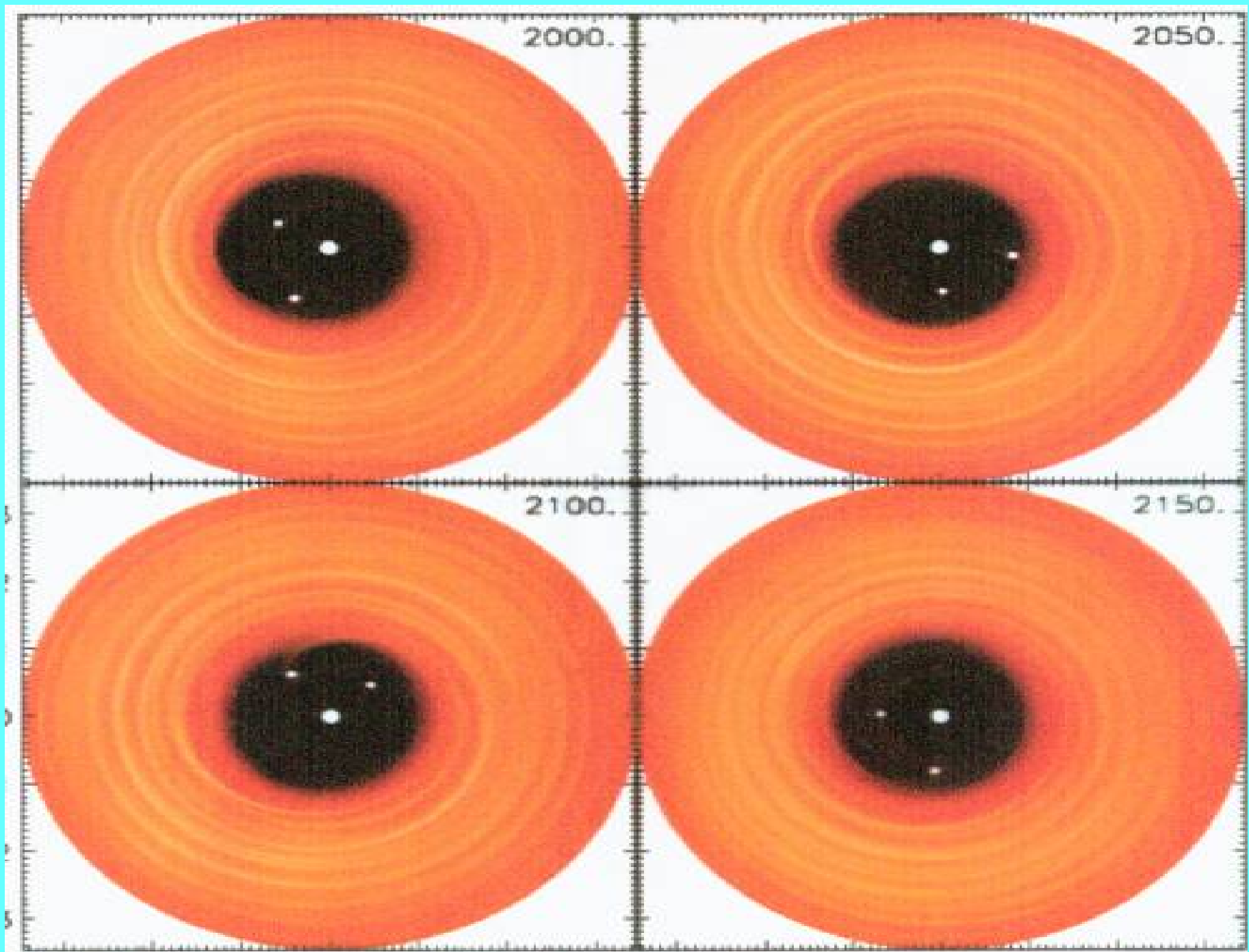
$$P_4 = 19.7216\text{d.}$$



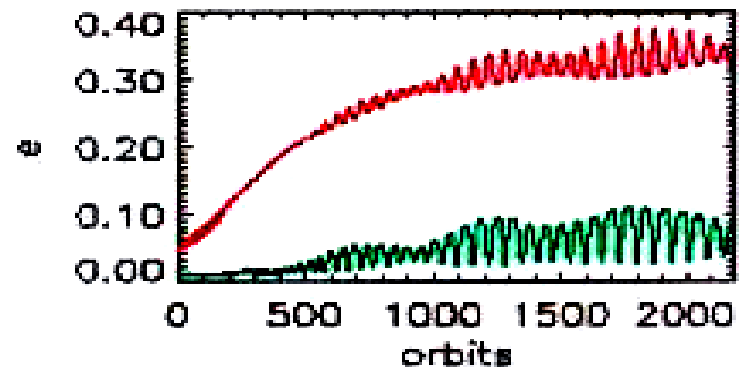
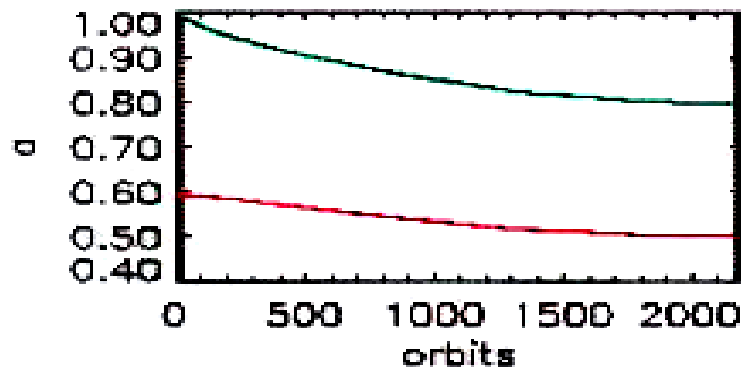
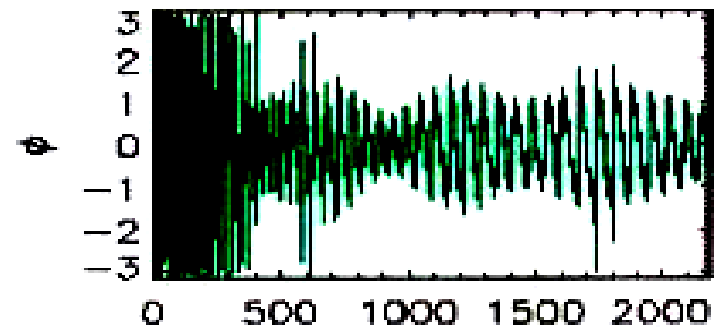
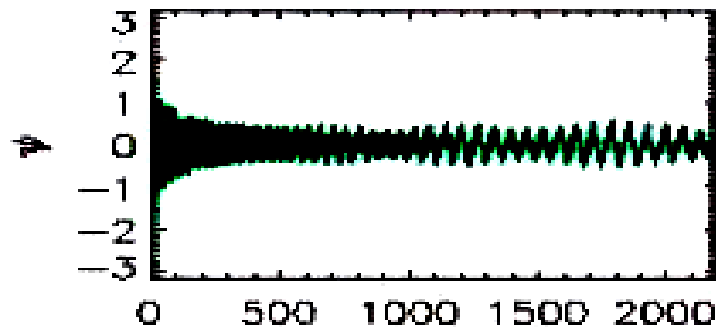
# Resonant coupling of migrating planets

## Example of the two giant planets in GJ876

- First planet orbits in inner disc cavity.
- Second planet forms in outer disc. Material between them is cleared by tidal interactions resulting in both orbiting inside the cavity.
- Second planet is driven inwards due to disc interaction until commensurability is attained. This is subsequently maintained with two planets migrating together.

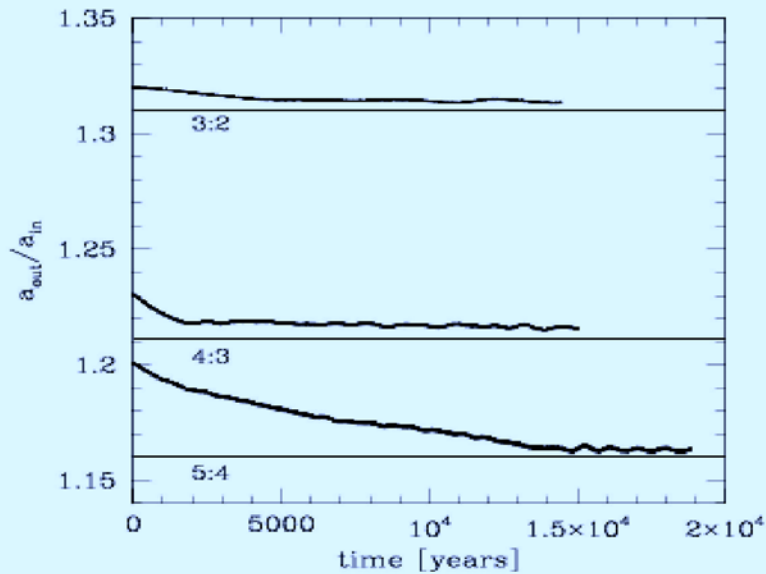


# Resonant angles $2\lambda_1 - \lambda_2 - \omega_1$ , $2\lambda_1 - \lambda_2 - \omega_2$ Semi-major axes and eccentricities for GJ876

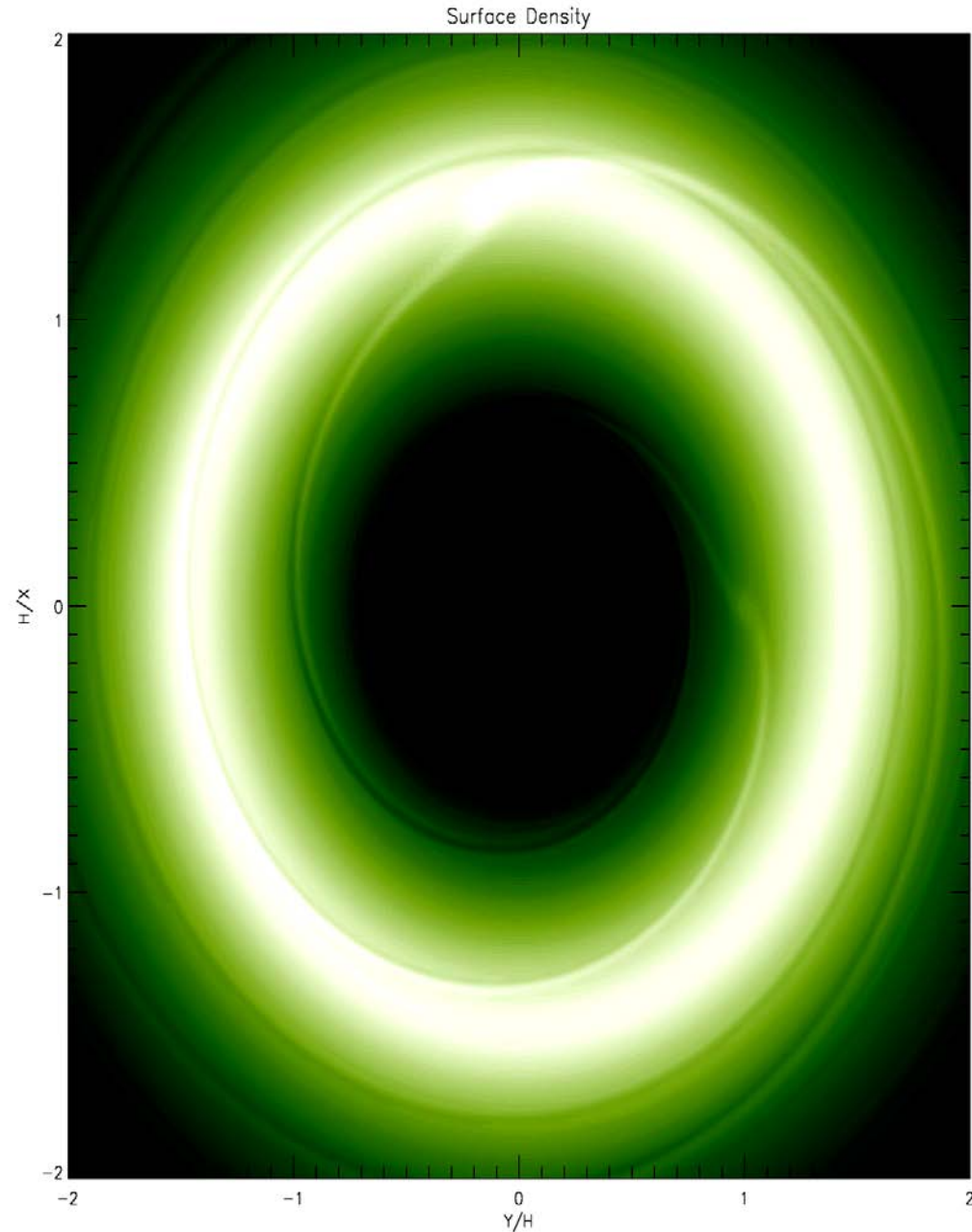


# Resonantly coupled lower mass exoplanets

Gas giants in low order commensurabilities: GJ876 and HD 82943 may be formed by convergent type II migration. This may also occur for low mass planets undergoing type I migration. Studies with 2D hydrodynamic simulations together with N body codes.



**Figure 12.** The semi-major axis ratio when  $m_1 = m_2 = 4M_{\oplus}$  with  $\Sigma_0 = \Sigma_1$  (uppermost curve) and with  $\Sigma_0 = \Sigma_4$  (two lower curves)

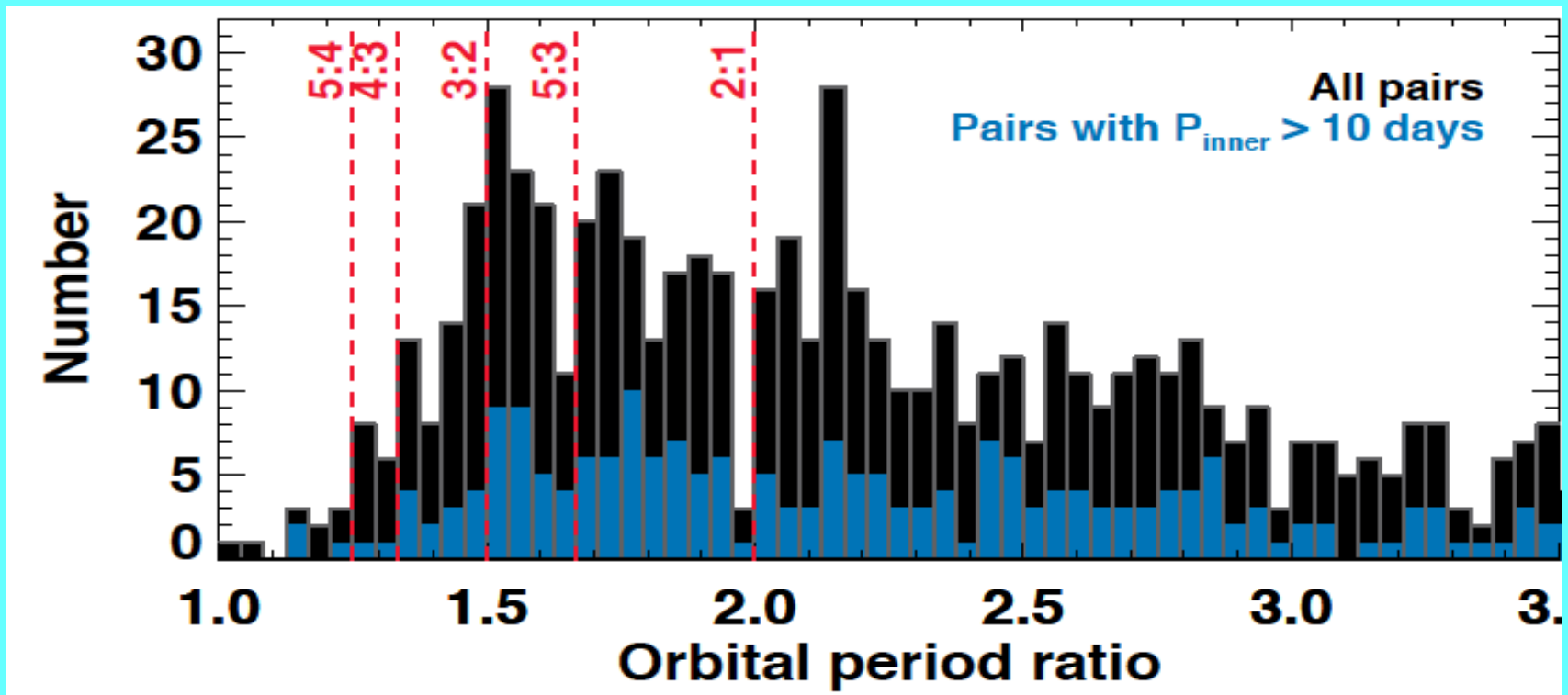


# Period ratios for confirmed planet pairs including KOI

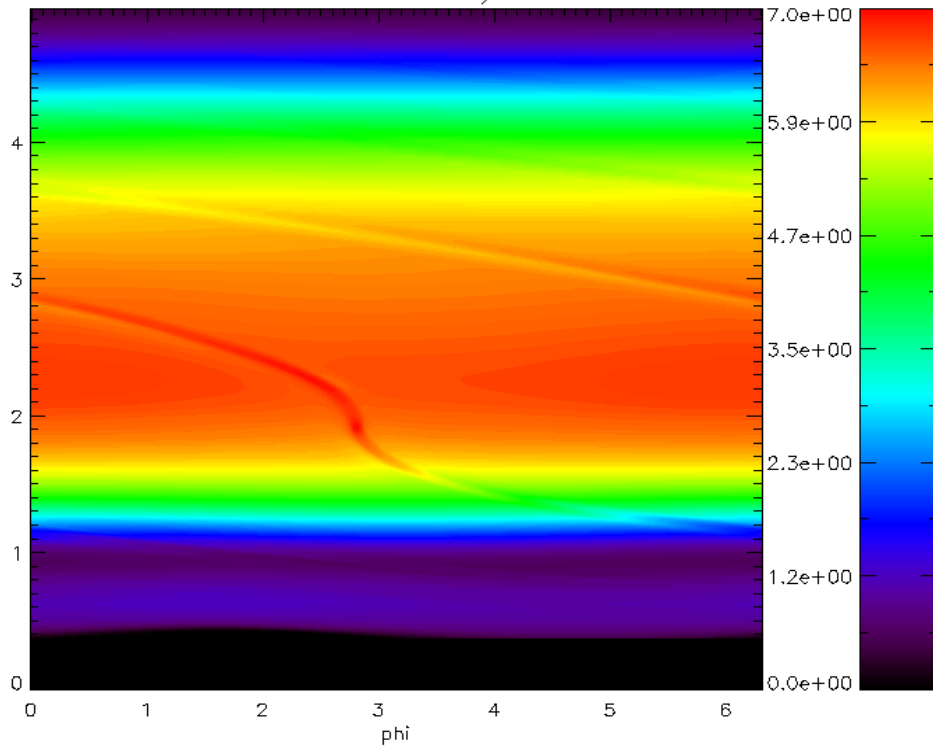
Excess slightly exceeding resonant values

Mechanisms for pushing them apart: Tidal circularization?

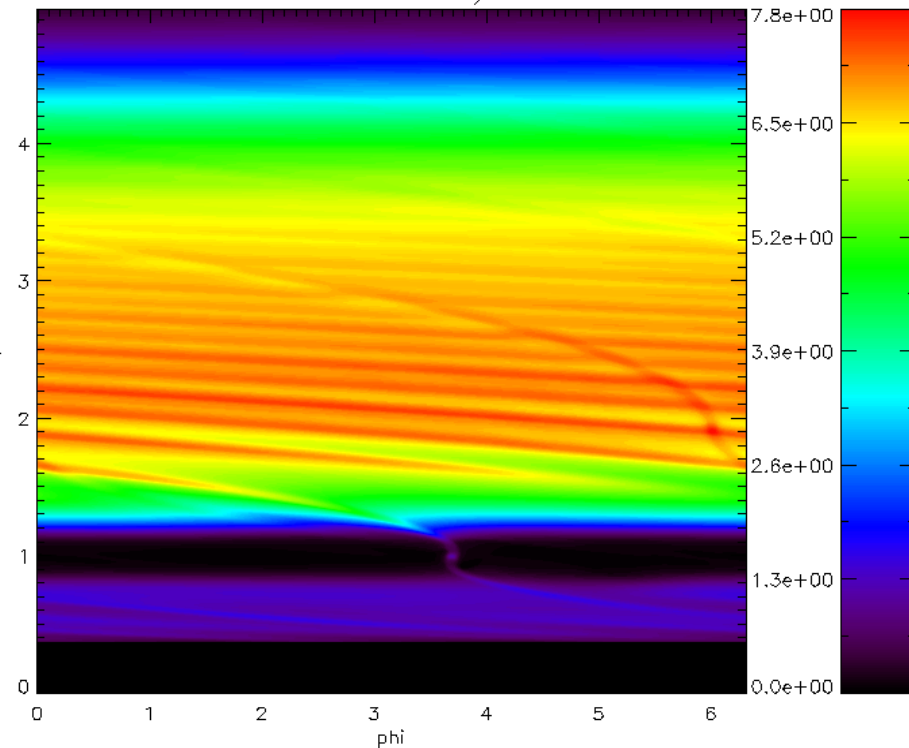
Wake-planet interaction?



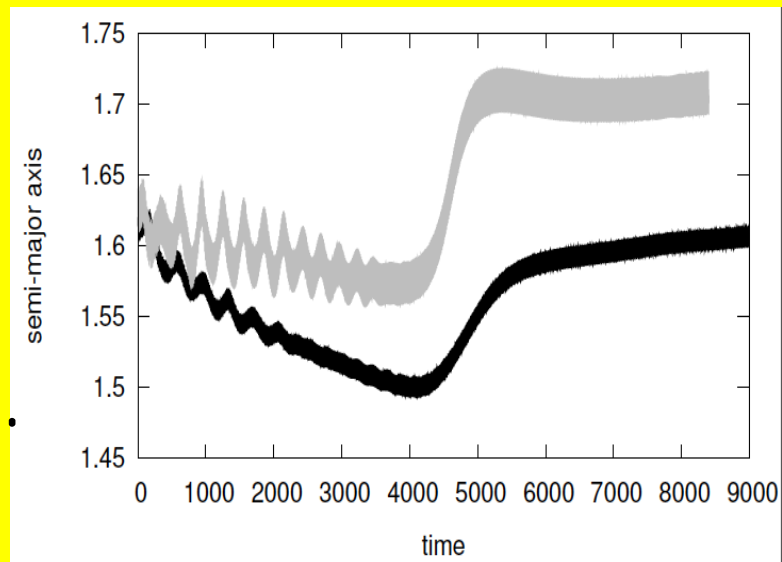
Surface Density



Surface Density

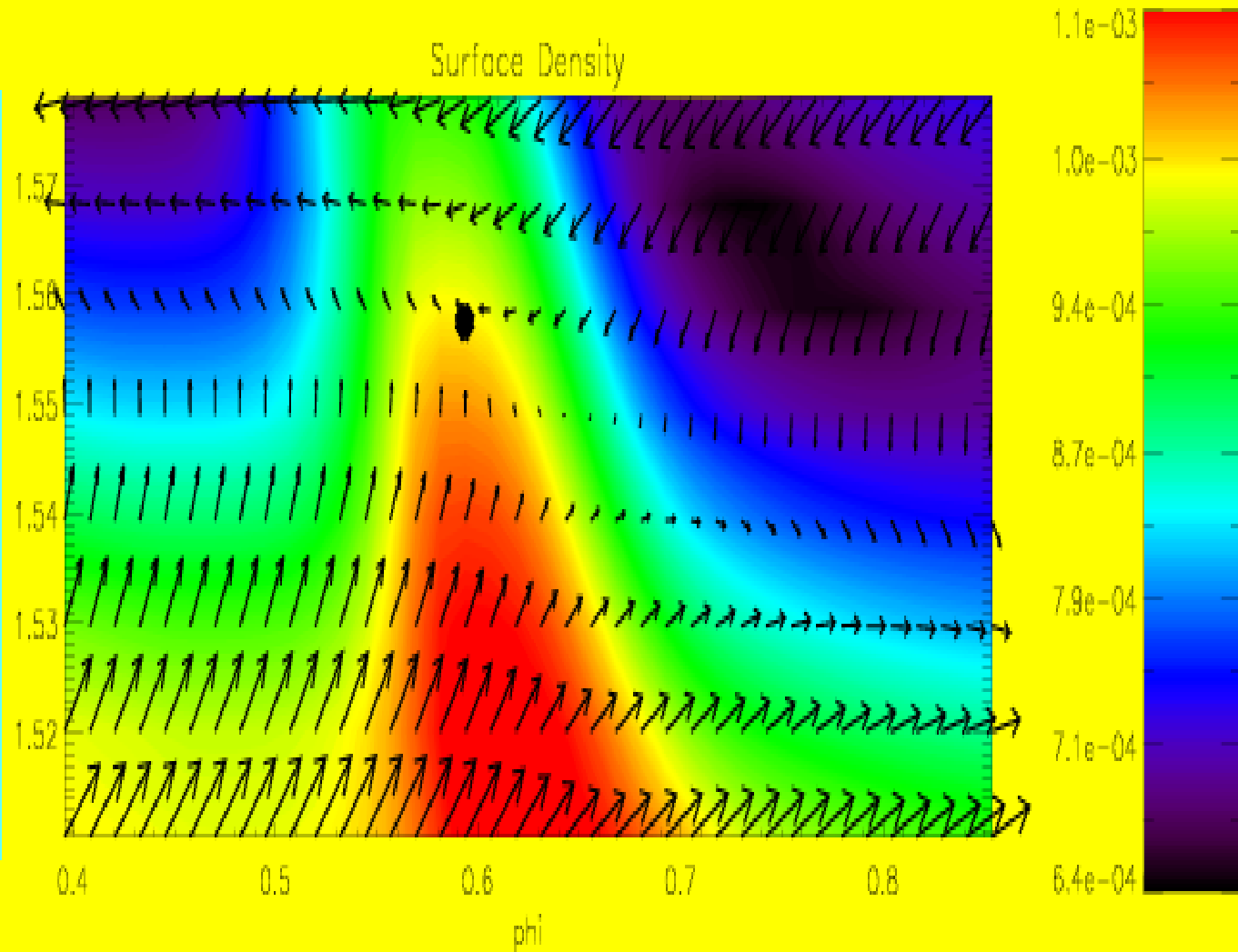


Jovian mass planet  
with exterior 5.5 earth  
mass super-earth.  
(Podlewska-Gaca et al.  
2012)



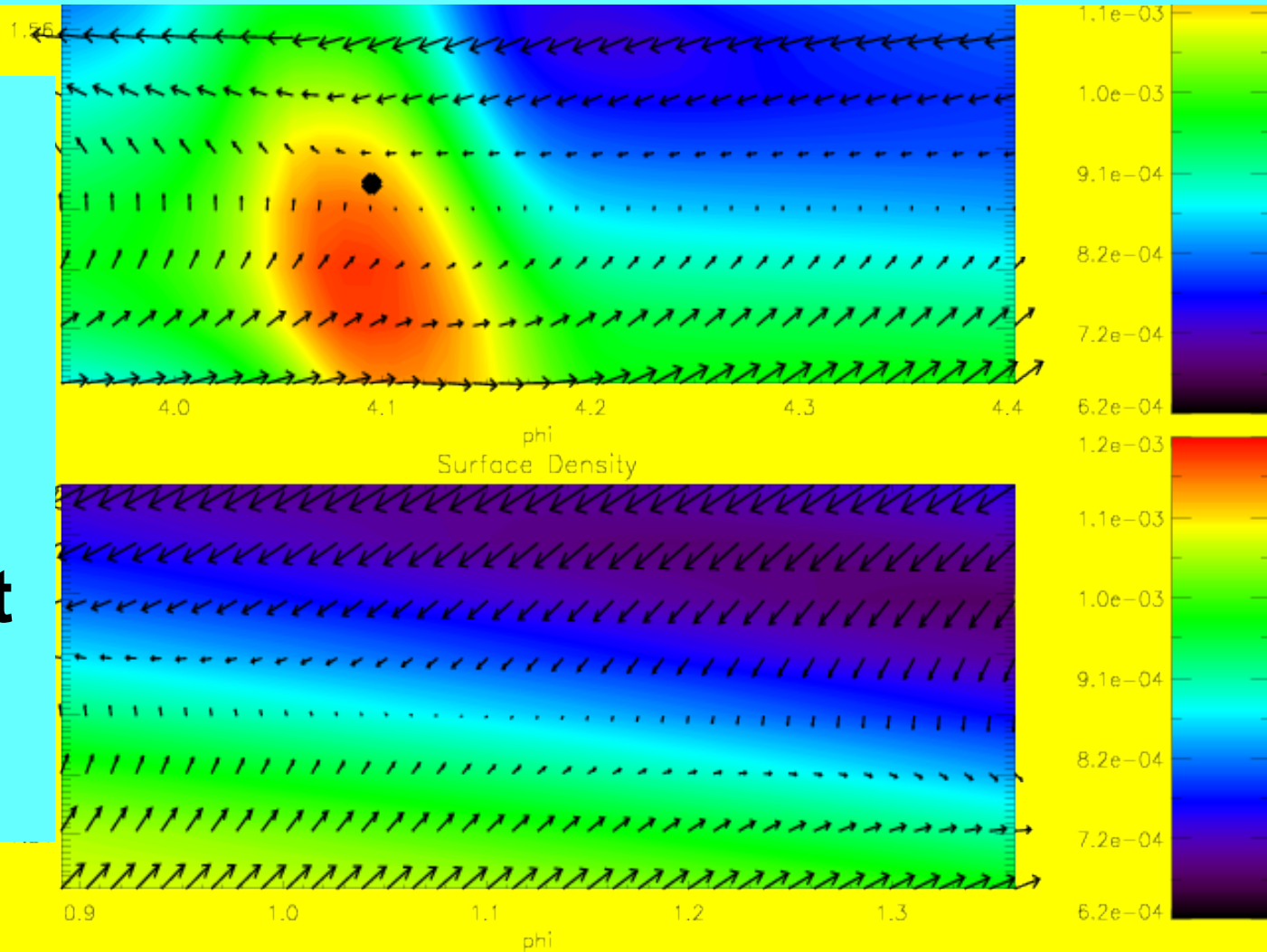
# Coorbital region of the super-earth perturbed by giant planet a particular time.

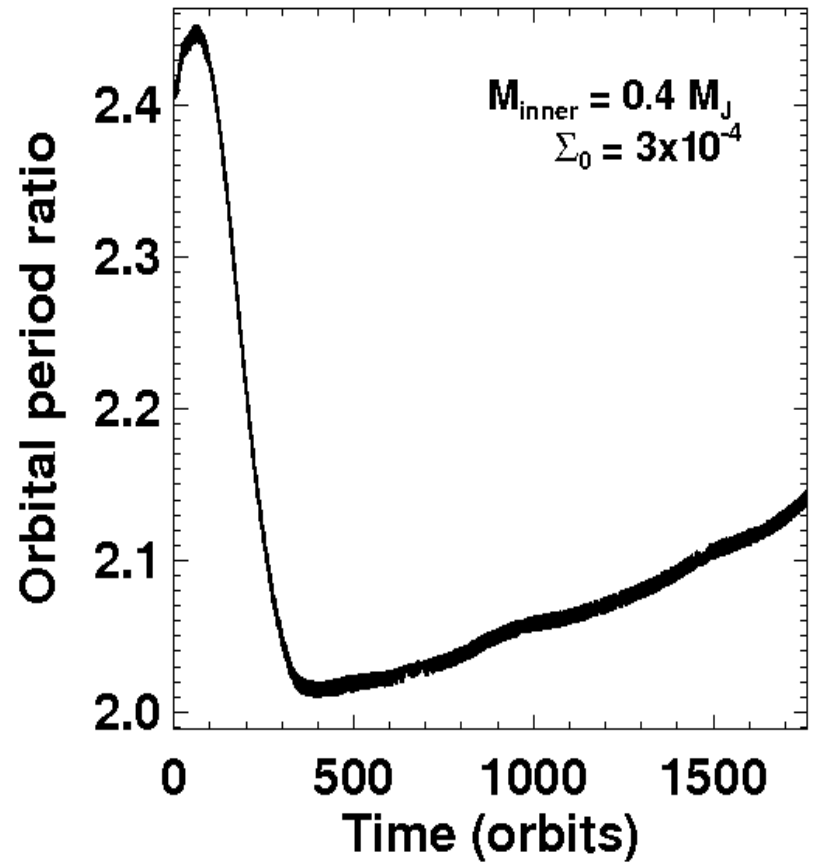
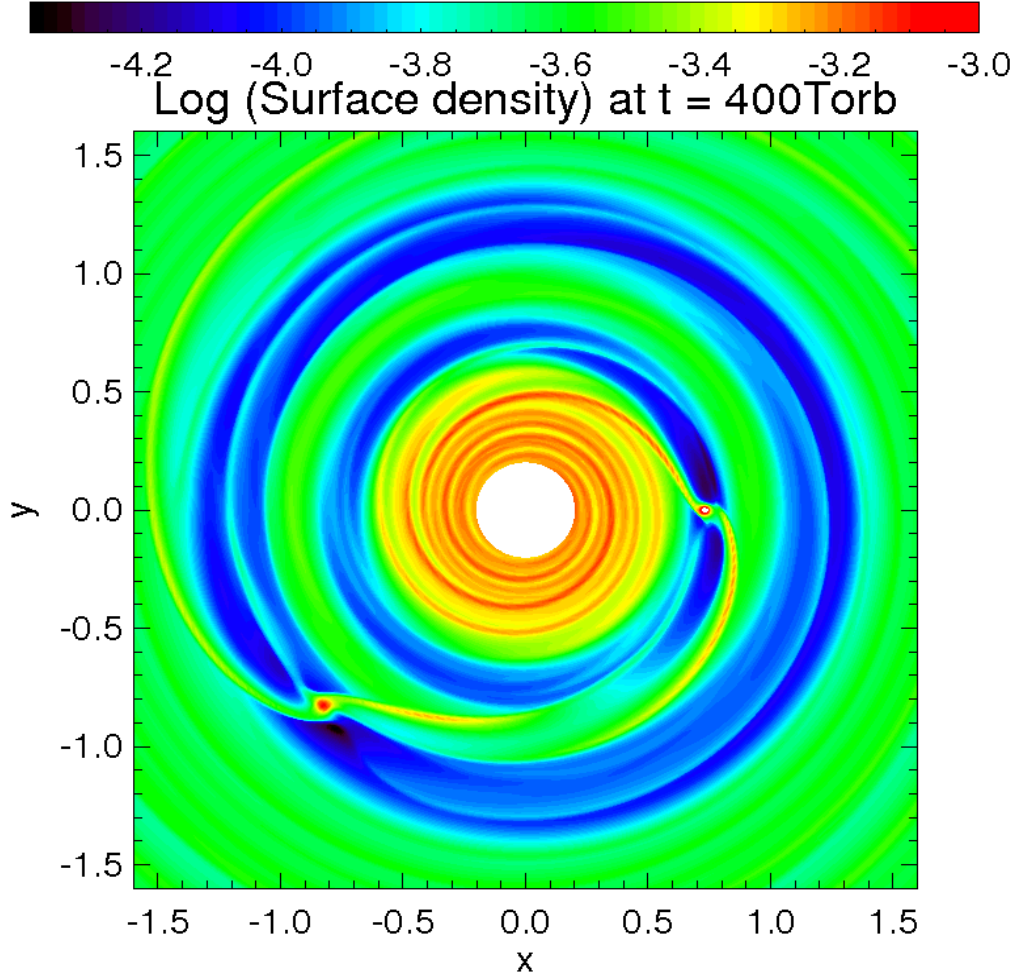
**Coorbital region of super-earth has distorted horseshoe like streamlines**



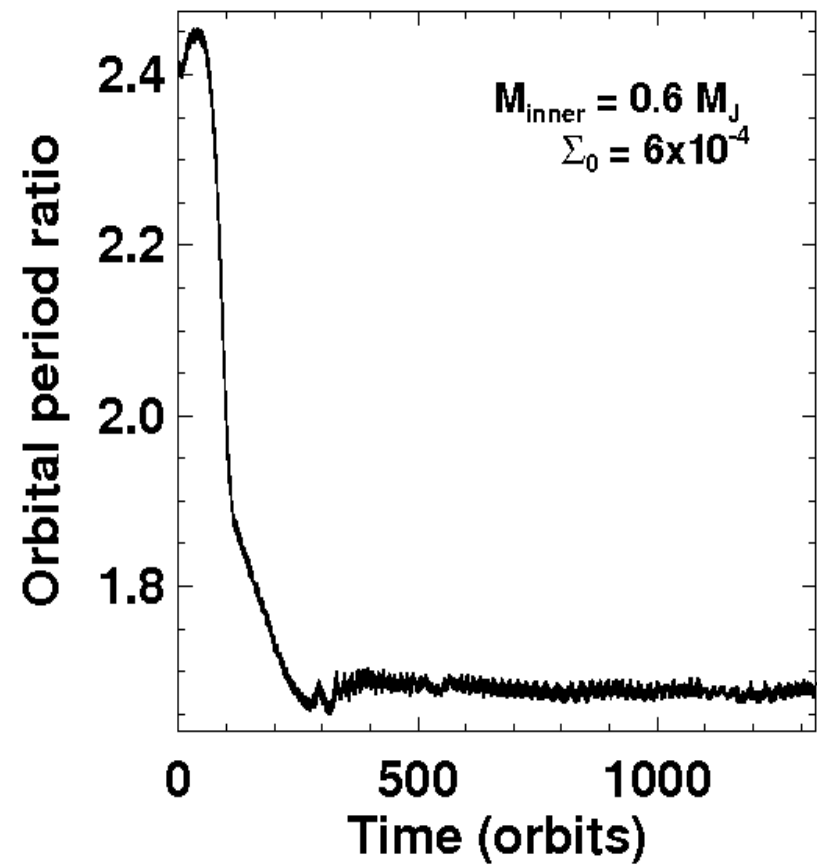
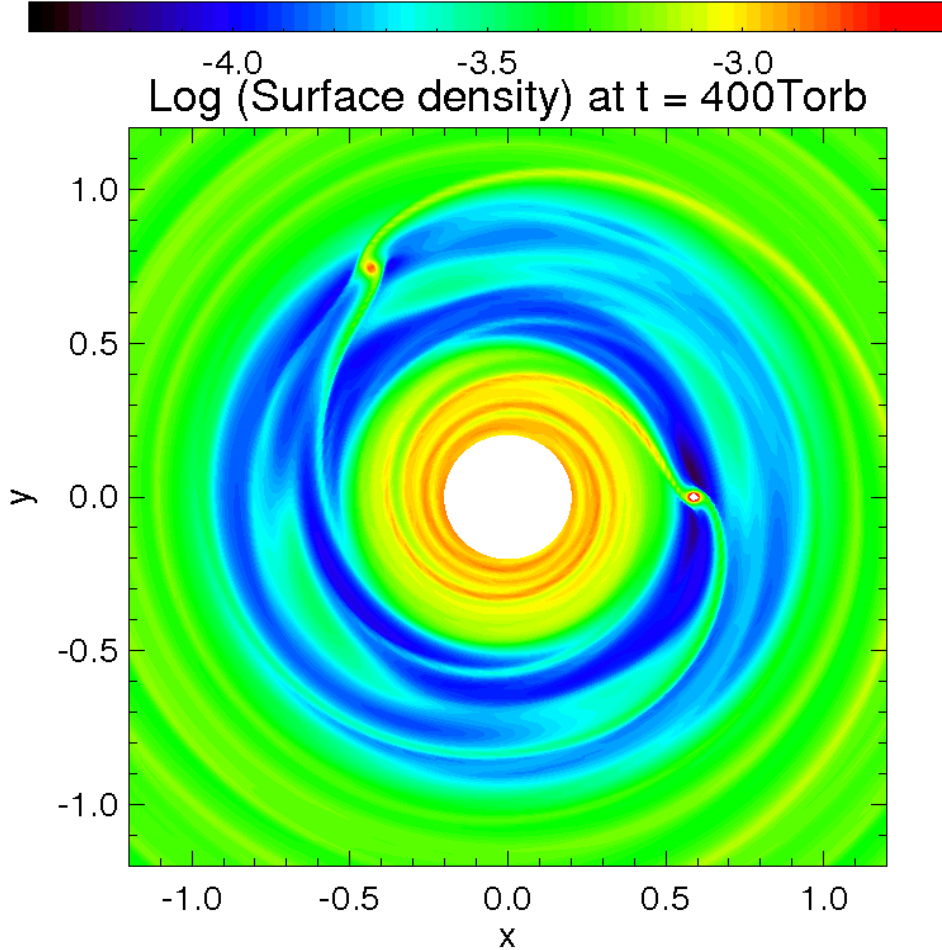
# Coorbital region of the super-earth perturbed by giant planet at different phases at another time.

Angular momentum transferred to coorbital region is communicated to the giant planet





**Migration of two planets (0.37 & 0.4 Jupiter masses) showing convergent migration towards a 2:1 commensurability followed by repulsion due to wake planet interactions.**  
**(Baruteau & Papaloizou 2013)**



**Migration of two planets (0.37 & 0.4 Jupiter masses) showing convergent migration towards a 3:2 commensurability in a disk with higher surface density. Repulsion due to wake planet interactions is not very effective. (Baruteau & Papaloizou 2013)**

# Remarks

- 1) Disk planet interactions **inevitably** lead to important effects such as migration and gap formation through the excitation of density waves.
- 2) A complex underlying disk structure and effects arising from planet-disk-planet interactions result in a rich range of behaviour that should contribute to understanding planet formation and evolution









**T Tauri** phase of protoplanetary disks in which  
Solid core growth occurs has  
lifetime  $\sim 10^6 - 10^7$  yr.

Mass distribution similar to minimum mass solar  
nebula with mass  $\propto r^{1/2}$  with  $2 M_J$  within 5 AU.  
Total mass  $\sim 0.02$  solar masses.

**Necessity of and need for orbital migration**

Cores of giant planets need to be formed beyond  
'snow line' at  $\sim 2$  AU.

Gravitational instability occurs  $>$  few AU.

But giant planets seen at 0.04 AU and

The mass distribution of observed systems  
is over concentrated at small radii.